

# Leptogenesis

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Flavor Physics workshop 2021 (FPWS2021)

2021/09/27–30  
Online

Online (2021/09/30)

- Introduction
  - Baryon asymmetry of the universe (BAU)
  - Baryogenesis in the Standard Model
- Leptogenesis
  - Motivation
  - Some scenarios of leptogenesis
- Experimental tests of leptogenesis scenarios
- Summary

# **Baryon Asymmetry of the Universe (BAU)**



# Baryon v.s. antibaryon

## Baryon

proton ( $B = +1$ )

neutron ( $B = +1$ )

## Antibaryon

antiproton ( $B = -1$ )

antineutron ( $B = -1$ )

- We find baryons mostly, not antibaryons !

- ▣ Existence of antiproton

In cosmic rays,  $p + p \rightarrow p + p + p + \bar{p}$

At TEVATRON,  $p + \bar{p} \rightarrow X$

- Asymmetry between baryons and antibaryons in our Universe

***How large ???***

# Baryon Asymmetry of the Universe (BAU)

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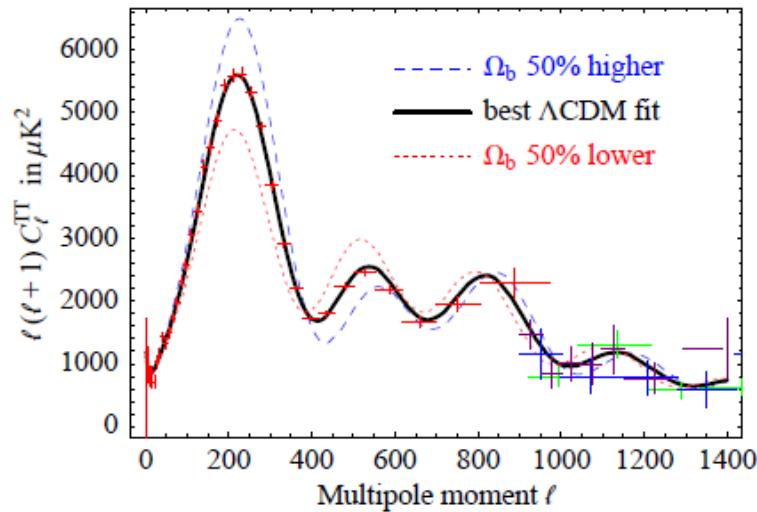
- Observational value

Planck 2018 [1807.06209]

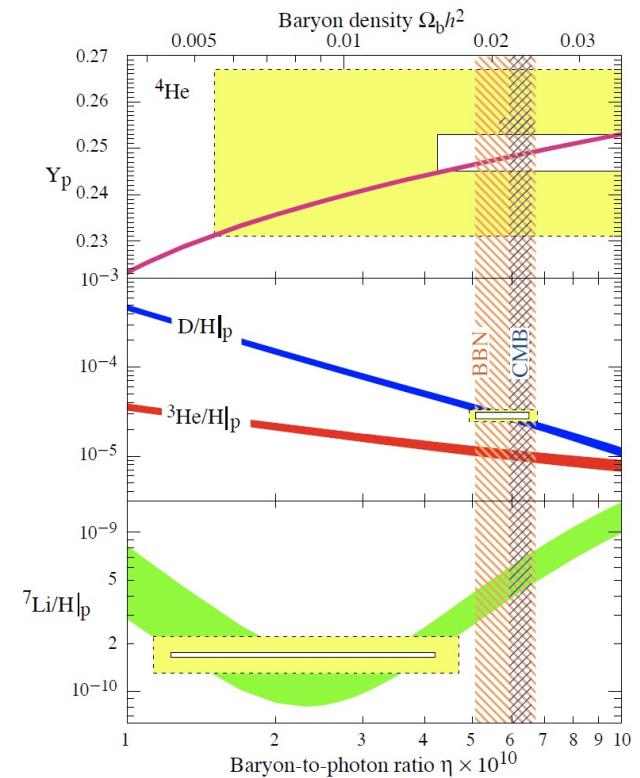
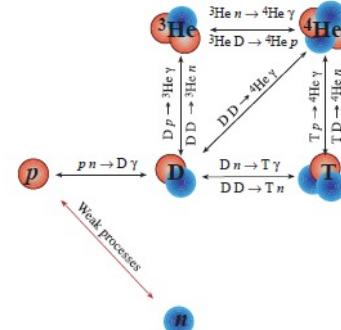
$$Y_B = \frac{n_B}{s} = (0.872 \pm 0.004) \times 10^{-10}$$

$n_B$  : baryon number density,  $s$  : entropy density

CMBR



BBN

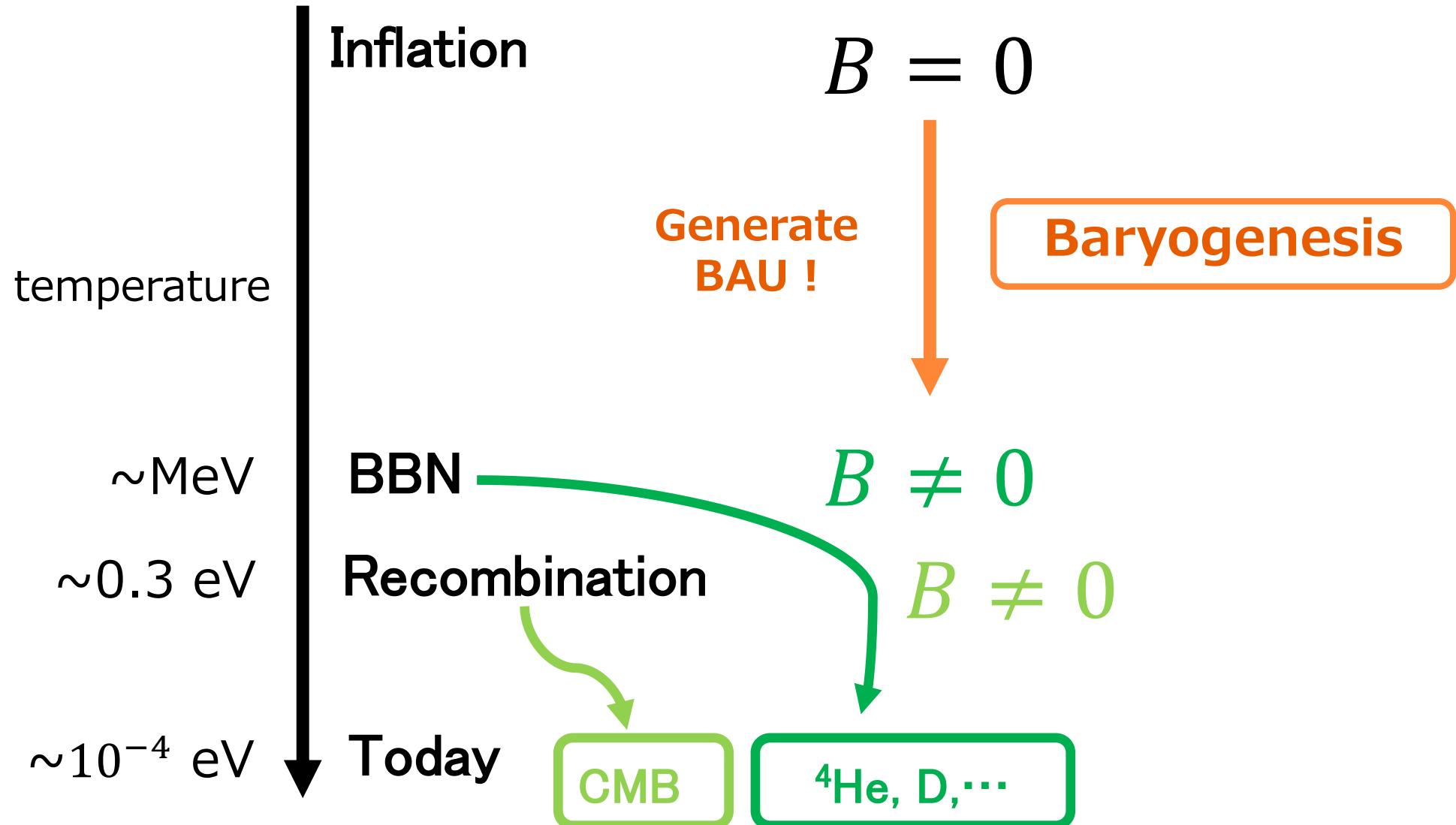


[Strumia 06]

[PDG]  
2021/09/21

# Brief history of the universe

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# Baryogenesis

- Sakharov (1967)

- (1) Baryon number B is violated

*“According to our hypothesis, the occurrence of C asymmetry is the consequence of violation of CP invariance in the nonstationary expansion of the hot Universe during the superdense stage, as manifest in the difference between the partial probabilities of the charge-conjugate reactions.”*

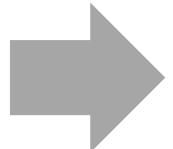
**Let us see whether the SM satisfies these conditions**

# B violation in the MSM

- At classical level,  $B$  and  $L$  are conserved

$$\begin{cases} \partial_\mu j_B^\mu = 0 \\ \partial_\mu j_L^\mu = 0 \end{cases}$$

accidental symmetries



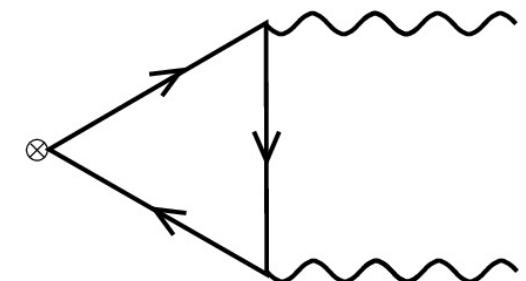
$$\begin{cases} \text{Baryon number: } B = \int d^3x j_B^0 \\ \text{Lepton number: } L = \int d^3x j_L^0 \end{cases}$$

both charges are conserved

- At quantum level,  $B$  and  $L$  are violated by non-perturbative anomaly effect

$$\partial_\mu j_B^\mu = \partial_\mu j_L^\mu = N_F \frac{g^2}{32\pi^2} W_{\mu\nu}^a \tilde{W}^{a\mu\nu}$$

$N_F$  : number of generations (families)



$$\partial_\mu (j_B^\mu - j_L^\mu) = 0$$

$B$  and  $L$  are violated, but  $(B - L)$  is conserved !

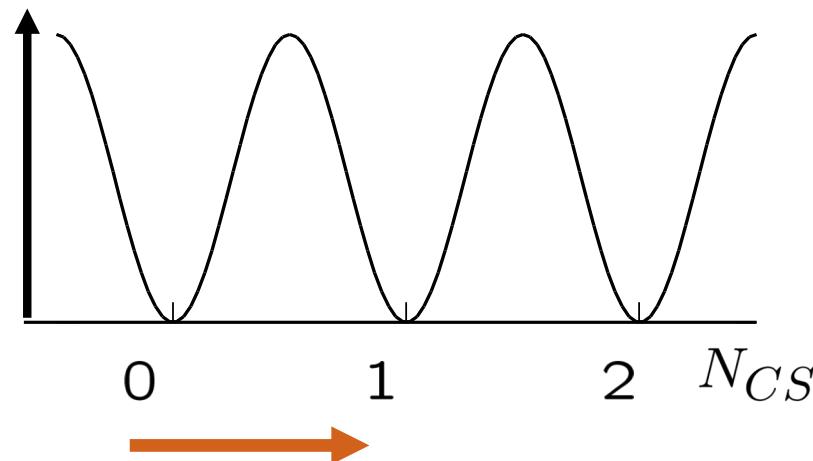
# $\Delta B$ and $\Delta L$

- Chern-Simons number (integer)

$$\Delta B = \int_{t_i}^{t_f} dt \int d^3x \partial_\mu j_B^\mu = N_F \Delta N_{CS}$$

$$N_{CS} = \frac{g^2}{32\pi^2} \int d^3x \ \varepsilon^{ijk} \text{tr} \left( A_i \partial_j \partial_k + \frac{2}{3} ig A_i A_j A_k \right)$$

Energy

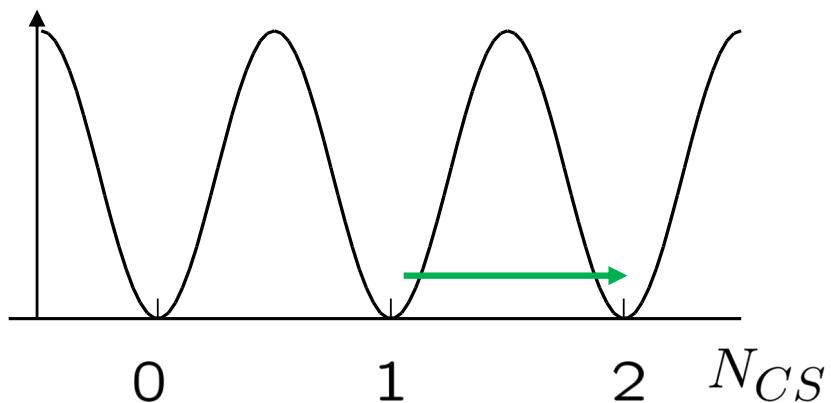


$$\Delta B = \Delta L = N_F$$

# $\Delta B$ and $\Delta L$

- At the vacuum (at temperature  $T = 0$ )

Energy



**Instanton**

[G. 't Hooft '76]

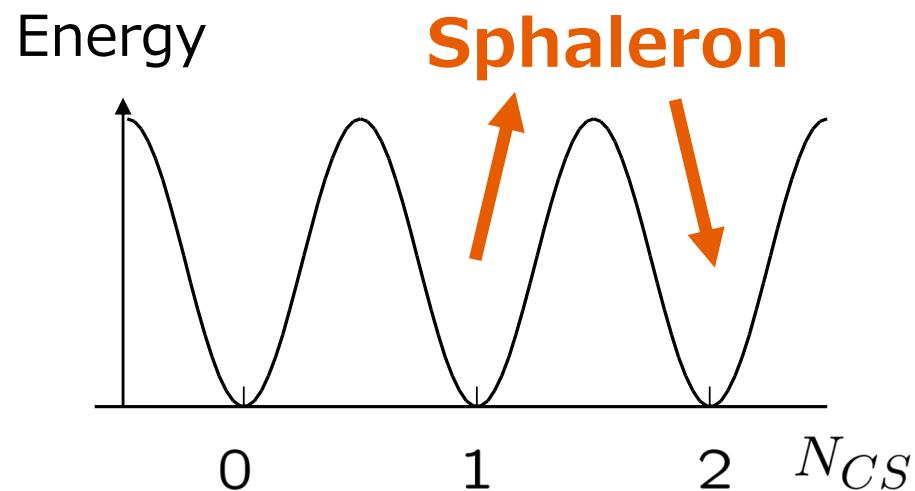
The transition rate

$$\Gamma \sim \exp\left(-\frac{16\pi^2}{g^2}\right) = \mathcal{O}(10^{-170})$$

**B+L breaking effect is negligible**

# $\Delta B$ and $\Delta L$

- For high temperatures (at temperature  $T > \mathcal{O}(10^2)$  GeV)



$$\Delta B = \Delta L = N_F$$

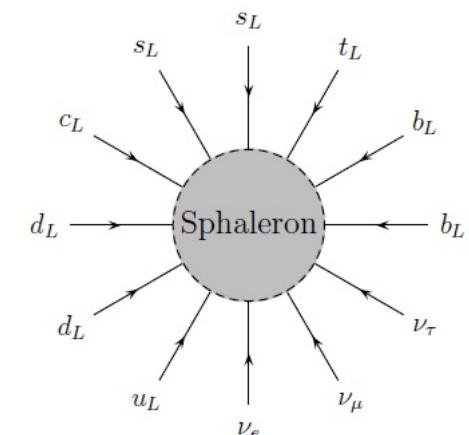
The transition rate  
( per unit volume )

$$\Gamma \sim \kappa \alpha_W^5 T^5 \quad [\text{Moore '00}]$$

Sphaleron process is in equilibrium

$$10^{12} \text{ GeV} > T > 10^2 \text{ GeV}$$

[Kuzumin, Rubakov, Shaposhnikov '85]



Buchmuller

# Sphaleron conversion

- Initial  $(B - L)$  asymmetry is converted into  $B$

$$B_f = \frac{8N_F + 4}{22N_F + 13} (B - L)_i = 0.35 (B - L)_i$$

[Khlebnikov, Shaposhnikov '88, Harvey, Turner '90]

- $(B - L)_i = 0$  initially leads to  $B = 0$  universe  
→ Initial asymmetries  $B_i$  and  $L_i$  are washed out if  $(B - L)_i = 0$
- We have to generate  $(B - L)_i > 0$  initially  
in order to explain  $B > 0$  universe !

# Baryogenesis in the Standard Model

- B and L violations
  - ▣ Sphaleron for  $T > 100\text{GeV}$
- CP violation
  - ▣ 1 CP phase in the quark-mixing (CKM) matrix

$$\text{CPV} \sim \frac{J_{CP}(m_t^2 - m_c^2)(m_t^2 - m_u^2)(m_c^2 - m_u^2)(m_b^2 - m_s^2)(m_b^2 - m_d^2)(m_s^2 - m_d^2)}{T_{EW}^{12}} \sim 10^{-19}$$

→ too small

- **Out of equilibrium** [Kajantie, Laine, Rummukainen, Shaposhnikov]
  - ▣ Strong 1<sup>st</sup> order phase transition if  $m_h < 72\text{ GeV}$   
but  $m_h = 125\text{ GeV}$
  - not satisfied

We have to go  
beyond the SM !

# Various baryogenesis scenarios

- ▣ GUT baryogenesis, GUT baryogenesis after preheating, Baryogenesis from primordial black holes, String scale baryogenesis, Affleck-Dine (AD) baryogenesis, Hybridized AD baryogenesis, No-scale AD baryogenesis, Single field baryogenesis, Electroweak (EW) baryogenesis, Local EW baryogenesis, Non-local EW baryogenesis, EW baryogenesis at preheating, SUSY EW baryogenesis, String mediated EW baryogenesis, Inflationary via baryogenesis, Baryogenesis without ground unification (leptogenesis), Resonant leptogenesis, Spontaneous baryogenesis, Coherent baryogenesis, Gravitational baryogenesis, Defect mediated baryogenesis, Baryogenesis from cosmic strings, B-ball baryogenesis, Baryogenesis via neutrino oscillations, Monopole baryogenesis, ...

See, for example, talk by M. Shaposhnikov @COSMO 2012

# Leptogenesis



# **Leptogenesis —Motivation**



# Neutrino properties

- Mixing angles and mass squared differences are measured very precisely

$$\sin^2 \theta_{12} = 0.308^{+0.013}_{-0.012}$$

$$\Delta m_{21}^2 = (7.49^{+0.19}_{-0.17}) \times 10^{-5} \text{ eV}^2 \quad (\text{NH case})$$

$$\sin^2 \theta_{23} = 0.440^{+0.023}_{-0.019}$$

$$\Delta m_{31}^2 = (2.526^{+0.029}_{-0.037}) \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta_{13} = 0.02163^{+0.00074}_{-0.00074}$$

Gonzalez-Garcia, Maltoni and Schwetz  
( $\nu$ -fit, August '16)

- Unknown properties

- Absolute masses of neutrinos ( $m_{\nu_{\text{lightest}}}$  ? Mass ordering ?)
- CP violations (Dirac phase ? Majorana phase(s) ?)
- Dirac or Majorana fermions

$\nu_R$

# Why $\nu_R$ ?

- Chiral structure of fermions in the SM
- Hierarchical patterns of fermion masses
  - ▣ neutrino masses  $\ll$  masses of quarks and leptons
$$(m_{atm} \simeq 50 \text{ meV} \ll m_e \simeq 0.5 \text{ MeV})$$
- Interesting phenomena by right-handed neutrinos
  - ▣ Baryogenesis
    - Leptogenesis / Mechanism by oscillations
  - ▣ Dark matter
    - keV mass right-handed neutrino is a candidate of WDM  
(it may be irrelevant in the seesaw mechanism)
  - ▣ etc.

Higgs  
Boson

$h$

$$\begin{pmatrix} u \\ d \end{pmatrix}_L \begin{pmatrix} c \\ s \end{pmatrix}_L \begin{pmatrix} t \\ b \end{pmatrix}_L$$

Quarks and Leptons

(left-handed)

(right-handed)

Gauge  
Bosons

$g$

$Z^0$

$u_R \quad c_R \quad t_R$

$d_R \quad s_R \quad b_R$

$$\begin{pmatrix} e \\ \nu_e \end{pmatrix}_L \begin{pmatrix} \mu \\ \nu_\mu \end{pmatrix}_L \begin{pmatrix} \tau \\ \nu_\tau \end{pmatrix}_L$$

$e_R \quad \mu_R \quad \tau_R$

$W^\pm$

$\gamma$

# Three right-handed neutrinos

Higgs  
Boson

$h$

$$\begin{pmatrix} u \\ d \end{pmatrix}_L \begin{pmatrix} c \\ s \end{pmatrix}_L \begin{pmatrix} t \\ b \end{pmatrix}_L$$

Quarks and Leptons

(left-handed)

(right-handed)

Gauge  
Bosons

$g$

$Z^0$

$W^\pm$

$\gamma$

$$\begin{pmatrix} e \\ \nu_e \end{pmatrix}_L \begin{pmatrix} \mu \\ \nu_\mu \end{pmatrix}_L \begin{pmatrix} \tau \\ \nu_\tau \end{pmatrix}_L$$

$u_R \quad c_R \quad t_R$

$d_R \quad s_R \quad b_R$

$e_R \quad \mu_R \quad \tau_R$

$\nu_{R1} \quad \nu_{R2} \quad \nu_{R3}$

# Extension by right-handed neutrinos $\nu_R$

$$\delta\mathcal{L} = i\bar{\nu}_R \gamma^\mu \partial_\mu \nu_R - \left( F \bar{L} \nu_R \Phi + \frac{M_M}{2} \bar{\nu}_R \nu_R^c + h.c. \right)$$

Minkowski '77, Yanagida '79  
 Gell-Mann, Ramond, Slansky '79  
 Glashow '79,  
 Mohapatra, Senjanovic '79

- Seesaw mechanism ( $M_D = F\langle\Phi\rangle \ll M_M$ )

$$-L = \frac{1}{2} (\bar{\nu}_L, \bar{\nu}_R^c) \begin{pmatrix} 0 & M_D \\ M_D^T & M_M \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} + h.c. = \frac{1}{2} (\bar{\nu}, \bar{N}^c) \begin{pmatrix} M_\nu & 0 \\ 0 & M_M \end{pmatrix} \begin{pmatrix} \nu^c \\ N \end{pmatrix} + h.c.$$

## □ Light active neutrinos $\nu$

$$M_\nu = - \frac{M_D^T}{M_M} \times M_D \quad \Leftarrow \text{tiny neutrino masses !}$$

→ explain neutrino oscillations

## □ Heavy neutral leptons $N$ ( $\simeq \nu_R$ )

- Mass  $M_M$
- Mixing  $\Theta = M_D/M_M$

Neutrino mixing

$$\nu_L = U \nu + \Theta N^c$$

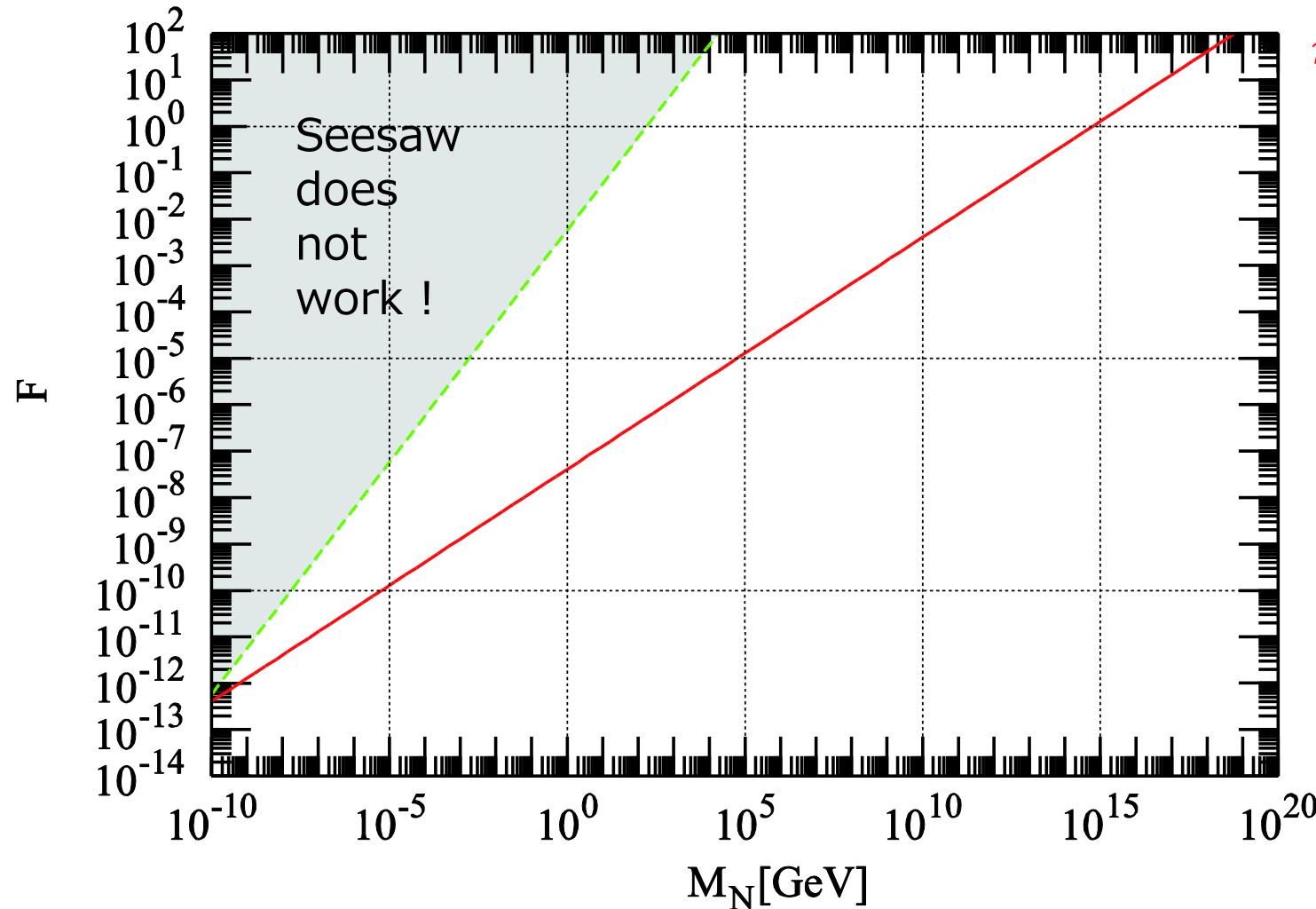
# Yukawa coupling and Majorana mass

$$m_\nu = \frac{M_D}{M_M} \times M_D = \frac{F^2 \langle \Phi \rangle^2}{M_N}$$



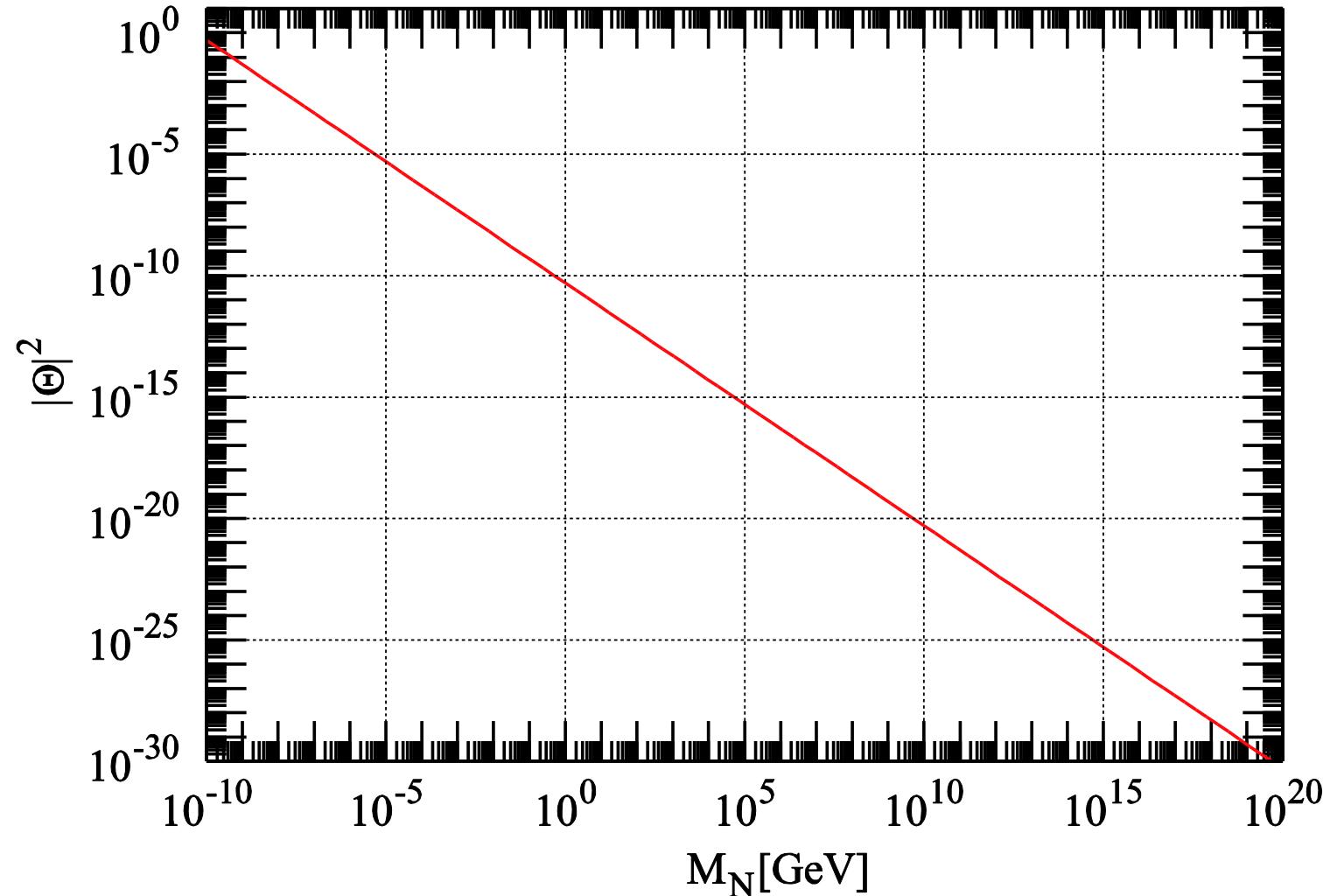
$$F = \frac{\sqrt{m_\nu M_N}}{\langle \Phi \rangle}$$

$$m_\nu = 5 \times 10^{-11} \text{ GeV}$$



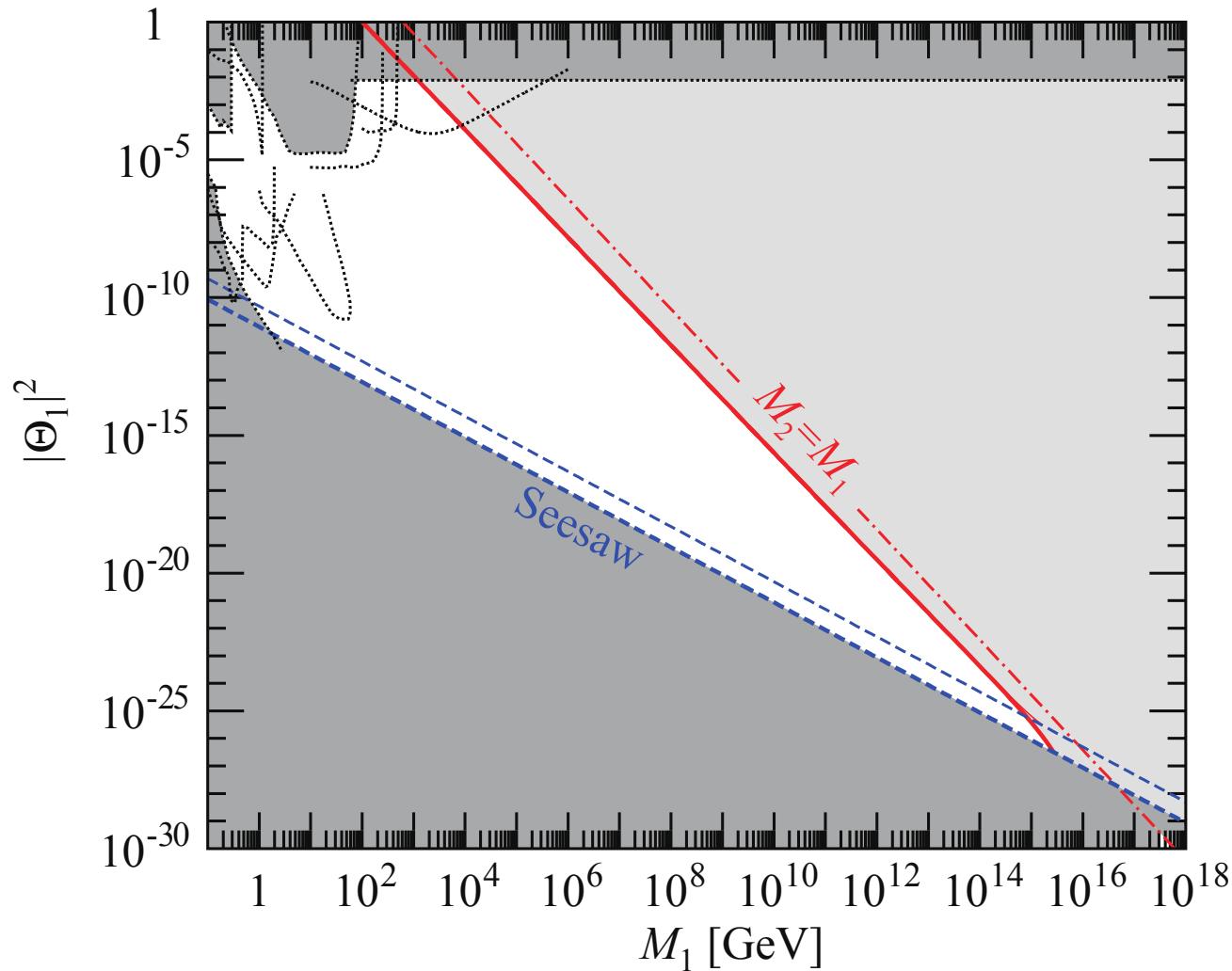
# Mixing and mass of heavy neutral lepton

$$|\Theta|^2 = \frac{M_D^2}{M_N^2} = \frac{m_\nu}{M_N} \quad m_\nu = 5 \times 10^{-11} \text{ GeV}$$



# Range of parameter space

TA, Tsuyuki '15



# Bound from seesaw mechanism

- Mixings of HNL must be sufficiently large to explain masses of active neutrinos !

- Bound on the mixing of the lightest HNL  $N_1$

TA, Tsuyuki '15

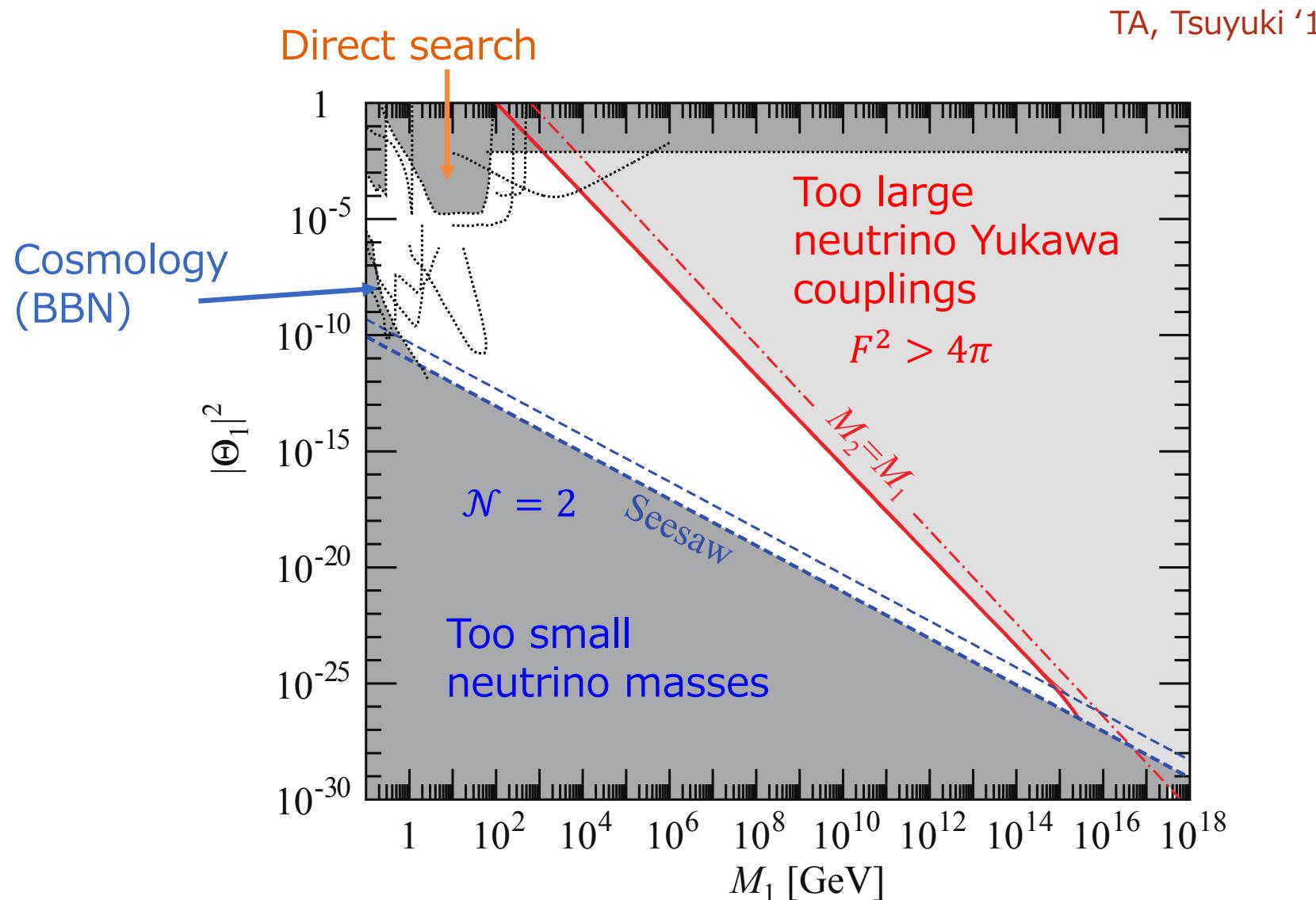
$$|\Theta_1|^2 \geq \frac{m_l}{M_1}$$

$$|\Theta_1|^2 \equiv \sum_{\alpha=e,\mu,\tau} |\Theta_{\alpha 1}|^2$$

$$m_l = \begin{cases} m_1 (m_3) \text{ in the NH (IH) for 3RHN } (\mathcal{N} = 3) \\ m_2 (m_1) \text{ in the NH (IH) for 2RHN } (\mathcal{N} = 2) \end{cases}$$

NOTE:  $|\Theta_1|^2$  can be zero for  $\mathcal{N} = 3$   
 (No lower bound for  $\mathcal{N} > 3$ )

# Range of parameter space



# RH neutrinos and baryogenesis

## Extension by $\nu_R$ (seesaw)

$L$  violation  
by Majorana masses

CP violation  
in neutrino sector

New particles  
(HNL/RH neutrinos )

## Sakharov's 3 conditions

C1  
 $B, L$  violations

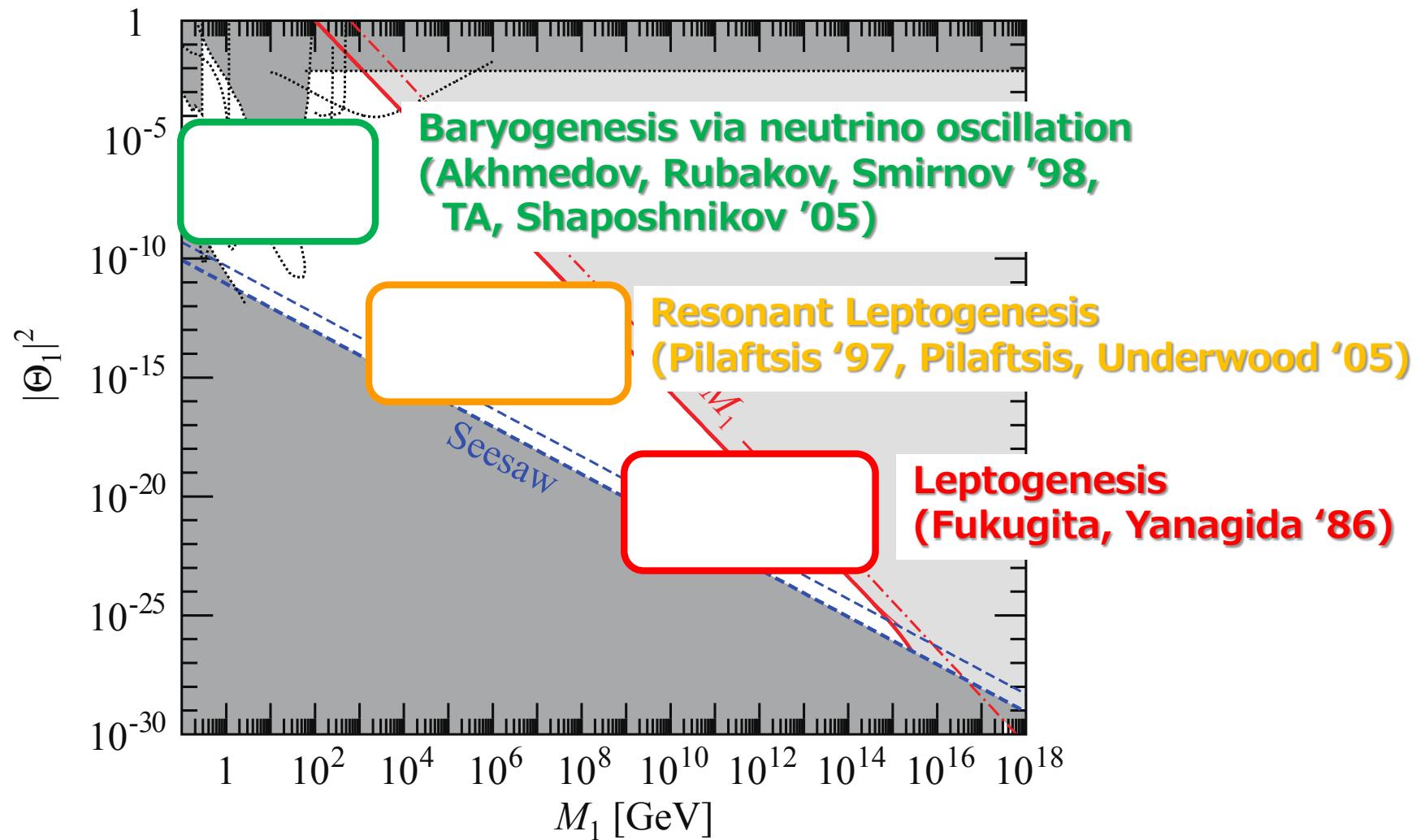
C2  
CP violation

C3  
Out of equilibrium

$\nu_R$  in the seesaw mechanism can satisfy  
all three conditions for baryogenesis

# Baryogenesis regions

TA, Tsuyuki '15



# Thermal Leptogenesis

[Fukugita, Yanagida '86]

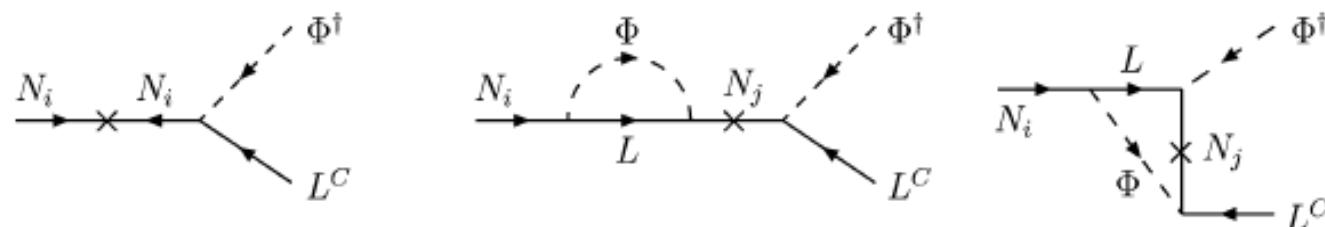


- $\nu_R$  ( $N$ ) can into LH leptons and also their anti-particles

$$N_1 \begin{cases} \rightarrow L_L + \bar{\Phi} \\ \rightarrow \bar{L}_L + \Phi \end{cases}$$

- When CP is violated in neutrino sector,

$$\varepsilon_1 = \frac{\Gamma(N_1 \rightarrow L_L + \bar{\Phi}) - \Gamma(N_1 \rightarrow \bar{L}_L + \Phi)}{\Gamma(N_1 \rightarrow L_L + \bar{\Phi}) + \Gamma(N_1 \rightarrow \bar{L}_L + \Phi)} \neq 0 !$$



⇒ generate asymmetry  $\Delta L_L$  between  $\#L_L$  and  $\#\bar{L}_L$

- Asymmetry in  $L_L$  is partially converted into baryon asymmetry by EW sphaleron process ( $T \gtrsim 10^2$  GeV)

$$B = \frac{28}{79} (B - L)_0 = -\frac{28}{79} L_0$$

# Out of Equilibrium Decay

- For  $T \gg M_1$ ,  $N_1$  is in thermal equilibrium

$$N_1 \rightarrow L + \overline{\Phi}$$

$$N_1 \leftarrow L + \overline{\Phi}$$

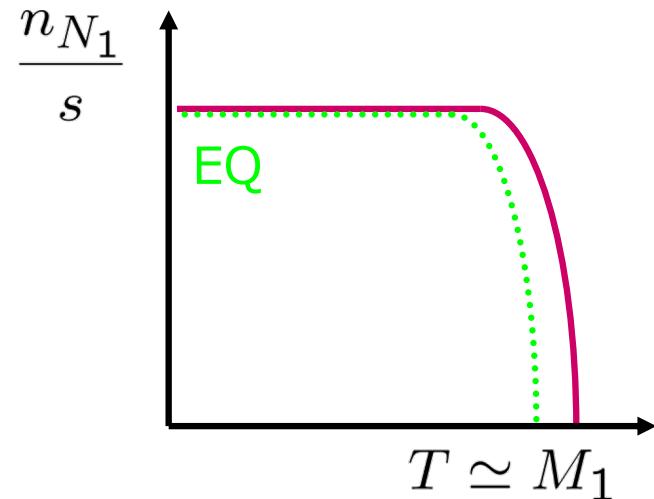
- For  $T < M_1$

$$n_{N_1}^{\text{EQ}} \propto \exp(-M_1/T)$$

If  $\Gamma_{N_1} < H(T \sim M_1)$

$n_{N_1}$  cannot catch up with  $n_{N_1}^{\text{EQ}}$

→ Out of equilibrium decay of  $N_1$



- Yield of BAU

$$\frac{n_B}{s} \propto \varepsilon_1 \propto M_1$$

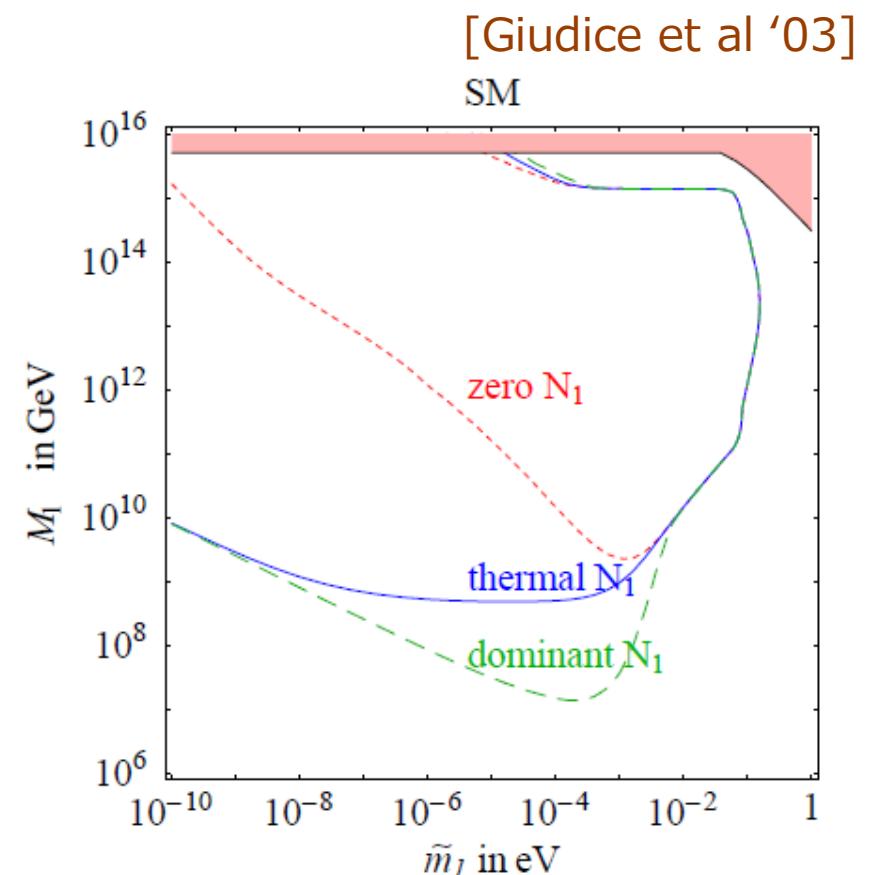
$$\varepsilon_1 = \frac{\Gamma(N_1 \rightarrow L_L + \bar{\Phi}) - \Gamma(N_1 \rightarrow \bar{L}_L + \Phi)}{\Gamma(N_1 \rightarrow L_L + \bar{\Phi}) + \Gamma(N_1 \rightarrow \bar{L}_L + \Phi)}$$

$$M_1 \ll M_{2,3,\dots}$$

Lower bound on Majorana mass in order to explain the observed BAU

$$M_1 > O(10^9) \text{ GeV}$$

→ impossible to test directly such a heavy particle by experiments



# Resonant leptogenesis

Pilaftsis '97  
Pilaftsis, Underwood '04

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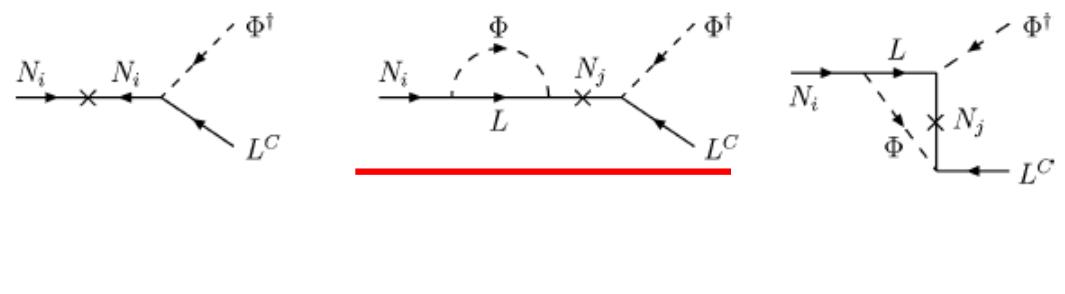
- Resonant production of lepton asymmetry occurs if right-handed neutrinos are quasi-degenerate

$$\varepsilon_1 = \frac{\Gamma(N_1 \rightarrow L_L + \bar{\Phi}) - \Gamma(N_1 \rightarrow \bar{L}_L + \Phi)}{\Gamma(N_1 \rightarrow L_L + \bar{\Phi}) + \Gamma(N_1 \rightarrow \bar{L}_L + \Phi)}$$

$$\Delta M \ll M_N$$

$$\Delta M = M_2 - M_1$$

$$M_N = (M_2 + M_1)/2$$



$$\varepsilon_1 \propto \frac{M_N^2}{\Delta M^2} \quad (\text{for } \Delta M^2 > O(M_N \Gamma_N))$$

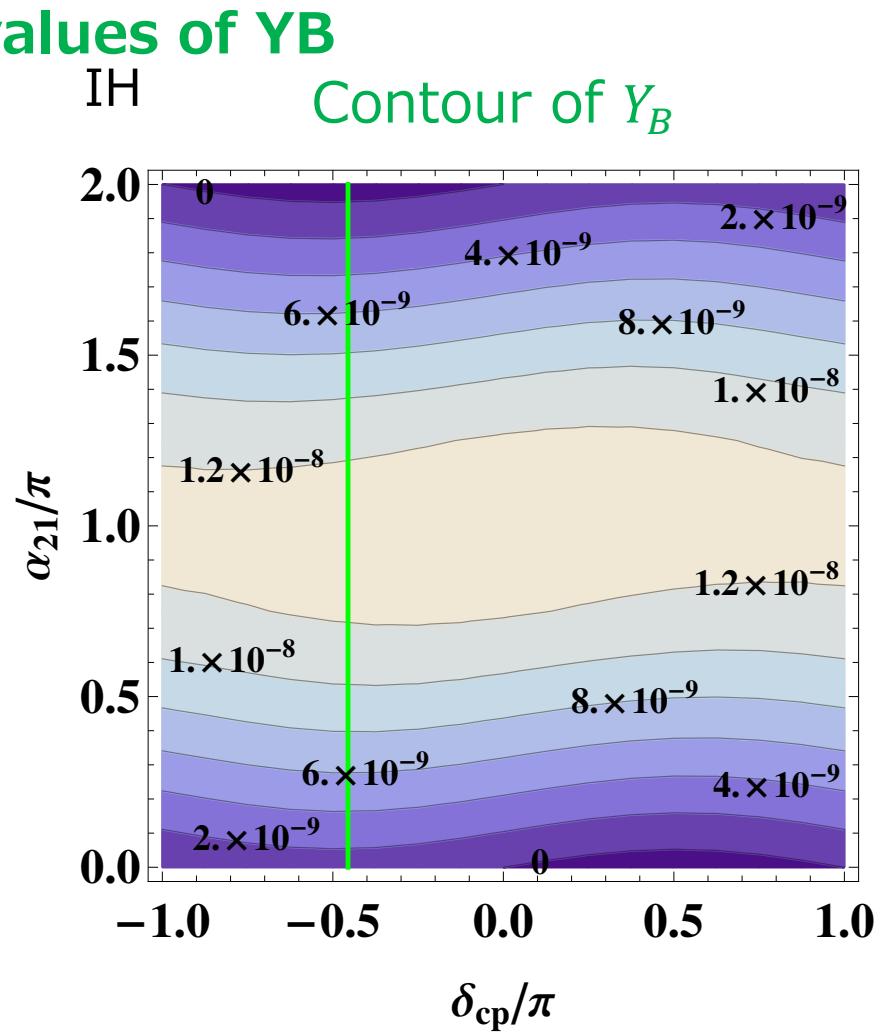
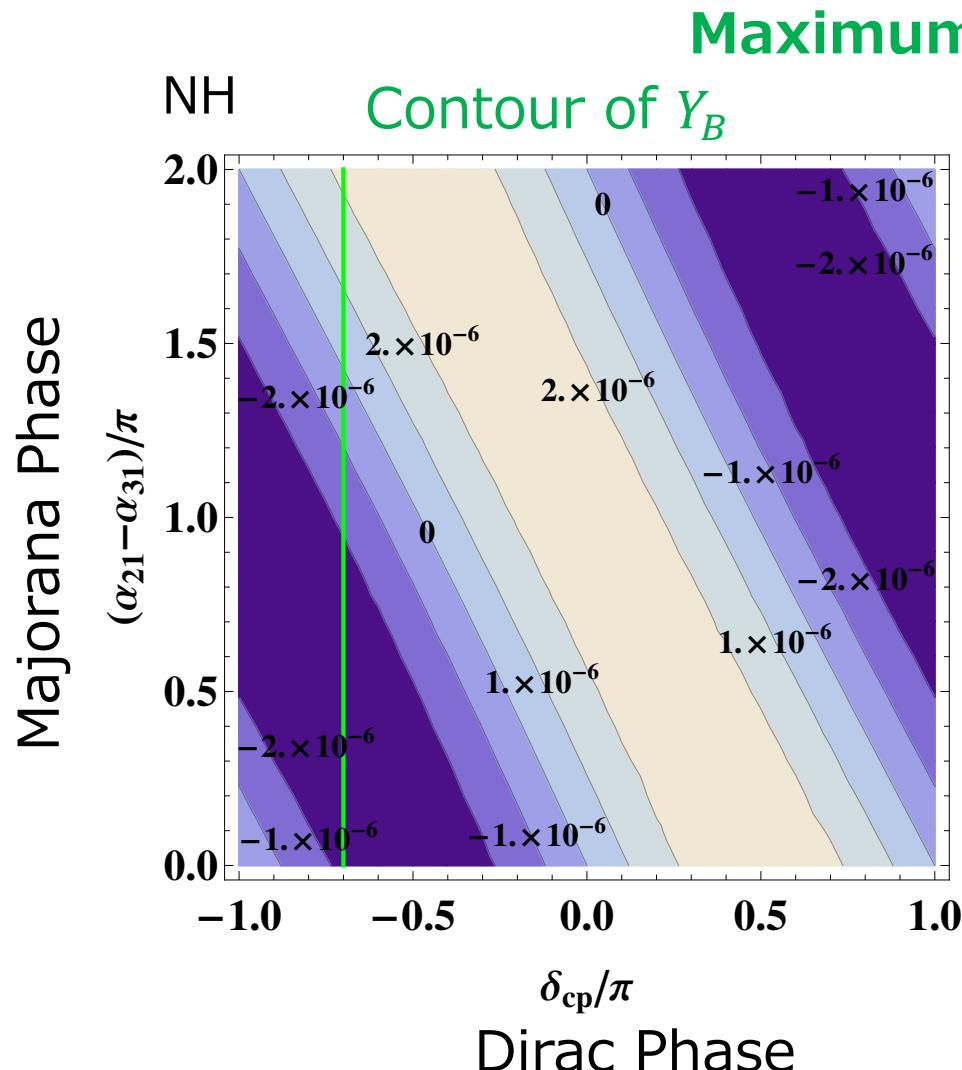
huge enhancement

⇒ Leptogenesis is possible even for  $M_1 \ll 10^9$  GeV

Note that  $M_1 \gtrsim 10^2$  GeV in this case in order to convert lepton asymmetry into baryon asymmetry by EW sphaleron process ( $T \gtrsim 10^2$  GeV)

# Resonant Leptogenesis

Degenerate RH neutrinos ( $M_{1,2} = 10^3 \text{ GeV}$ )



TA, Yoshida [arXiv:1812.11323]

# Baryogenesis via neutrino oscillation

**Akhmedov, Rubakov, Smirnov '98  
TA, Shaposhnikov '05**



# Baryogenesis via Neutrino Oscillation

Akhmedov, Rubakov, Smirnov ('98) / TA, Shaposhnikov ('05)

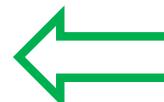
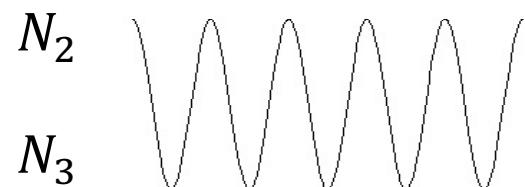
Shaposhnikov ('08), Canetti, Shaposhnikov ('10)

TA, Ishida ('10), Canetti, Drewes, Shaposhnikov ('12), TA, Eijima, Ishida ('12)

Canetti, Drewes, Shaposhnikov ('12), Canetti, Drewes, Frossard, Shaposhnikov ('12)

...

- Oscillation starts at  $T_{osc} \sim (M_0 M_N \Delta M)^{1/3}$

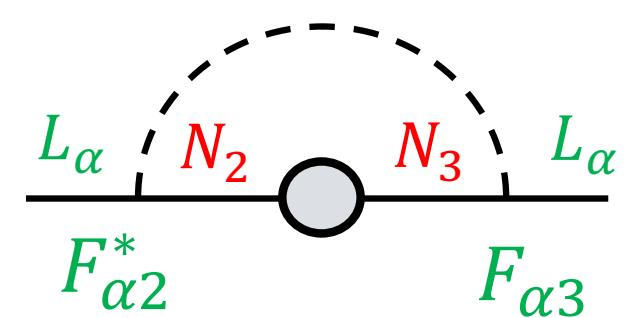
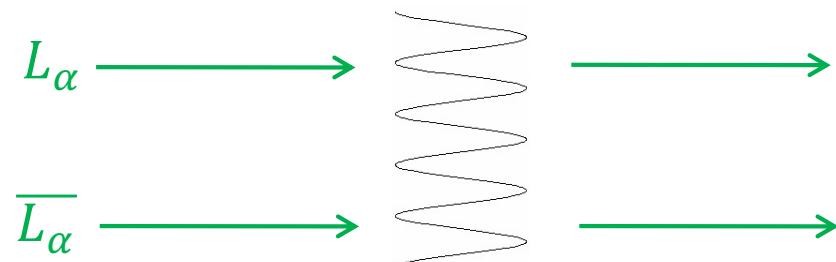


$$V_N = \frac{T^2}{8k} F^\dagger F$$

Medium effects



- Asymmetries are generated since evolution rates of  $L_\alpha$  and  $\overline{L}_\alpha$  are different due to CPV



# Evolution of each asymmetries

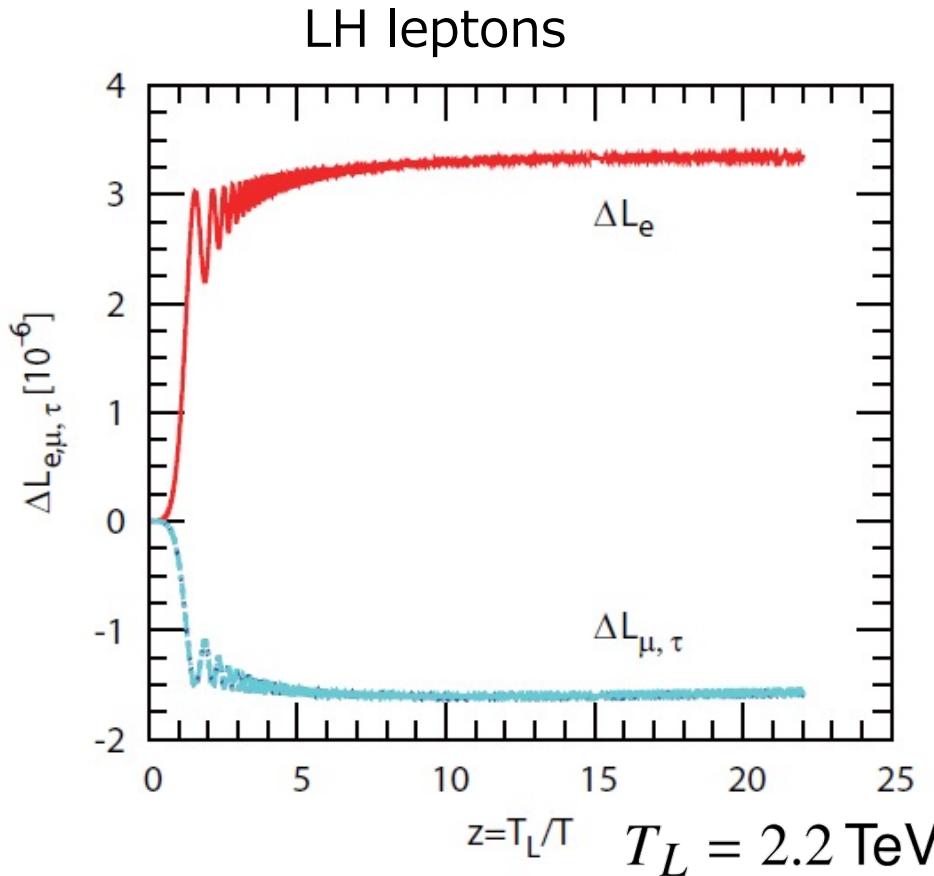


Figure 5: Evolution of asymmetries in terms of  $z = T_L/T$ . Here we take  $M_3 = 3 \text{ GeV}$ ,  $\Delta M_{32}^2/M_3^2 = 10^{-8}$ ,  $\xi = +1$ ,  $\sin \theta_{13} = 0.2$ ,  $\phi = 0$ ,  $\omega = \pi/4$  and  $\delta = 3\pi/2$ .

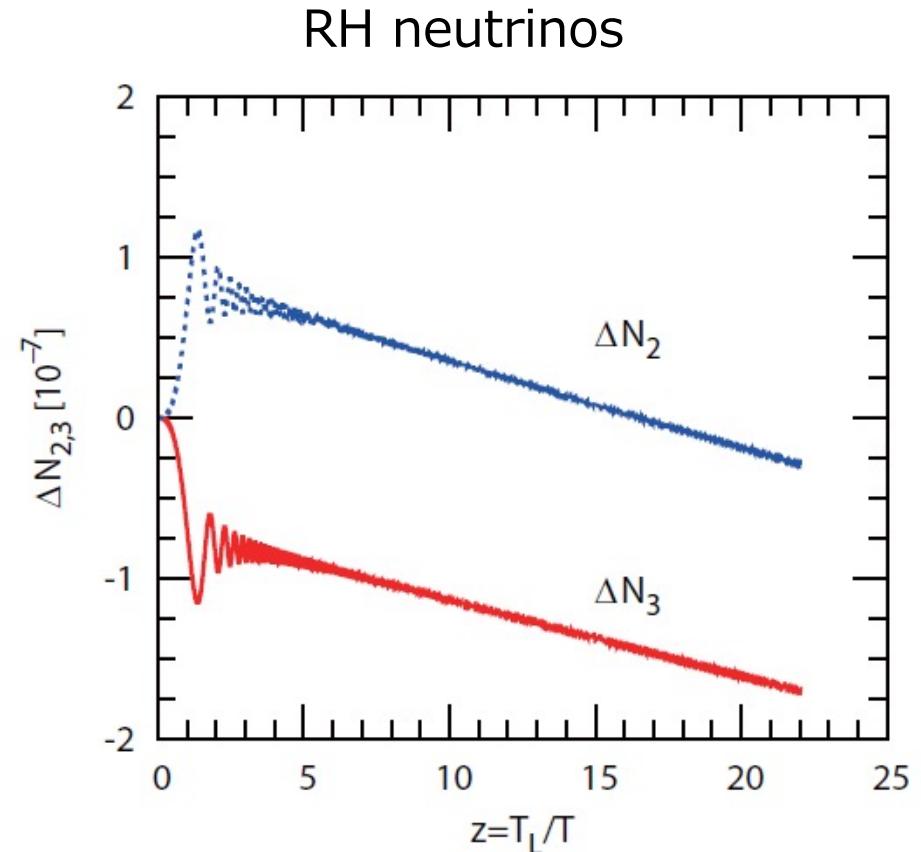
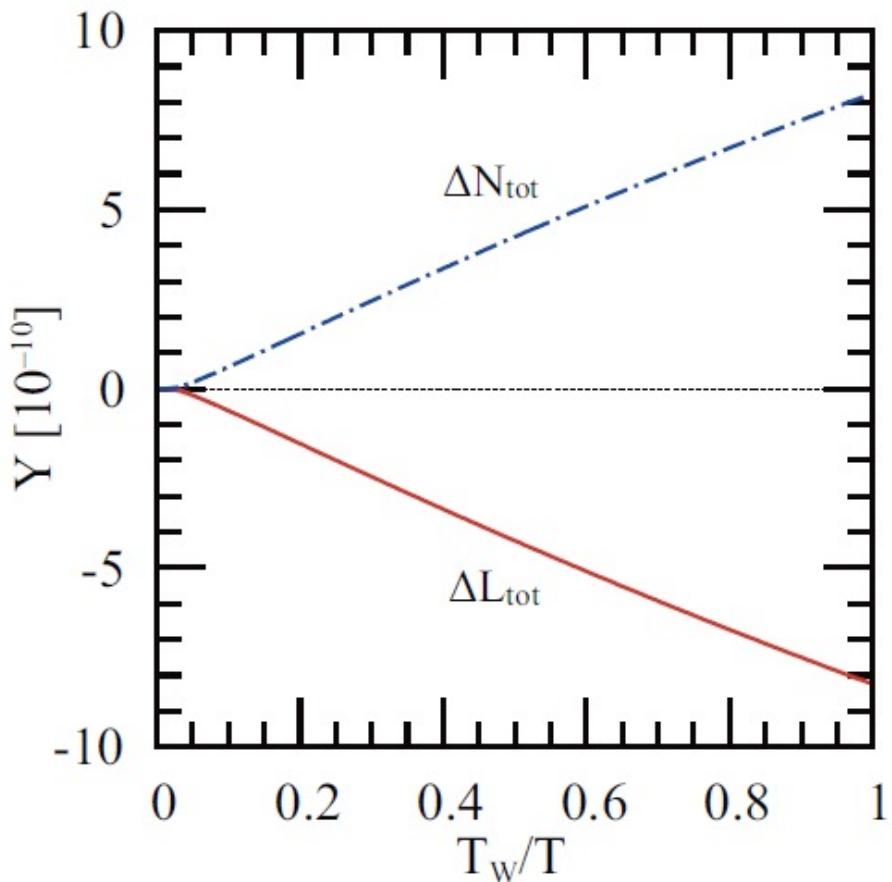


Figure 6: Evolution of asymmetries in terms of  $z = T_L/T$ . Here we take  $M_3 = 3 \text{ GeV}$ ,  $\Delta M_{32}^2/M_3^2 = 10^{-8}$ ,  $\xi = +1$ ,  $\sin \theta_{13} = 0.2$ ,  $\phi = 0$ ,  $\omega = \pi/4$  and  $\delta = 3\pi/2$ .

# Baryogenesis via neutrino osc.

Oscillation of heavy neutrinos can be a source of BAU

- ▣ CPV in oscillation and production generates asymmetries
- ▣ Asymmetries are separated into LH and RH leptons
- ▣ Asymmetry in LH leptons is converted into BAU

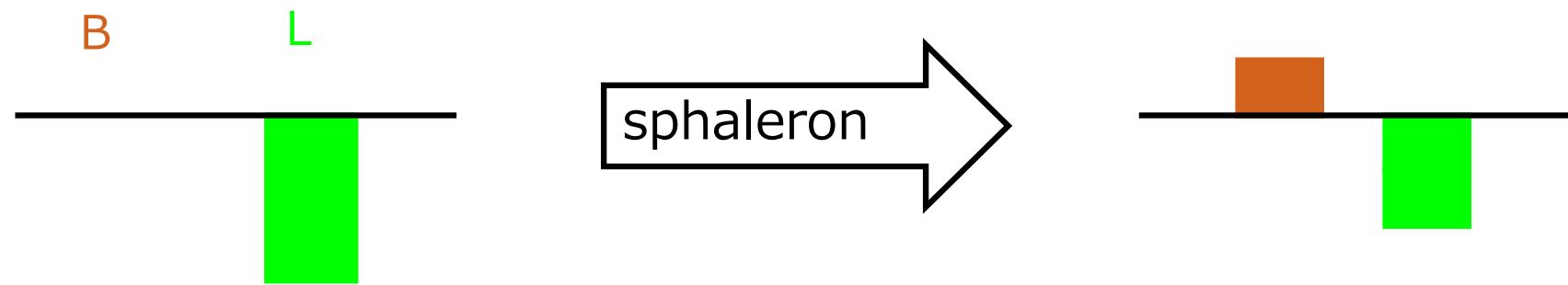


Yield of BAU depends on Yukawa couplings  $F_{\alpha I}$  and masses

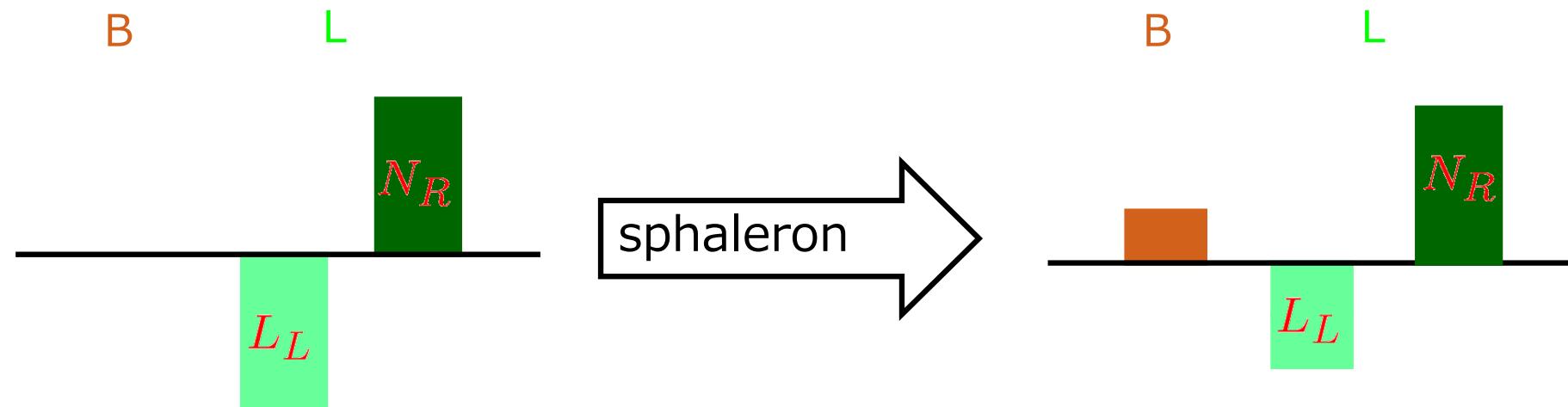
Especially, CP violating parameters and mass difference

$$T_{osc} \sim (M_0 M_N \Delta M)^{1/3}$$

## Baryogenesis via Leptogenesis



## Baryogenesis via Neutrino Oscillation



# Analytical Formula of BAU

TA, Shaposhnikov '05  
TA, Ishida '10

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$$\frac{n_B}{s} = 1.8 \times 10^{-11} \kappa \frac{M_0^{7/3} M_N^{5/3} m_{\text{atm}}^{5/2} m_{\text{sol}}^{1/2}}{T_W (\Delta M_{32}^2 / M_N^2)^{2/3} \langle \Phi \rangle^6} \times \delta_{CP}$$
$$= 4.7 \times 10^{-10} \left( \frac{M_N}{5 \text{GeV}} \right)^{5/3} \left( \frac{10^{-8}}{\Delta M_{32}^2 / M_N^2} \right)^{2/3} \times \delta_{CP}$$

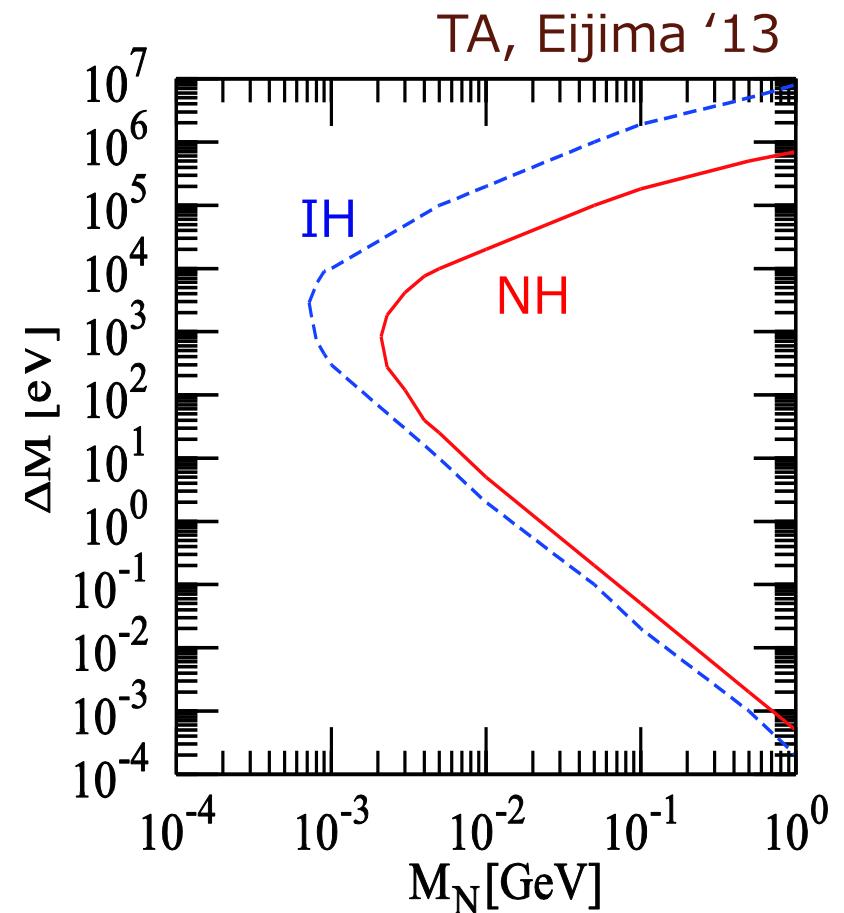
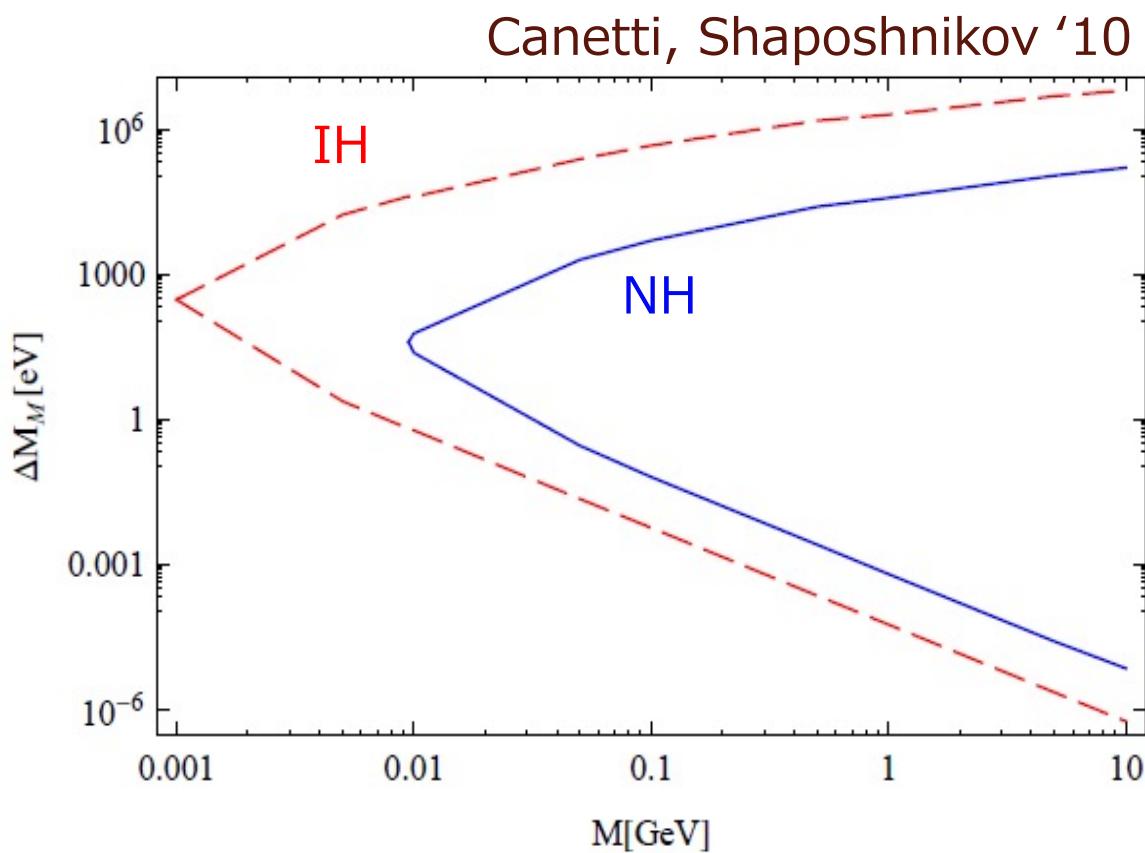
- RH neutrinos N2 and N3 must be quasi-degenerate to get a sizable BAU !
- CP asymmetry parameter for BAU

$$\delta_{CP} \propto \sum_{I=2,3} \sum_{\alpha=e,\mu,\tau} |F_{I\alpha}|^2 \text{Im} \left[ F_{\alpha 3} (F^\dagger F)_{32} F_{\alpha 2}^* \right]$$

*CPV in neutrino Yukawa couplings*

# Baryogenesis Region

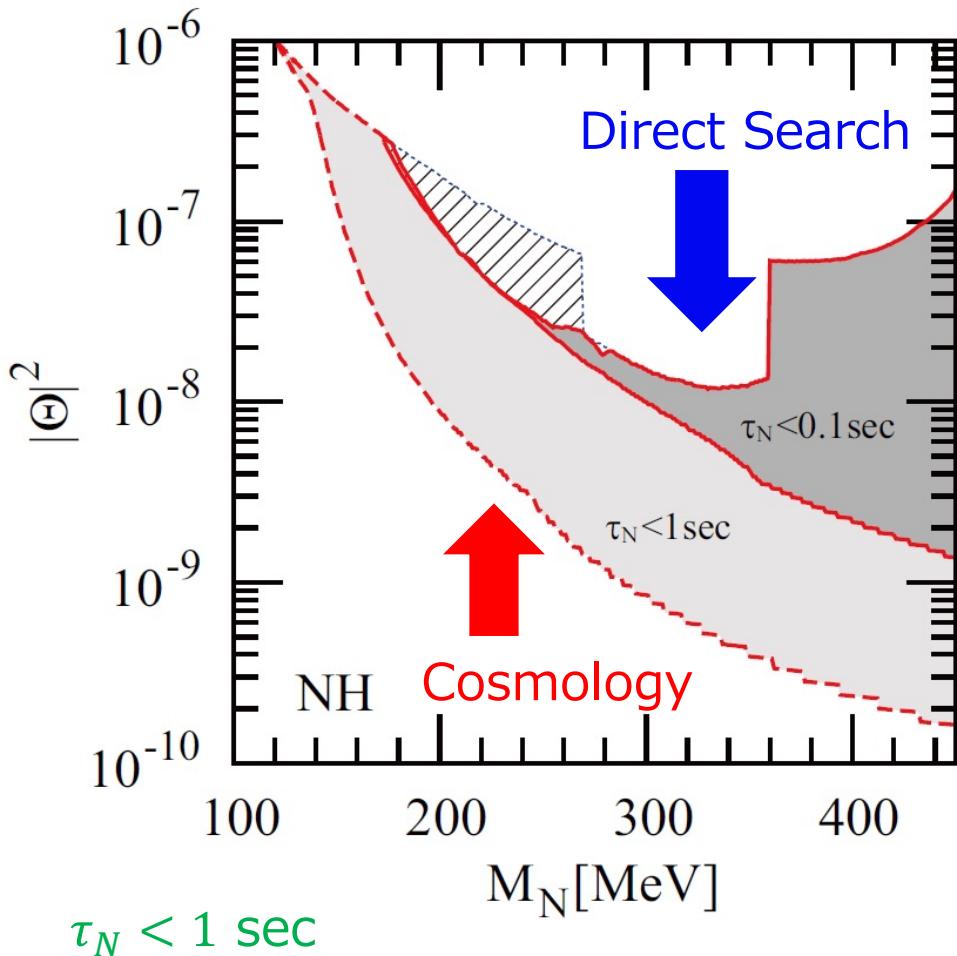
Region accounting for  $\frac{n_B}{s} = (8.55-9.00) \times 10^{-11}$



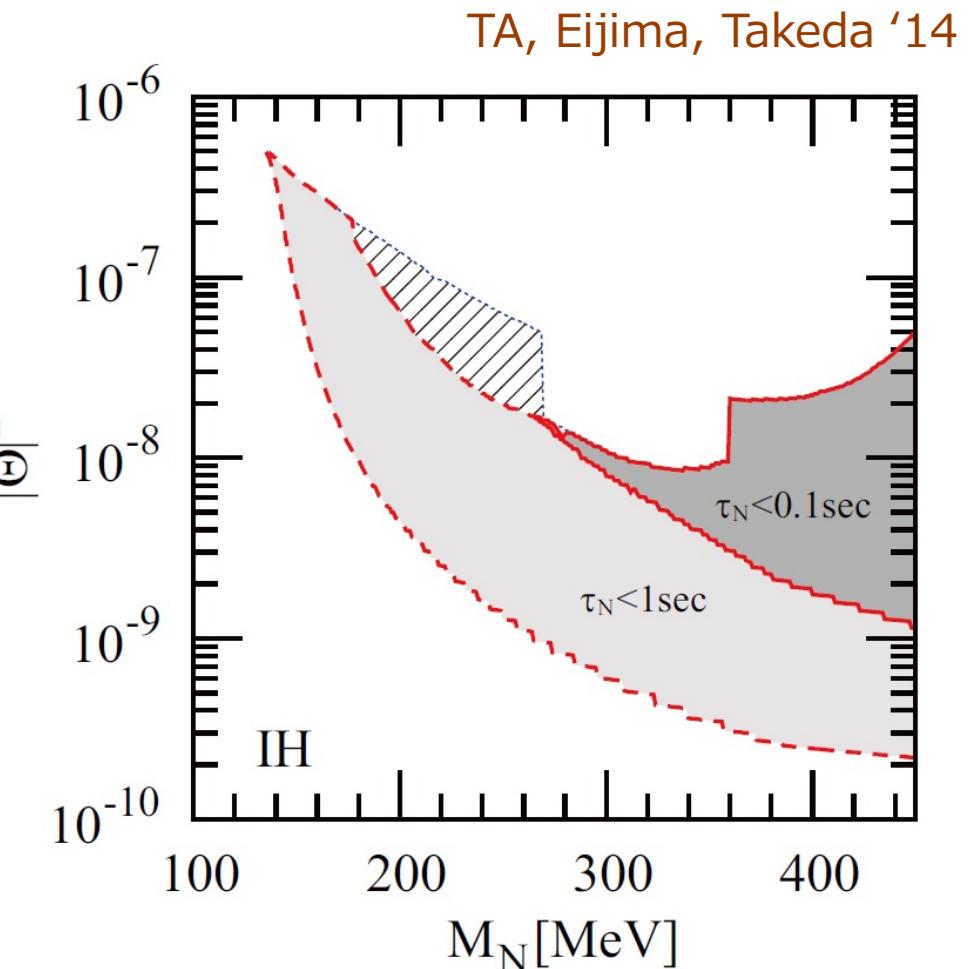
$M_N > 2.1 \text{ MeV (NH)}$

$M_N > 0.7 \text{ MeV (IH)}$

# Constraints on HNL

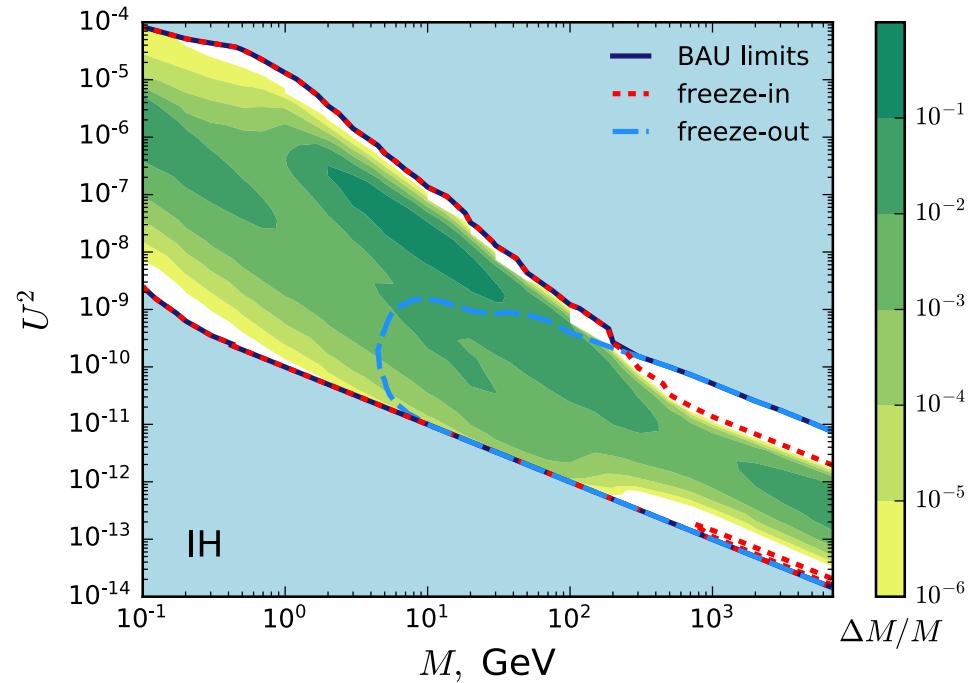
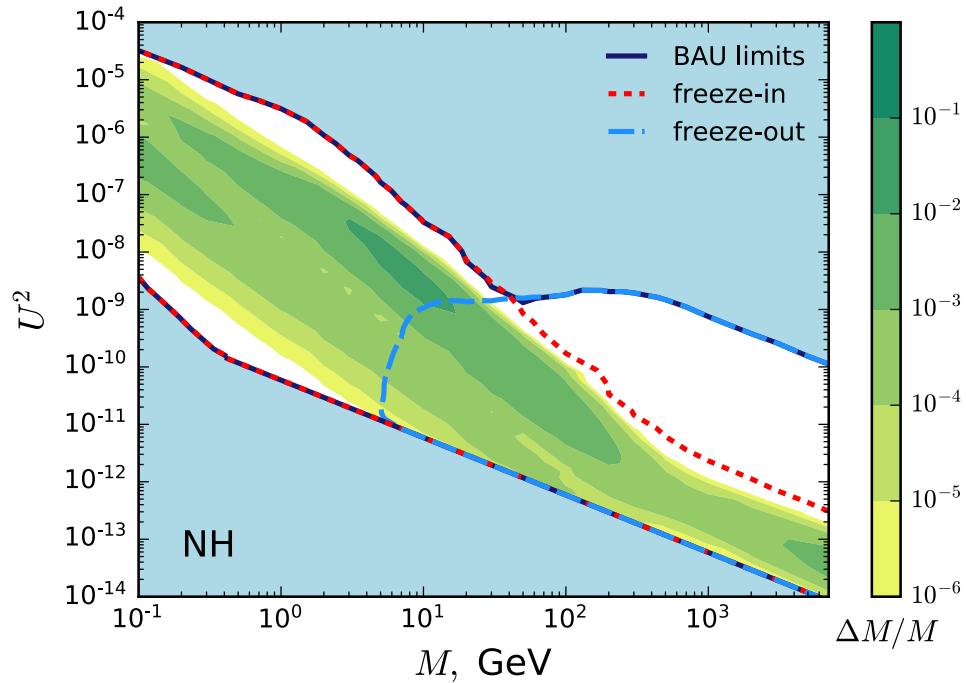


$M_N > 122 \text{ MeV (NH)}$



$M_N > 136 \text{ MeV (IH)}$

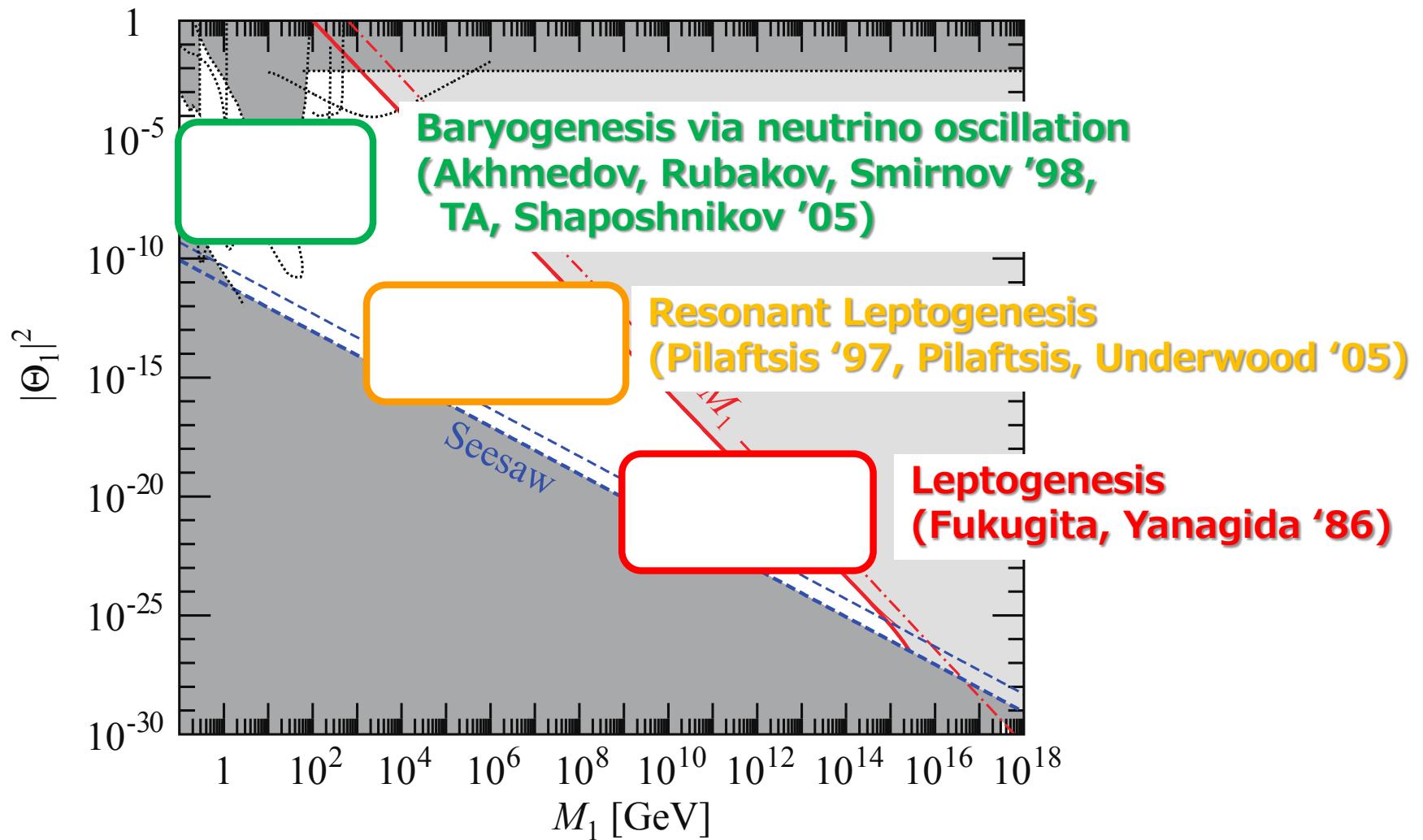
# Baryogenesis region



Klaric, Shaposhnikov, Timiryasov '21 [2103.16545]

# Leptogenesis scenarios

TA, Tsuyuki '15



# Experimental tests for leptogenesis scenarios



# RH neutrinos and baryogenesis

## Extension by $\nu_R$ (seesaw)

$L$  violation  
by Majorana masses

CP violation  
in neutrino sector

New particles  
(HNL/RH neutrinos )

- Neutrinoless double beta decay
- Inverse neutrinoless double beta decay
- LNV meson decay
- ...

- CPV neutrino oscillation
- EDM
- ...

- Peak searches
- Beam dump experiments
- ...

**Experimental tests are crucial !!**

# Lepton number violation in the seesaw mechanism

- 1) Neutrinoless double beta decay
- 2) Inverse neutrinoless double beta decay
- 3) LNV meson decay

# Neutrinoless double beta ( $0\nu\beta\beta$ ) decay

- Neutrinoless double beta ( $0\nu\beta\beta$ ) decay

$$(Z, A) \rightarrow (Z + 2, A) + 2e^-$$

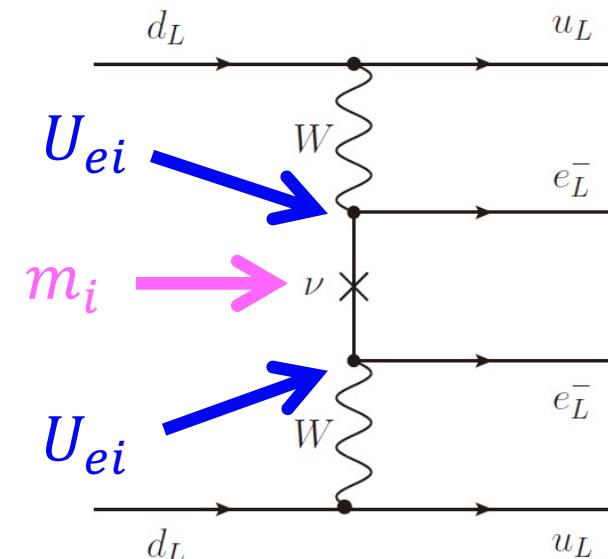
W.H. Furry 1939

- LNV ( $\Delta L = +2$ ) process mediated by Majorana massive neutrinos

- Half-life of  $0\nu\beta\beta$  decay

$$T_{1/2}^{-1} = A \frac{m_p^2}{\langle p^2 \rangle^2} |m_{\text{eff}}|^2$$

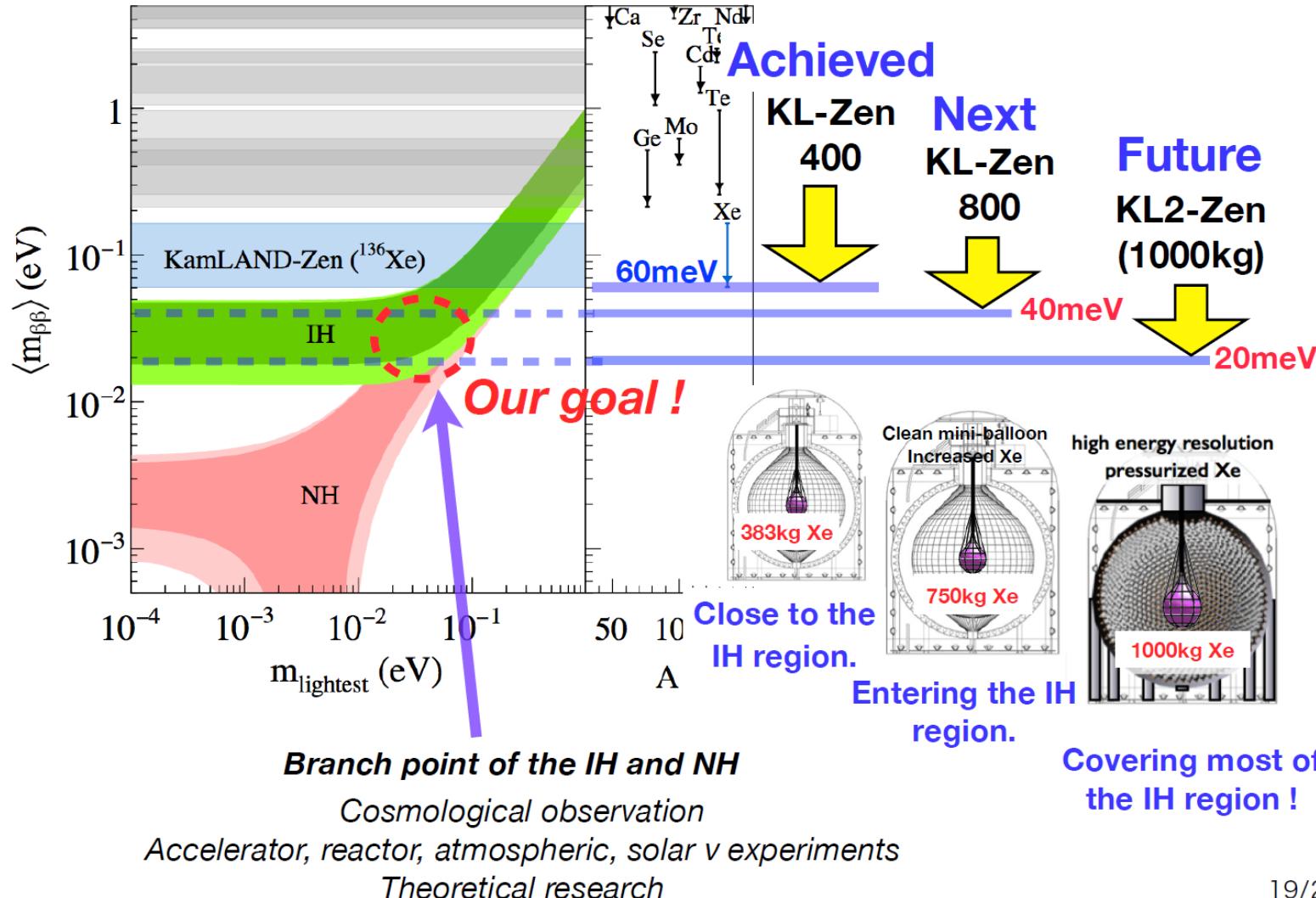
$$m_{\text{eff}} = \sum_{i=1,2,3} m_i U_{ei}^2$$



# Future prospects

## KamLAND-Zen sensitivity

Slide by J. Shirai  
@Neutrino2016



# Effective mass in low-scale seesaw

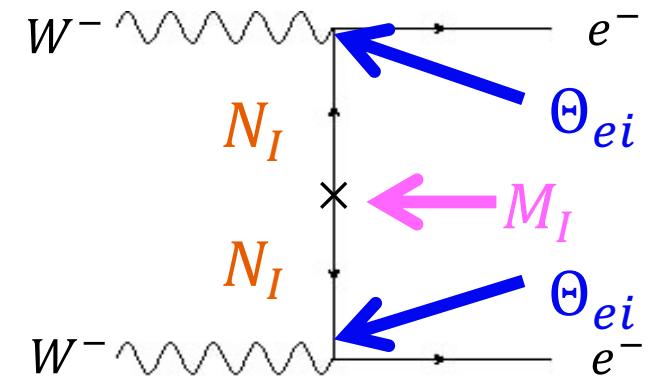
- Effective mass

$$m_{\text{eff}} = \frac{\sum_{i=1,2,3} m_i U_{ei}^2}{\text{active neutrinos } \nu_i} + \frac{\sum_I f_\beta(M_I) M_I \Theta_{ei}^2}{\text{HNLS } N_I}$$

$f_\beta(M_I) = \frac{\Lambda_\beta^2}{\Lambda_\beta^2 + M_I^2}$   
 $\Lambda_\beta \sim 200 \text{ MeV}$

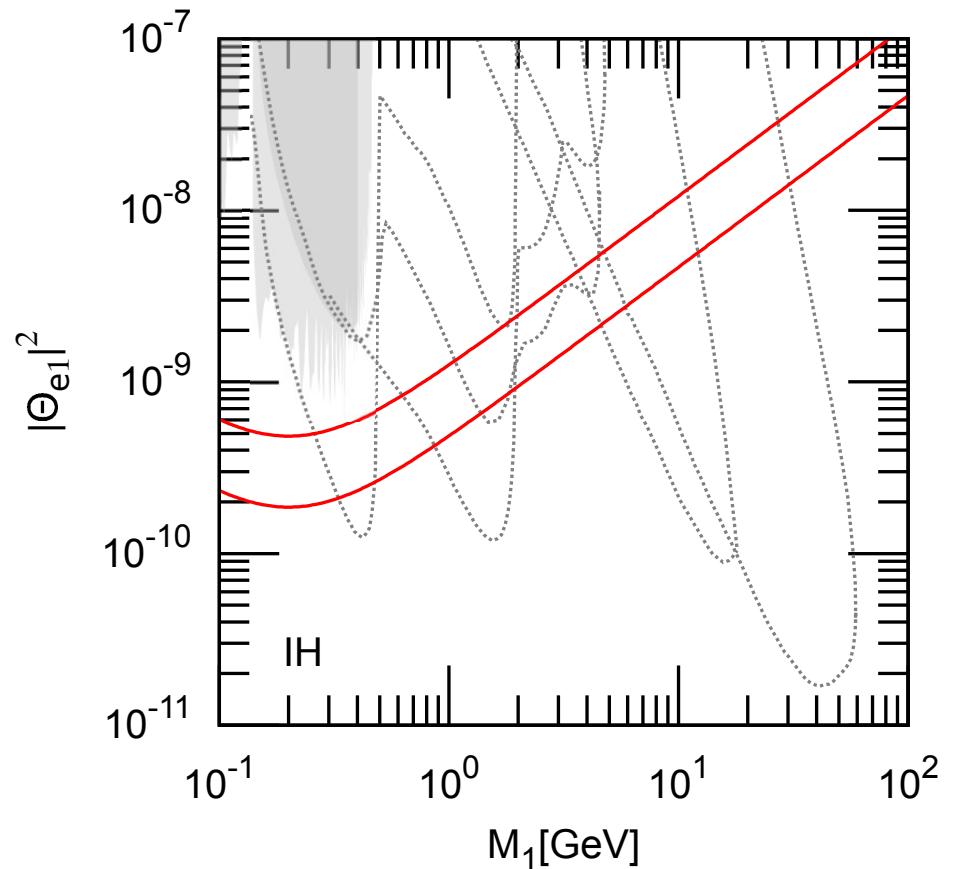
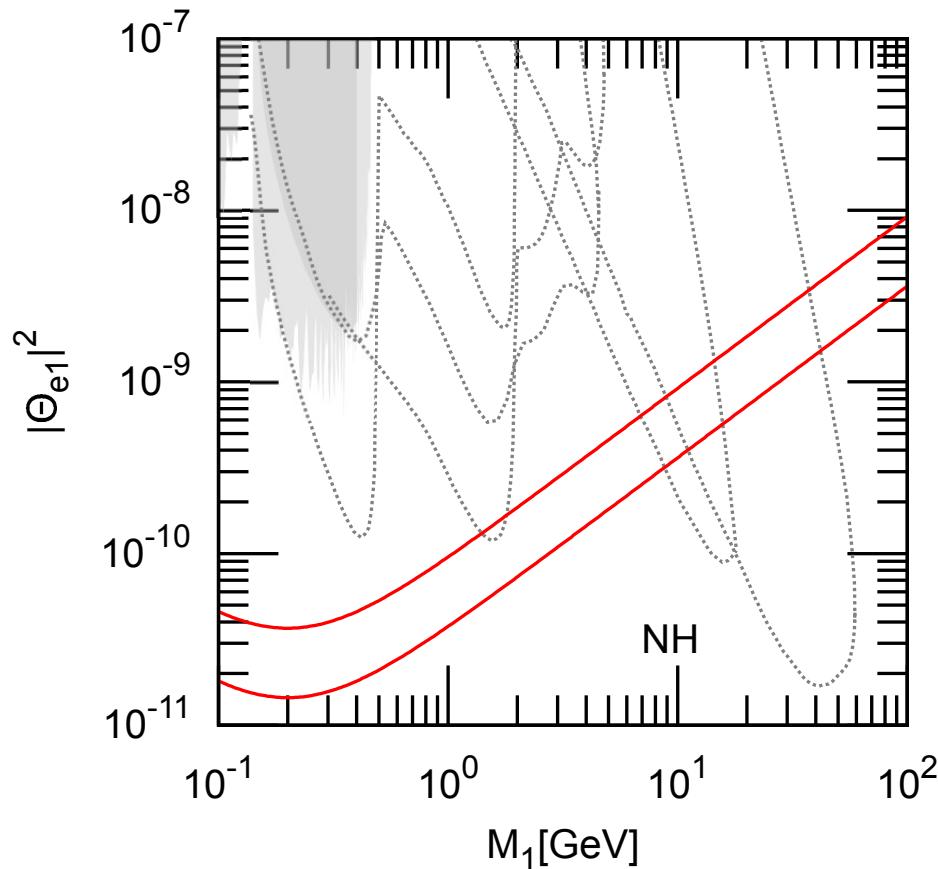
- $N_I$  may give a significant contribution to  $m_{\text{eff}}$  !

$$m_{\text{eff}}^N = \begin{cases} M_I \Theta_{ei}^2 & (M_I \ll \Lambda_\beta) \\ \frac{\Lambda_\beta^2}{M_I^2} M_I \Theta_{ei}^2 & (M_I \gg \Lambda_\beta) \end{cases}$$



# HNL may hide NDBD ( $m_{\text{eff}} = 0$ )

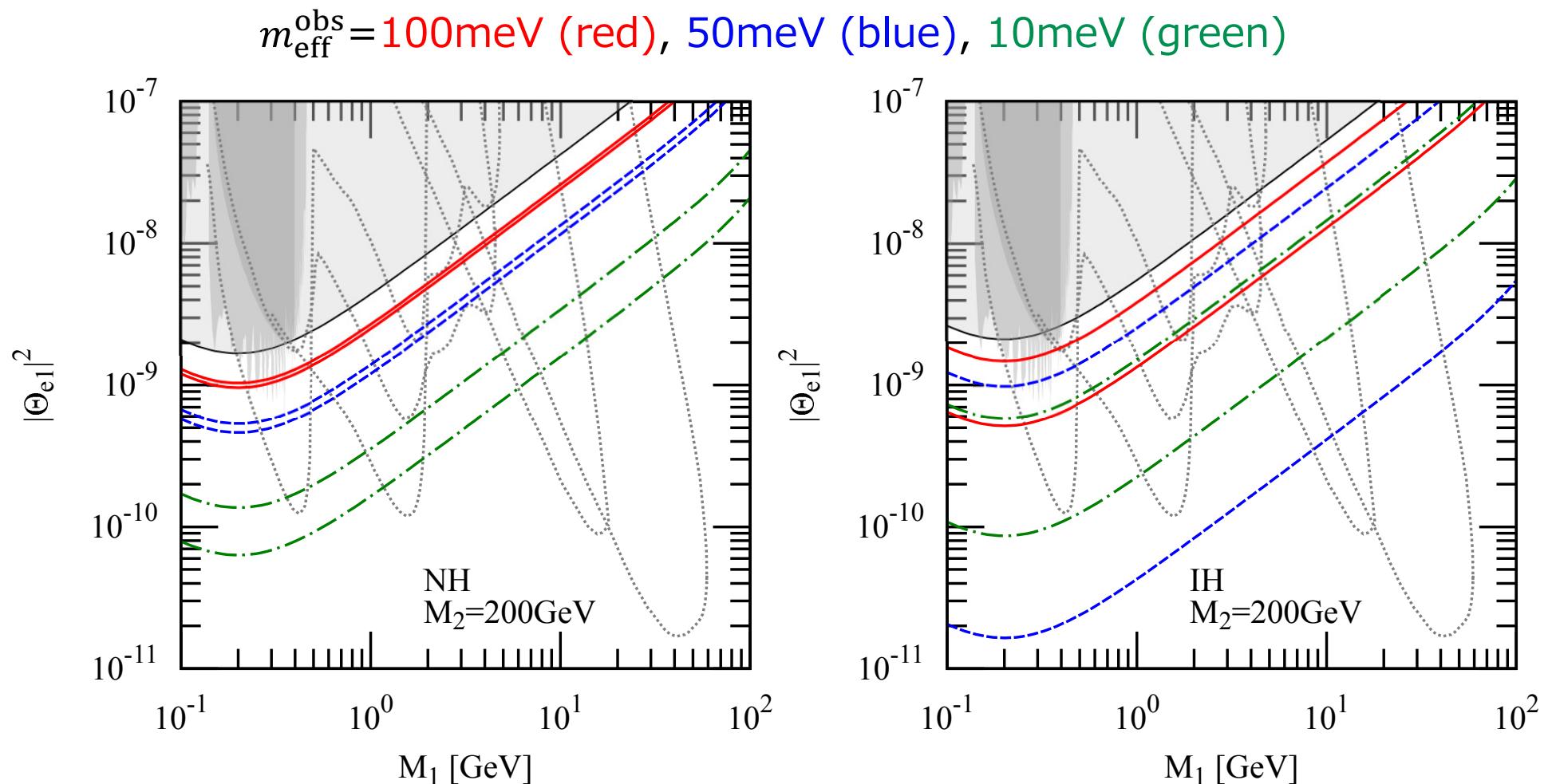
- Range of mixing element  $|\Theta_{e1}|^2$  is predicted



TA, Ishida, Tanaka arXiv:2012.13186

# HNL may enhance NDBD ( $m_{\text{eff}} > m_{\text{eff}}^\nu$ )

- Range of mixing element  $|\Theta_{e1}|^2$  is predicted



TA, Ishida, Tanaka arXiv:2101.12498

# NDBD in different nuclei

- Effective mass
  - ▣ Active neutrino contribution

$$m_{\text{eff}}^\nu = \sum_i m_i U_{ei}^2$$

independent on decay nuclei

- ▣ HNL contribution

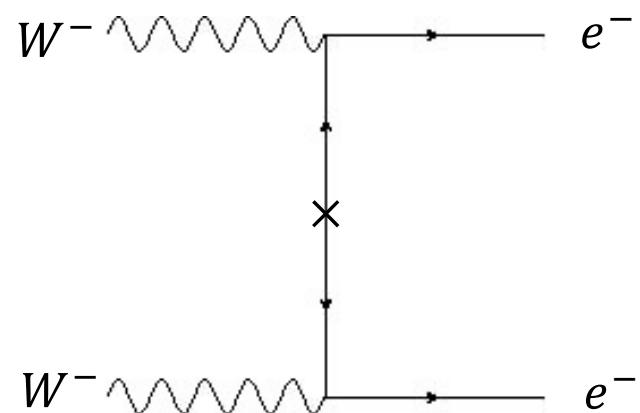
$$m_{\text{eff}}^N = \sum_I f_\beta(M_I) M_I \Theta_{eI}^2$$

dependent on decay nuclei !

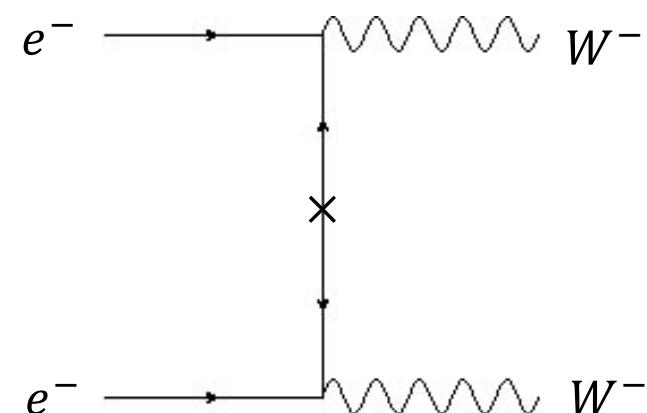
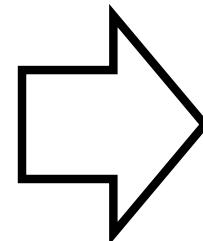
$$f_\beta(M_I) = \frac{\Lambda_\beta^2}{\Lambda_\beta^2 + M_I^2}$$

Multiple detection/non-detection by NDBD using different nuclei  
is crucial to reveal the properties of HNLs in the seesaw mechanism

## Another example of LNV:

$$e^- e^- \rightarrow W^- W^-$$


$0\nu\beta\beta$  decay

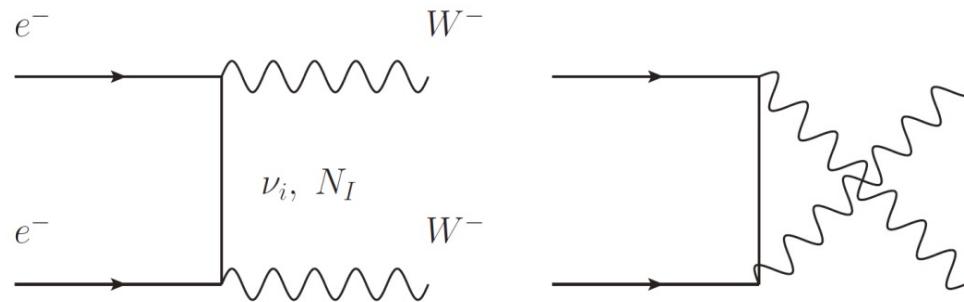


Inverse  $0\nu\beta\beta$  decay

# Inverse neutrinoless double beta ( $i0\nu\beta\beta$ ) decay

- $e^-e^- \rightarrow W^-W^-$  offers test for LNV

[T. G. Rizzo 1982]



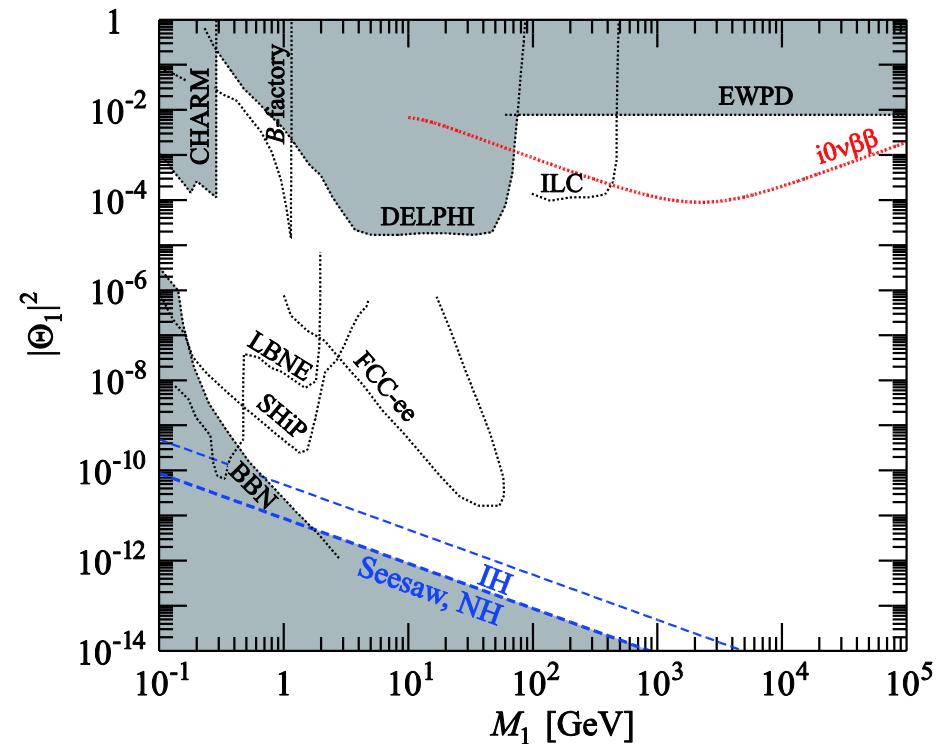
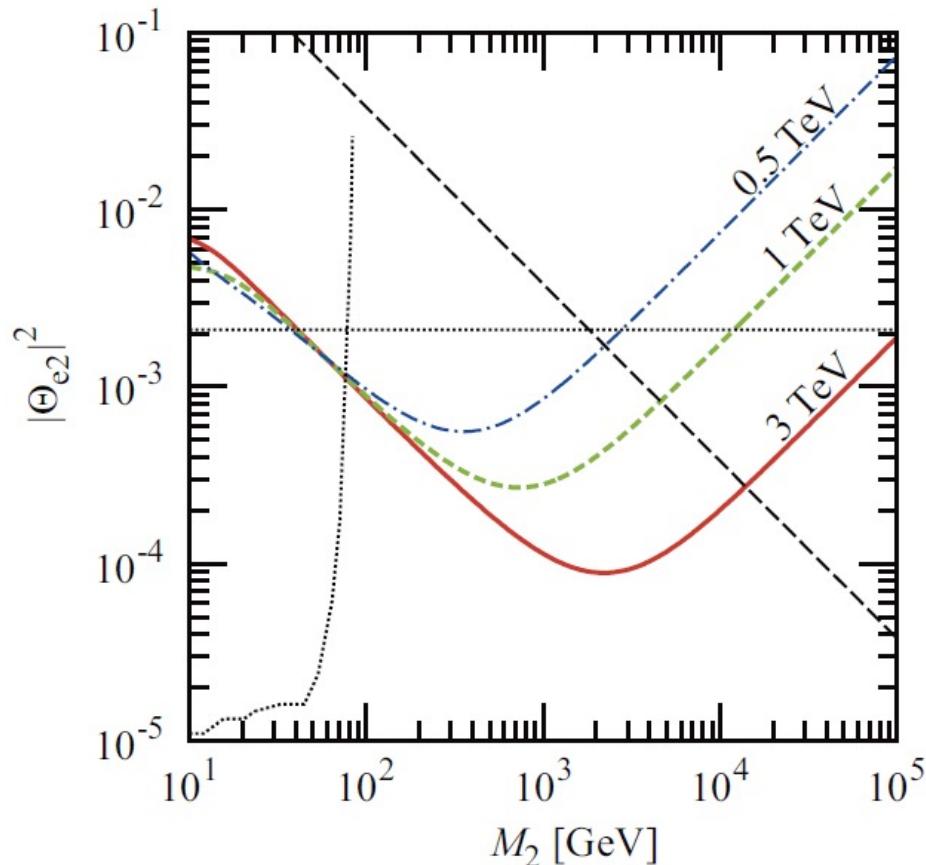
- $e^-e^-$  collision is option of ILC, CLIC
- Advantages over  $0\nu\beta\beta$  decay
  - Signal is clean
  - Free from uncertainty in nuclear matrix elements
  - Can occur even if  $0\nu\beta\beta$  decay is absent

→ Inverse  $0\nu\beta\beta$  decay and  $0\nu\beta\beta$  decay are complementary tests for LNV in the seesaw mechanism

# Inverse $0\nu\beta\beta$ decay in the seesaw

- Sensitivity of mixing (@100 fb $^{-1}$ )

TA, Tsuyuki '15





# Lepton number violation in meson decays

# **Lepton number violation by heavy Majorana neutrino in B decays**

**Takehiko Asaka (Niigata Univ.)**

**In collaboration with  
Hiroyuki Ishida (NCTS, Taiwan)**

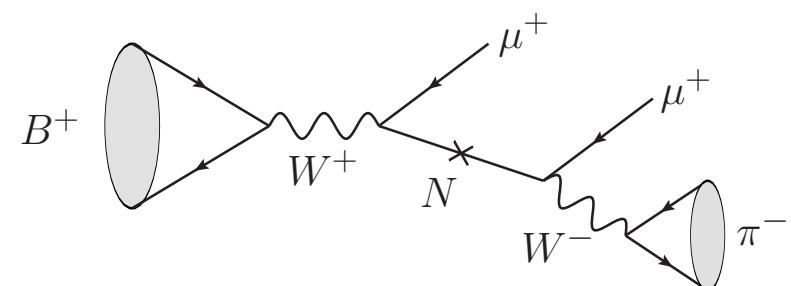
**Ref: arXiv:1609.06113  
to appear in Physics Letters B**

**Flavor Physics Workshop 2016  
@Iwamuro, Niigata (26-28 October, 2016)**

## GeV scale right-handed (RH) neutrinos $\nu_R$

- Why are such particles interesting?
  - ▣ Seesaw mechanism for neutrino masses
  - ▣ Oscillation mechanism for baryon asymmetry of the universe
  - ▣ Direct tests by (near) future experiments
- How do we test such particles?
  - ▣ Lepton number violating decays of B mesons,  
induced by Majorana properties of such particles

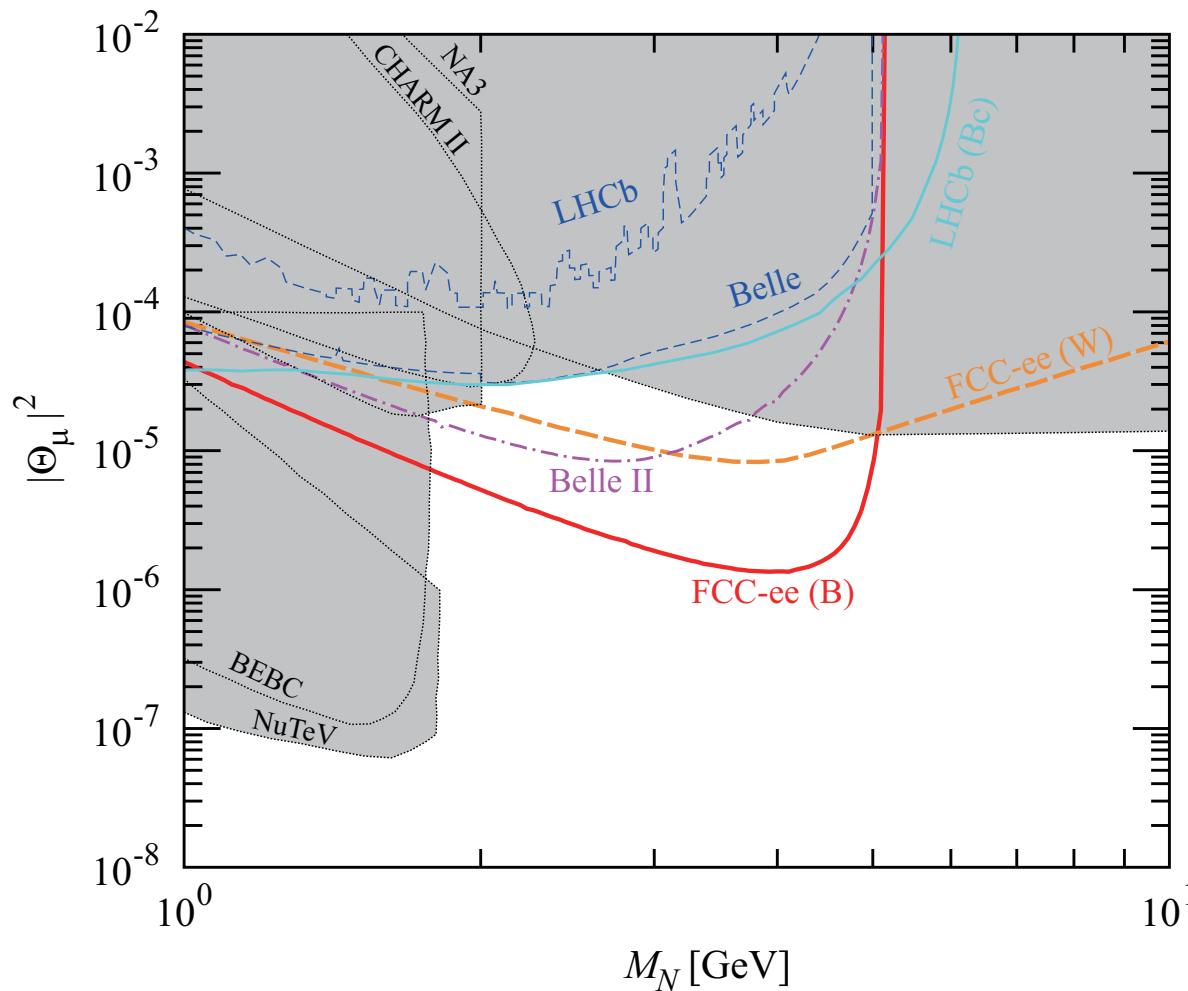
Search for  $B^+ \rightarrow \mu^+ \mu^+ \pi^-$   
at Belle II and ...



# Sensitivity limits on $|\Theta_\mu|^2$

- FCC-ee ( $\sqrt{s} = m_Z$ )

TA, Ishida [arXiv:1609.06113]



Sensitivity by using  
 $B^+ \rightarrow \mu^+ \mu^+ \pi^-$

Belle II with  $N_B = 5 \times 10^{10}$   
FCC-ee (B) with  $N_Z = 10^{13}$   
 $(Z \rightarrow b \bar{b} \Rightarrow N_B \simeq 6 \times 10^{11})$

Cf. Sensitivity by using  
 $W^+ \rightarrow \mu^+ \mu^+ \pi^-$   
FCC-ee (W)  
with  $N_W = 2 \times 10^8$



# CPV and Leptogenesis

# Decay rate asymmetry

- Leptogenesis

$$\begin{aligned}
 \varepsilon_1 &= \frac{\Gamma(N_1 \rightarrow L_L + \bar{\Phi}) - \Gamma(N_1 \rightarrow \bar{L}_L + \Phi)}{\Gamma(N_1 \rightarrow L_L + \bar{\Phi}) + \Gamma(N_1 \rightarrow \bar{L}_L + \Phi)} \\
 &= \frac{1}{8\pi} \sum_{J \neq 1} \frac{\text{Im}(F^\dagger F)_{1J}^2}{(F^\dagger F)_{11}} \left[ f\left(\frac{M_J^2}{M_1^2}\right) + g\left(\frac{M_J^2}{M_1^2}\right) \right] \\
 f(x) &= x^{\frac{1}{2}} \left[ 1 + (1+x)\log\left(\frac{x}{x+1}\right) \right] \quad g(x) = \frac{x^{\frac{1}{2}}}{1-x}
 \end{aligned}$$

$\varepsilon_1$  is determined by Yukawa couplings  
and Majorana masses of RH neutrinos

**CPV in neutrino Yukawa couplings is important !!**

# BAU and CPV in neutrino sector

- Neutrino Yukawa couplings

$$M_\nu = -M_D^T M_{N,\text{diag}}^{-1} M_D \quad \xrightarrow{\quad} \quad \text{Casas, Ibarra ('01)}$$

$$F = \frac{i}{\langle \Phi \rangle} \ U \ M_{\nu,\text{diag}}^{1/2} \ \Omega \ M_{N,\text{diag}}^{1/2}$$

In mixing matrix  $U$   
of active neutrinos

Dirac phase

Majorana phase(s)

In mixing matrix  $\Omega$   
of RH neutrinos

Phase(s) for  $\nu_R$

These phases are essential for BAU !

# CPV in active neutrino sector

- PMNS mixing matrix of active neutrinos

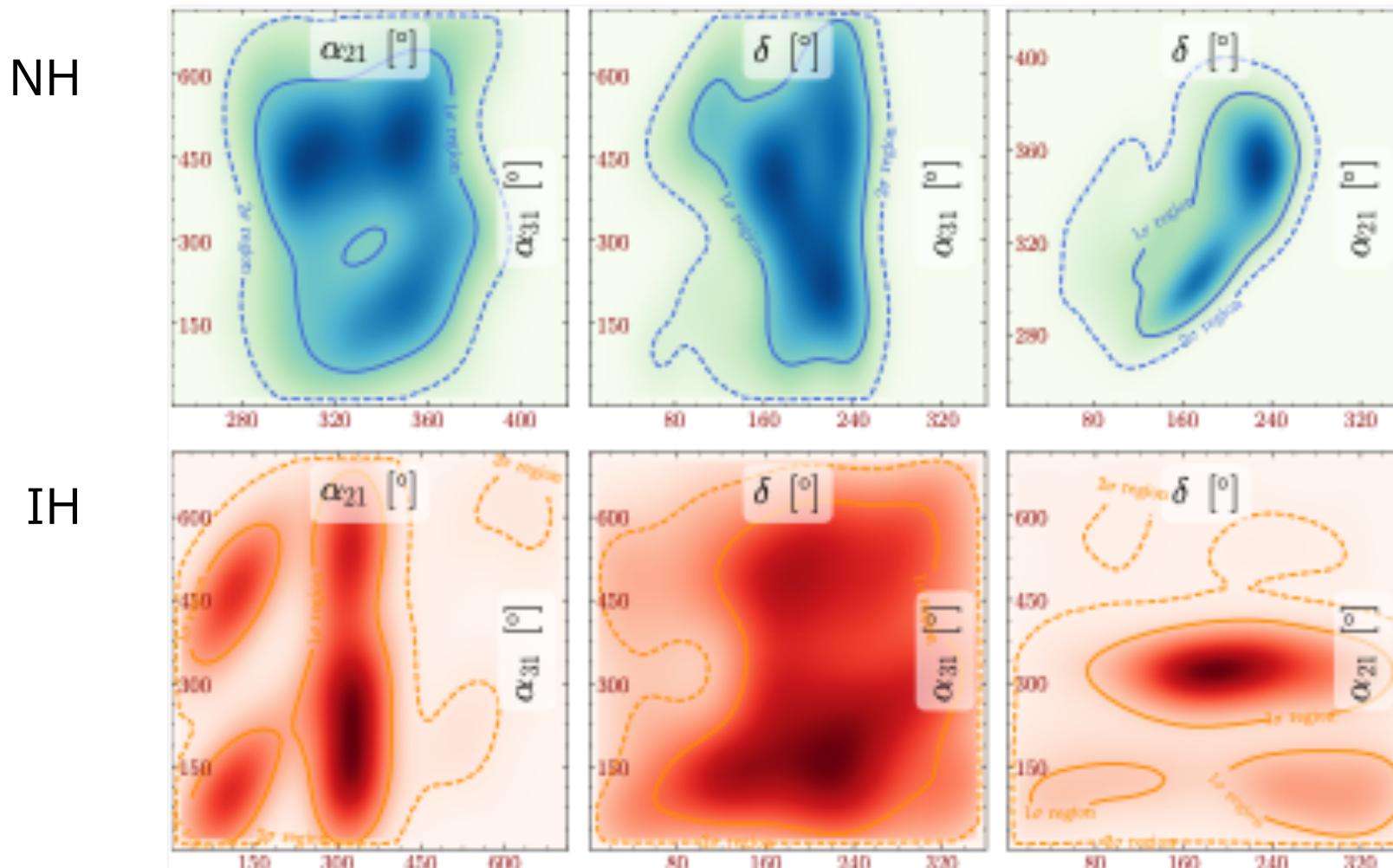
$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_{31}}{2}} \end{pmatrix}$$

- Dirac phase  $\delta$
- Majorana phases  $\alpha_{21}$  and  $\alpha_{31}$
- CPV in PMNS matrix can be source of BAU
  - Decay rate asymmetry  $\varepsilon_1$  does NOT depend on  $U$
  - But, the flavor effects (for  $M_1 \lesssim 0(10^{13})\text{GeV}$ ) give the connection between CPV in PMNS matrix and BAU

→ Dirac and Majorana phases in PMNS matrix can be a source of BAU !!

# Thermal Leptogenesis

- $M_1 = 10^{10}$  GeV (Hierarchical masses for RH neutrinos)

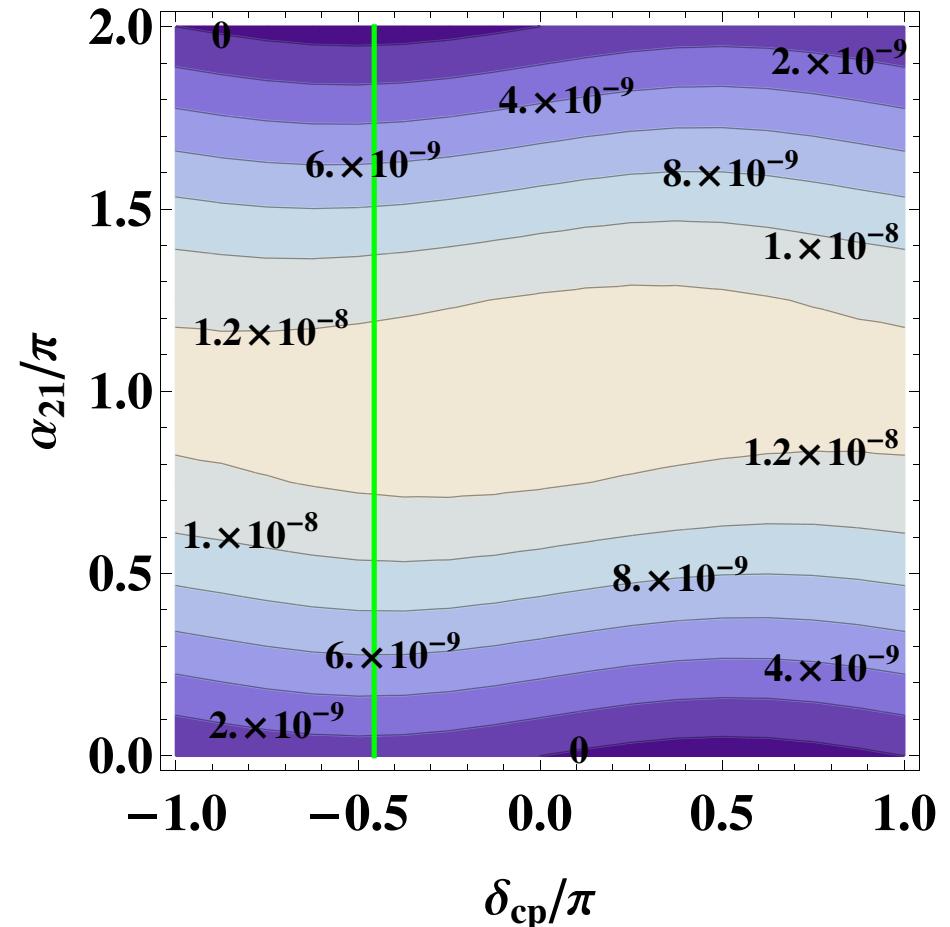
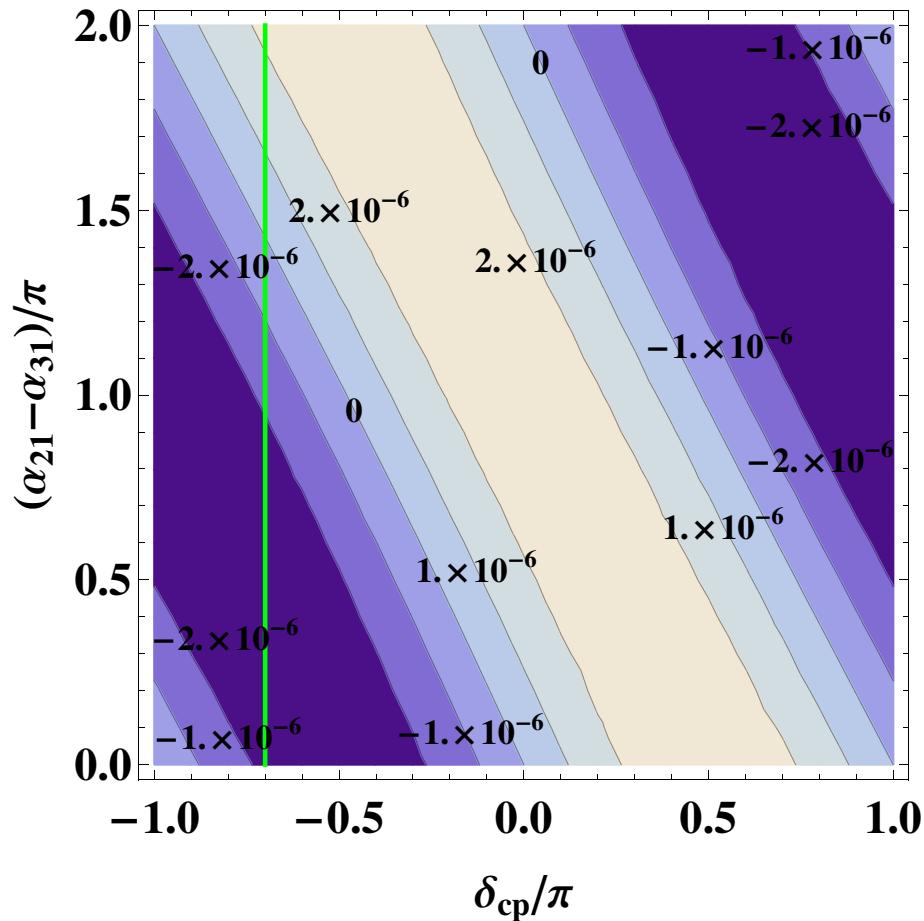


Moffat, Pascoli, Petcov, Turner '19

2021/09/21

# Resonant Leptogenesis

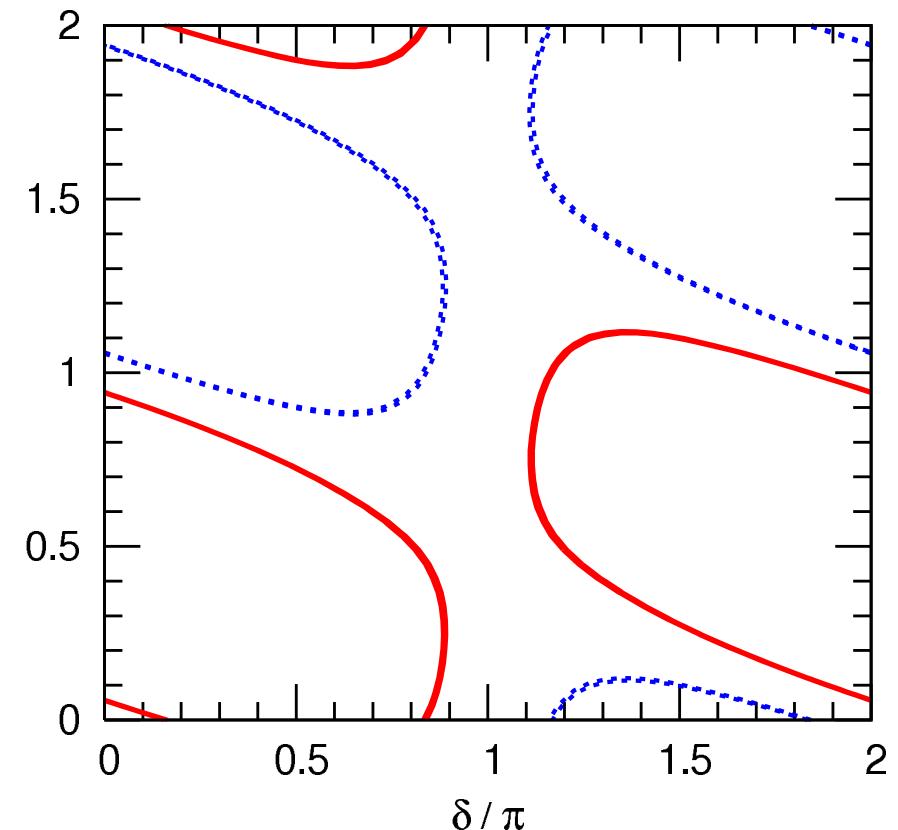
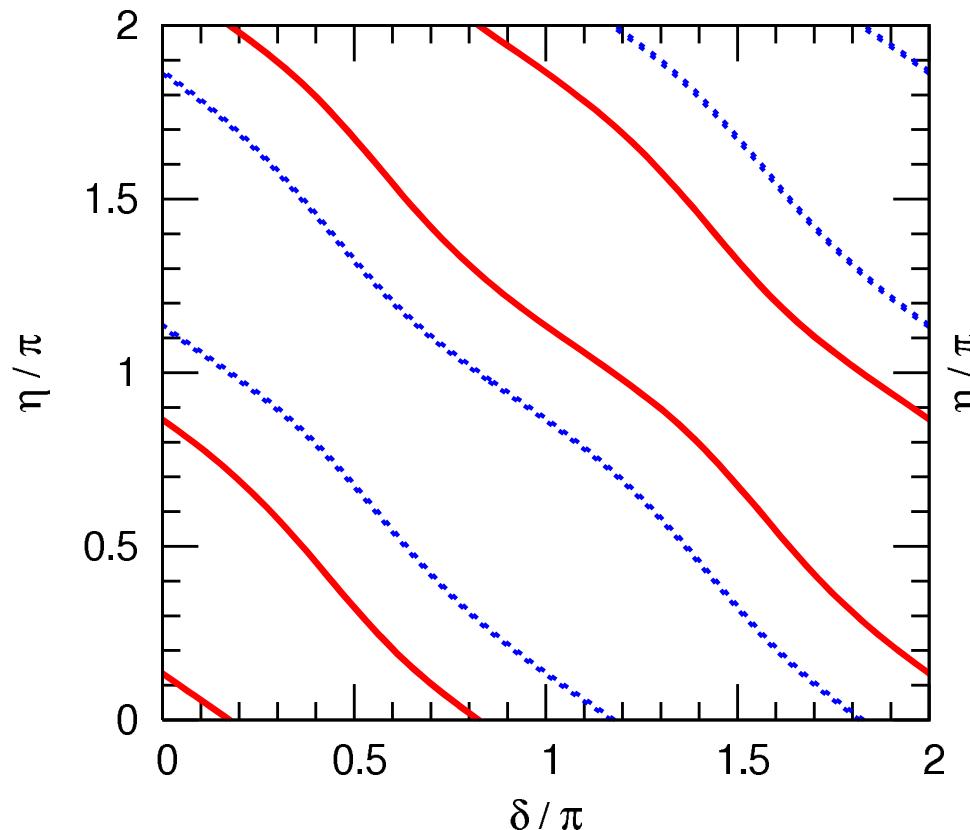
- $M_{1,2} = 1 \text{ TeV}$  (quasi-degenerate)



TA, Yoshida '19

# Baryogenesis via RH neutrino oscillation

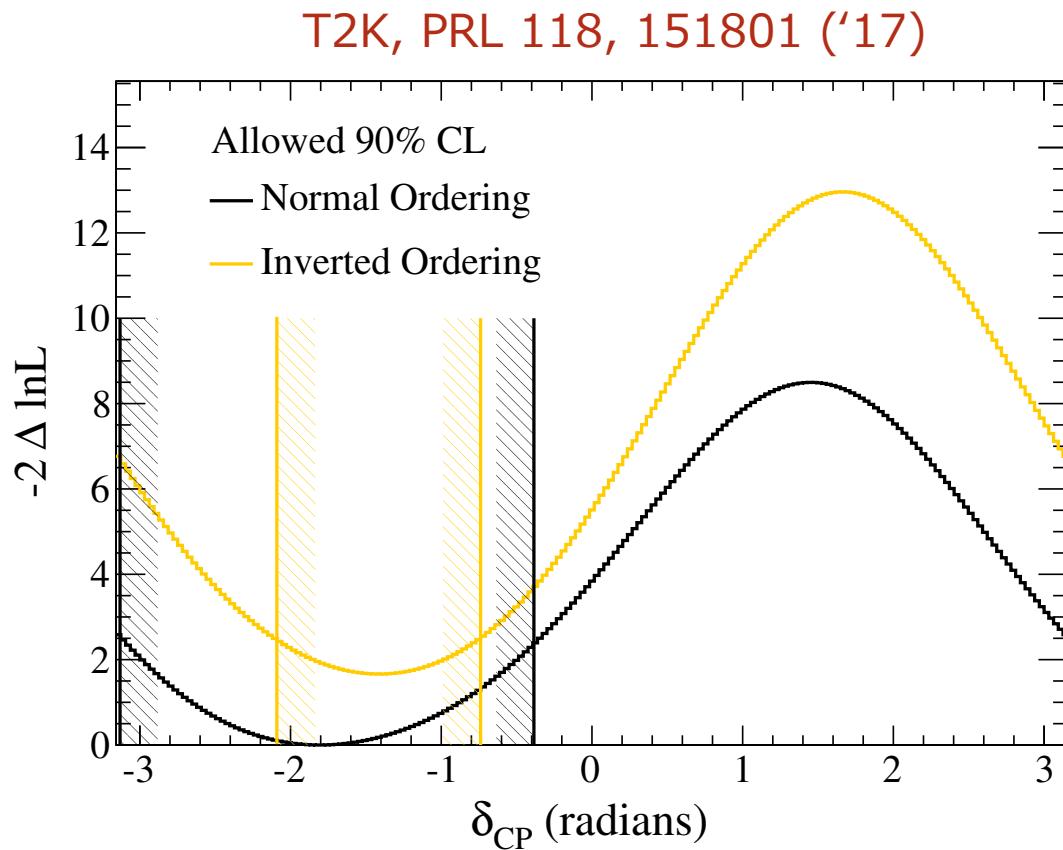
- $M_{1,2} = 5 \text{ GeV}$  (quasi-degenerate)



TA, Ishida '10

# BAU and CPV in neutrino sector

- T2K and NOvA indicate CPV in neutrino sector

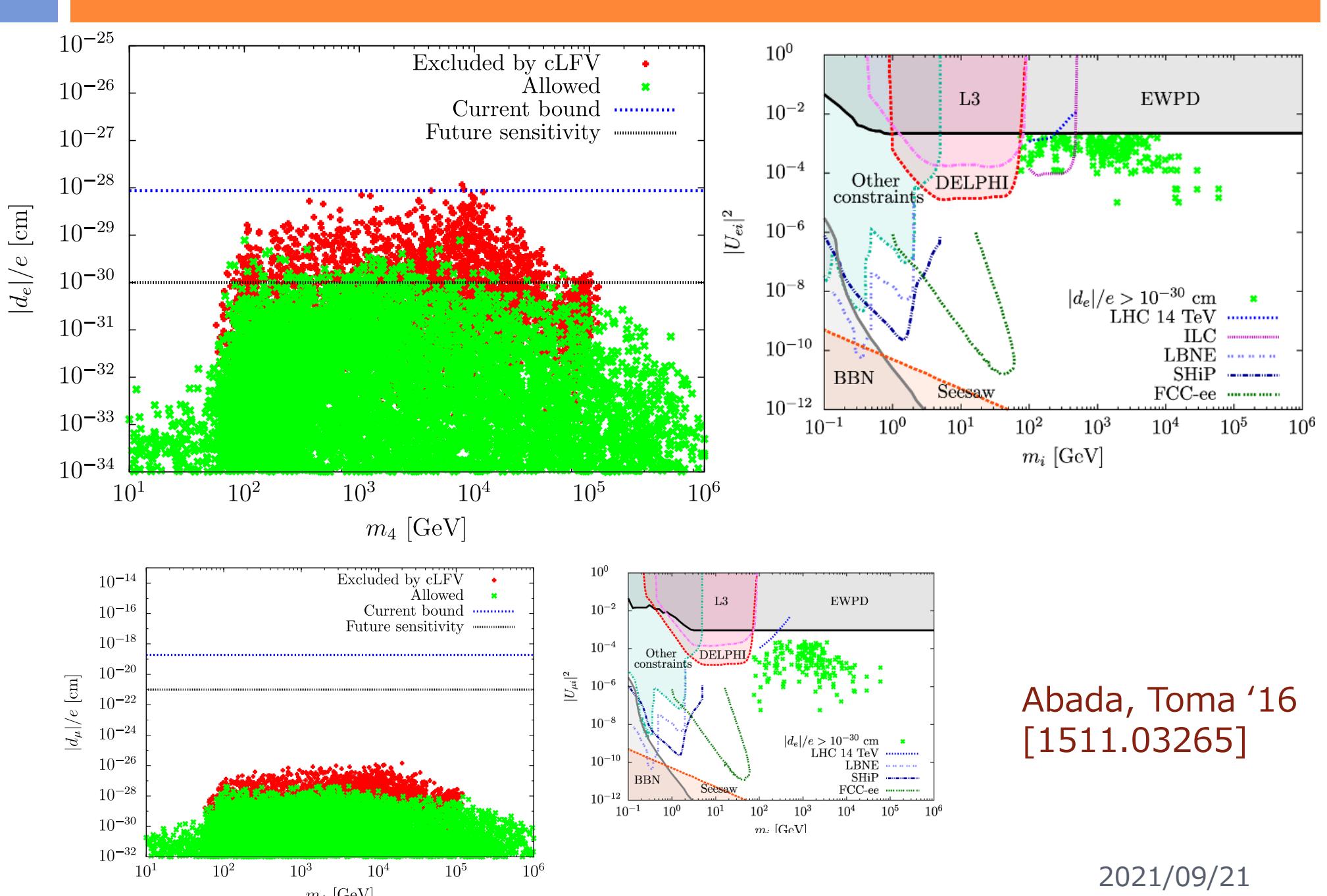


Non-zero Dirac phase

$$\delta \sim -\frac{\pi}{2} \quad \left( \text{or } \frac{3\pi}{2} \right)$$

Important step to understand baryogenesis by RH neutrinos !

# Electric dipole moments of charged leptons



Abada, Toma '16  
[1511.03265]

# Search for HNL ( $\nu_R$ )



# Search for Heavy Neutral Leptons

- Production by meson decays

$$K^+ \rightarrow e^+ N, K^+ \rightarrow \mu^+ N, \dots$$

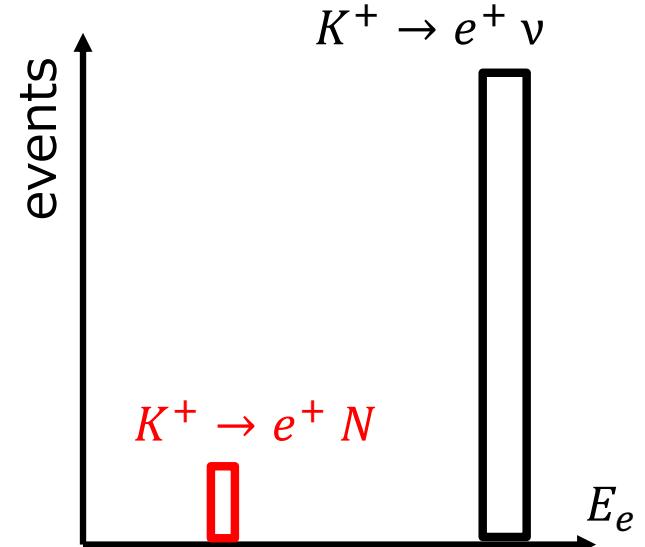
## □ Peak search experiments

- Measure  $E_e$  in  $K^+ \rightarrow e^+ N$

[Shrock '80]

$$E_e = \frac{m_K^2 - m_e^2 - M_N^2}{2 m_K}$$

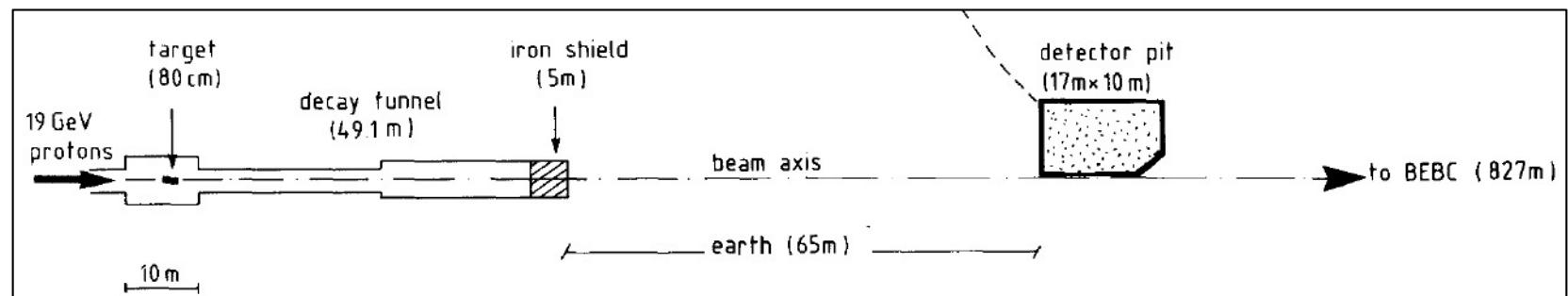
## □ Beam dump experiments



$$K^+ \rightarrow e^+ N$$

$$N \rightarrow \ell^+ \ell^- \nu + c.c.$$

CERN  
PS191



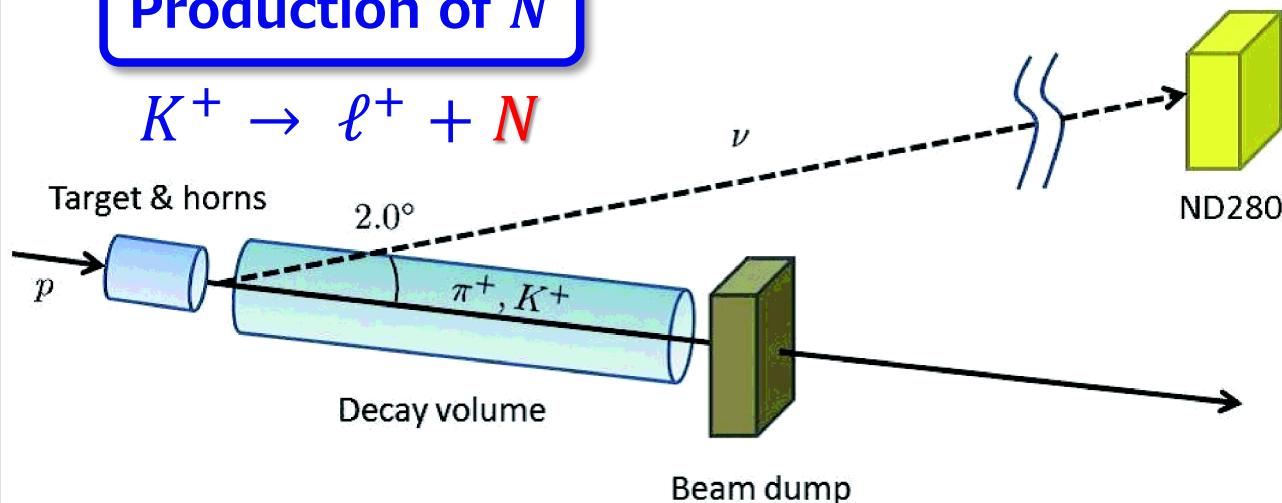
# Search for HNLs at T2K

TA, Eijima, Watanabe  
[JHEP1303 (2013) 125]

73

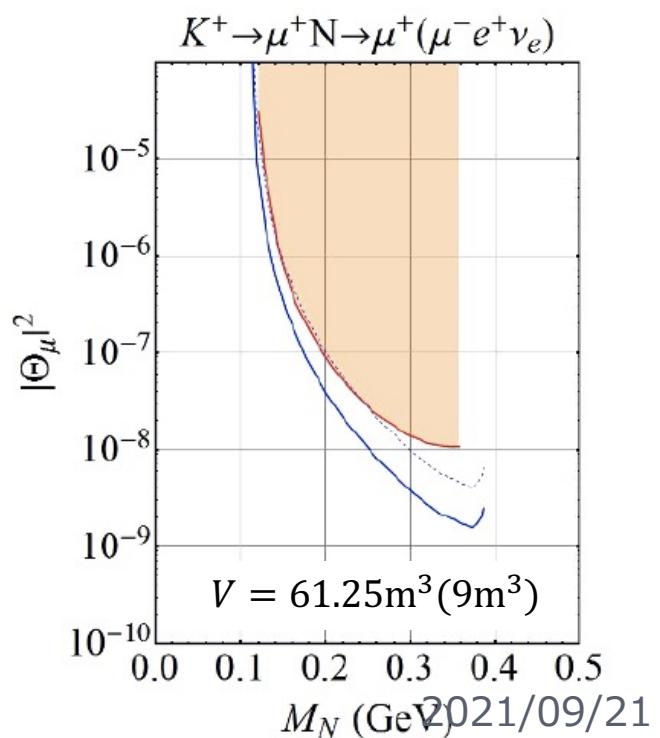
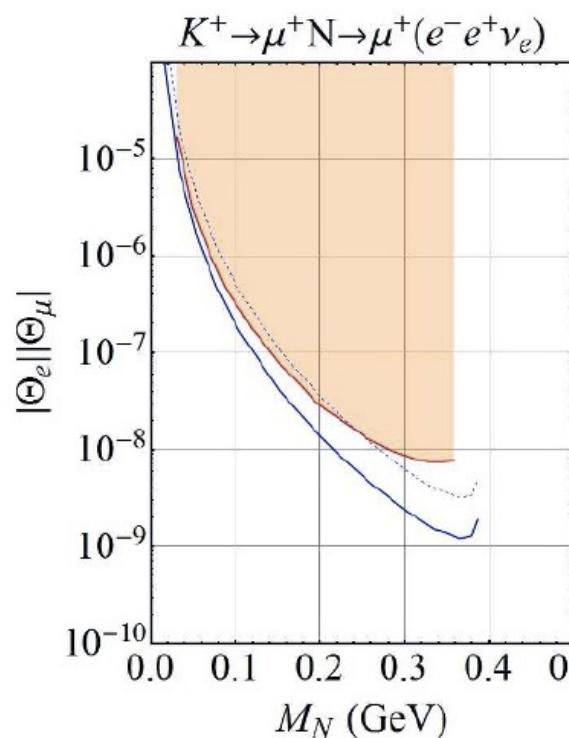
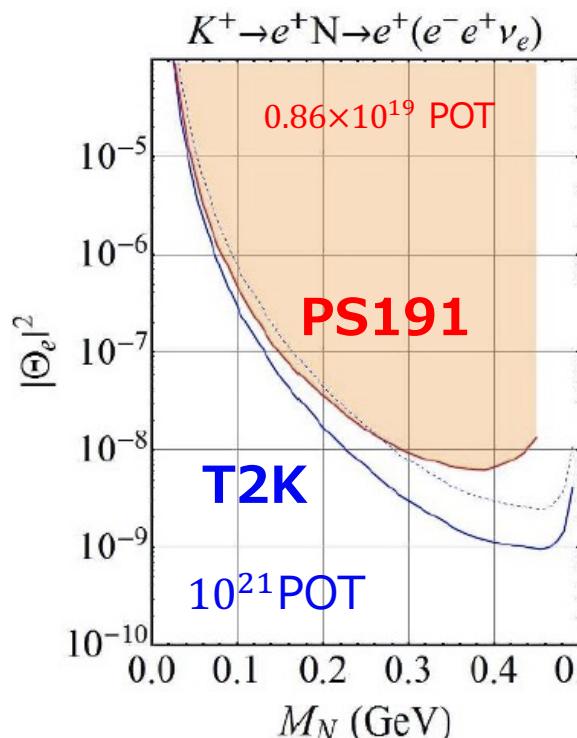
## Production of $N$

$$K^+ \rightarrow \ell^+ + N$$



## Detection of $N$

$$N \rightarrow \ell^- + \ell^+ + \nu$$



2021/09/21

# Search for heavy neutrinos with the T2K near detector ND280

(The T2K Collaboration)

arXiv:1902.07598v1 [hep-ex] 20 Feb 2019

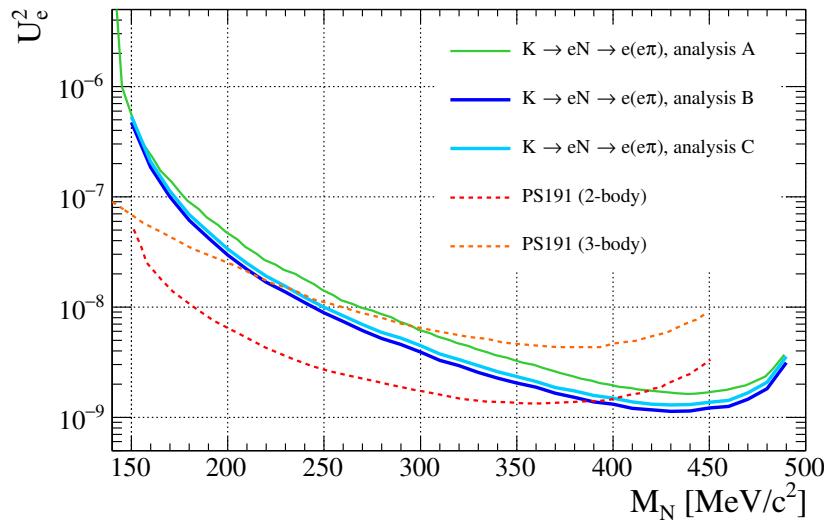


FIG. 5. 90% upper limits on the mixing element  $U_e^2$  as a function of heavy neutrino mass using the single-channel approach, considering only the contribution from  $K^\pm \rightarrow e^\pm N, N \rightarrow e^\pm \pi^\mp$ , with the three methods **A**, **B** and **C**. The limits are compared to the ones of PS191 experiment [6, 7].

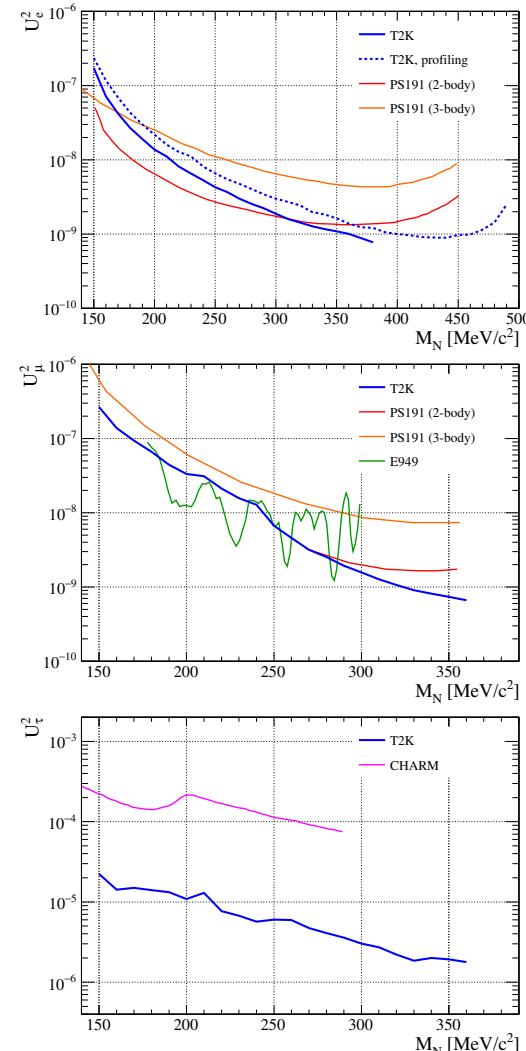
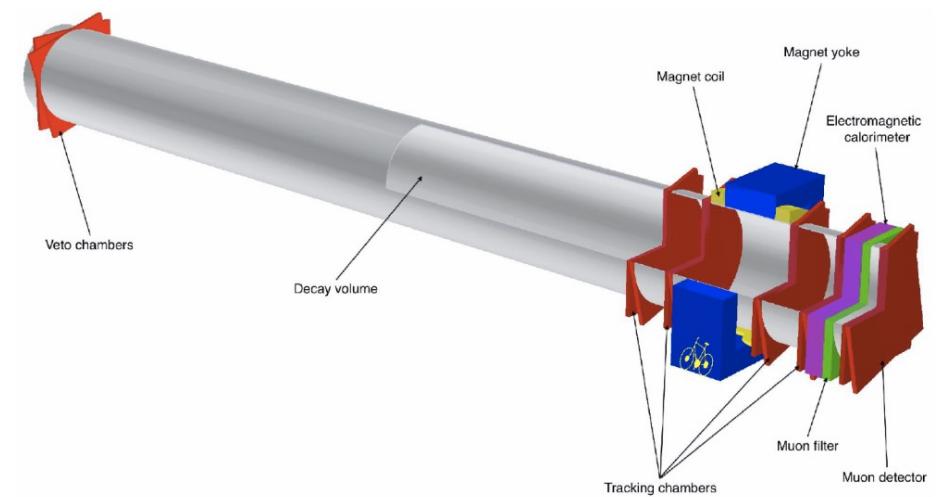
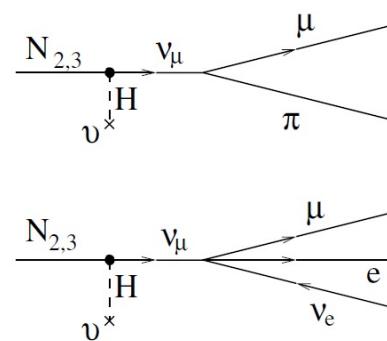
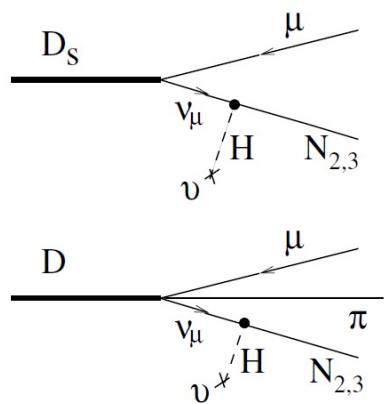


FIG. 6. 90% upper limits on the mixing elements  $U_e^2$  (top),  $U_\mu^2$  (middle),  $U_\tau^2$  (bottom) as a function of heavy neutrino mass, obtained with the combined approach. The blue solid lines are obtained after marginalisation over the two other mixing elements. In the top plot, the additional blue dashed line corresponds to the case where profiling is used ( $U_\mu^2 = U_\tau^2 = 0$ ). The limits are compared to the ones of other experiments: PS191 [6, 7], E949 [5], CHARM [25].

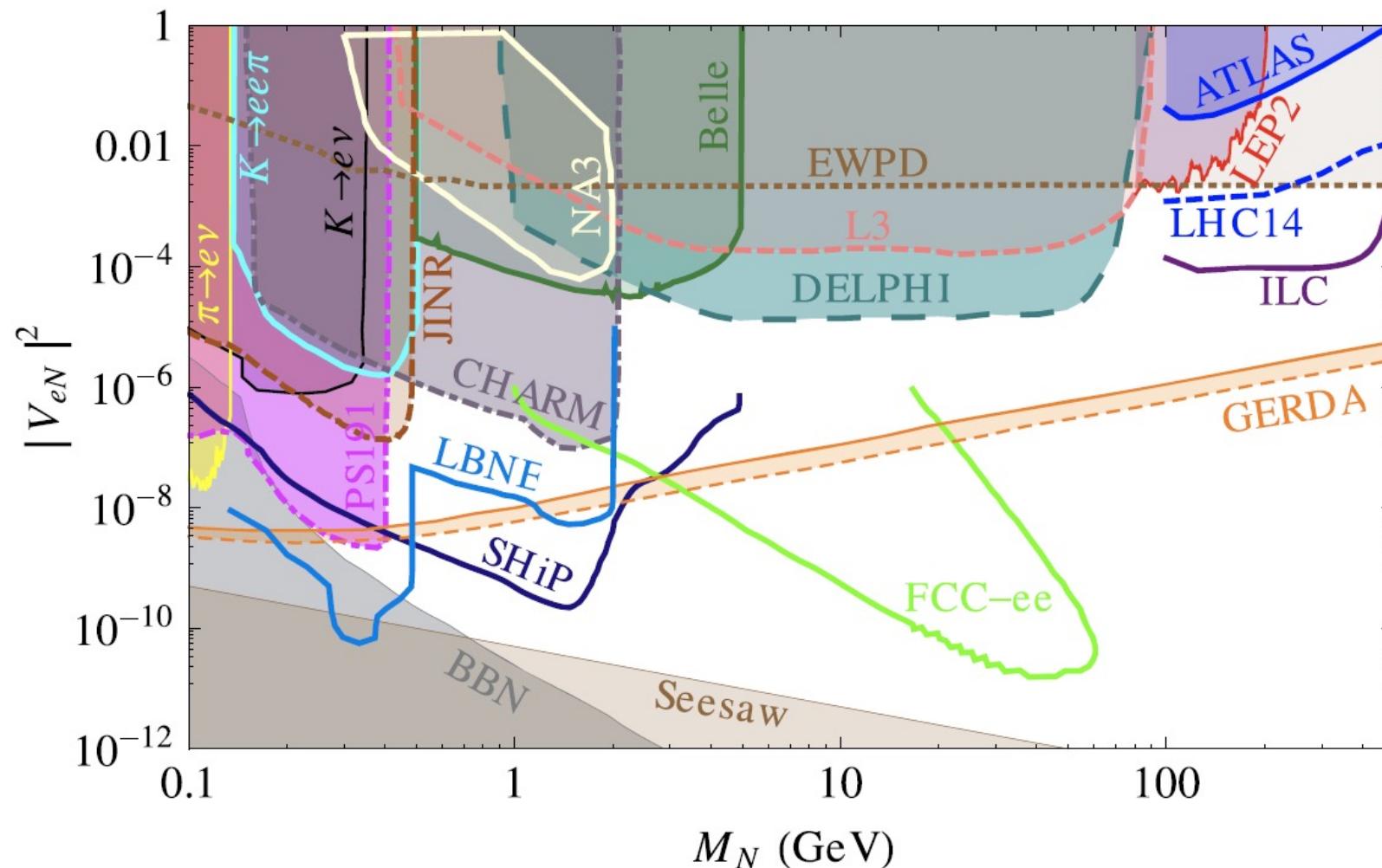
- A new fixed-target experiment at the CERN SPS accelerator is proposed that will use decays of charm mesons to search for Heavy Neutral Leptons



# Limits on mixing of HNL

- Limits on mixing  $\Theta_{eI}$

Deppisch, Dev, Pilaftsis '15



# Summary

- Leptogenesis
  - Connection between neutrino masses and BAU is attractive and important idea
  - Various scenarios are possible depending on RH neutrino masses
- Conventional seesaw scenario ( $M_N > 10^9 \text{ GeV}$ )
  - [Seesaw + Leptogenesis]
  - natural framework of SUSY GUT ...
  - Exp. test for RH neutrinos is impossible
- Connection can be obtained even lighter mass region
  - [Seesaw + Baryogenesis via RH neutrino osc.]
  - Such RH neutrinos might be tested!