(some aspects of) Neutrino oscillation experiments

2022-11-09, Flavor Physics Workshop Lukas Berns (T2K, HK Collaboration)



Overview

- Current 3-flavor picture
- Example: T2K \rightarrow SK+T2K joint
- Future prospects for 3-flavor
- Sterile neutrinos

Neutrino oscillation

- Production / detection of neutrinos, via charged current in association with charged leptons *e*, μ, τ
 → flavor eigenstates
- naver eigenetatee
- Mass/phase evolution of neutrinos controlled by ← only exists if ν_R is introduced complex Yukawa couplings with Higgs (or any other mechanism) ^(coupling of L and ν_R)
 → diagonalize to get Hamiltonian eigenstates



Neutrinos are very light and also barely interact.
 Coherence preserved over millions of km.















Perfect plate

Slightly deformed



Perfect plate

Slightly deformed



Typical parametrization



• Two mass scales: Δm^2 (color reactor)

 Δm_{21}^2 (solar, reactor) typically 32 km / 1 MeV Δm_{32}^2 (atmospheric, accelerator) typically 1000 km / 1 GeV



 $\Delta m_{\rm sol}^2$

 $\Delta m_{\rm atm}^2$

• Three mixing angles:

 θ_{12} (solar, long-baseline reactor) θ_{23} (atmospheric, accelerator $\nu_{\mu} \rightarrow \nu_{\mu}$ disappearance) θ_{13} (short-baseline reactor $\nu_{e} \rightarrow \nu_{e}$, accelerator $\nu_{\mu} \rightarrow \nu_{e}$ appearance)

• One CP-violating phase: δ_{CP} (if Majorana two more, but no effect on oscillation)

ric neutrinos



• Production in atmosphere $p + A \rightarrow N + A' + \pi^{\pm}$

then $\begin{aligned} \pi^{\pm} &\to \mu + \nu_{\mu} \\ \mu &\to e + \nu_{e} + \nu_{\mu} \end{aligned}$

- At few-GeV expect $u_{\mu}: \nu_{e} = 2:1$ but u_{μ} about half of expectation
- At > GeV good neutrino direction resolution
 → baseline L
- Maximal $\nu_{\mu} \leftrightarrow \nu_{\tau}$ mixing $\rightarrow \theta_{23} \approx 45^{\circ}$

Plots from PDG review, drawings and osc. prob mine

1.49e21 POT Reconstructed Energy



Reconstructed neutrino energy

- Accelerate protons and produce pions in fixed target $p + A \rightarrow \pi^{\pm} + \cdots$
- Pions decay in flight to produce $\pi^{\pm} \rightarrow \nu_{\mu} + \mu$ **MINOS** \rightarrow **NOvA**
- "Disappearance" of $\nu_{\mu} \rightarrow \nu_{\mu}$
- Fixed L + can reconstruct energy because of known ν direction 14



Atmospheric oscillation parameters



- Accelerator experiments most precise, but all very consistent
- IceCube will significantly improve results soon



Long-baseline reactor experiments



Long-baseline reactor experiments **KamLAND**

- Few-MeV $\overline{\nu}_{\rho}$ fro nuclear reactors 0.8 $(\beta$ -chain) $\stackrel{\omega}{\bullet} L \stackrel{\scriptscriptstyle \bullet}{\approx} 100 \,\mathrm{km}$
- 1000 t liquid sci $\overline{\nu}_{e} + p \rightarrow e^{+} +$
- θ_{12} and Δm_{21}^2



Solar neutrinos ...

- $E \approx \text{MeV } \nu_e$ produced in nuclear fusion cycles in Sun
- SuperK, SNO Water / Heavy water
- Borexino Liquid scintillator

80

60

40

20

-20

-150

-100



Solar oscillation parameters

- Solar neutrino and reactor neutrino experiments have different strengths.
- Combine to give more complete picture



https://doi.org/10.1103/PhysRevLett.100.221803









Short baseline

Reactor neutrinos



Photo: Roy Kaltschmidt, Berkeley Lab

Double Chooz, **Short baseline** Daya Bay, ... **Reactor neutrinos** high statistics <u>×1</u>0³ $L \approx \mathrm{km}$ 140 10 - small bactgrounds 10⁴ 120 10 100 Ņ Events/MeV 10 -80 10 1.0 60 Far site data v_e survival probability Weighted near site best fit Accidental 0[.]0 40 ²⁴¹Am-¹³C 514 2013 ⁹Li / ⁸He ¹³C(α,n)¹⁶O 20 Fast neutrons 0.6 0.4

Dsuillated Unacillated Far/Near(Weighted) Current global 3-flavor best-fit 0.95 0 0.0 0.9 10 12 2 6 8 4 E_{prompt}[MeV] 21 8 10 0 2 6 12 4

Left plot from PDG review Hand-written notes and right plot are mine E [MeV]





ν -oscillation

(interaction) (propagation) For neutrinos flavor basis ≠ Hamiltonian basis.

→ Flavor ($\nu_e | \nu_\mu | \nu_\tau$) oscillates over $L \times \Delta m^2 / E$, amplitude controlled by (PMNS) mixing matrix *U*:





The Standard Model

Its success...



http://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/CombinedSummaryPlots/SM/

The Standard Model

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and problems

Observation:

- No Graviton string theory?
- Baryon/anti-baryon asymmetry of universe
- Dark matter SUSY WIMP, axion?
- SUSY, GUT, Leptogenesis?

- Dark energy
- Anomalies:
 - Muon g-2 (anomalous magnetic moment)
 - Flavor anomalies (B meson decays, ...)
- Theoretical:

SUSY? Technicolor?

- Smallness of Higgs mass (hierarchy problem)
- Small neutrino masses see-saw?
- Charge assignment, many parameters, …
- Strong CP problem axion?

Our universe full of matter

Q.2

mage credit: X-ray: NASA/CXC/PSU/L. Townsley et al; Optical: UKIRT; Infrared: NASA/JPL-Caltech

Standard model univ. Expect 10⁻¹⁰ x less matter



http://hitoshi.berkeley.edu/

t

Flavor symmetries?

In SM, the three generations of fermions are exact copies in terms of gauge interactions, and only differ in the Yukawa couplings with Higgs. Or is there more going on? (c.f. flavor anomalies)

33



 → CKM and PMNS matrix are related, and overall less free parameters



Attempts are made to introduce discrete or continuous symmetry structures into the three generations (and break them).

These introduce relations among/predictions of neutrino mixing parameters such as δ_{CP} , MO or the θ_{23} octant that can be tested by precise measurement of oscillation parameters.

c.f. in past many theories predicted a small solar mixing angle θ_{12} and were falsified.

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Matter effect

• Presence of e^- picks out ν_e and acts as effective potential term in Hamiltonian that flips sign for anti-neutrinos

$$H_{\text{matter}}(t) = \underbrace{\frac{1}{2E}U\begin{pmatrix} 0 & 0 & 0\\ 0 & \Delta m_{21}^2 & 0\\ 0 & 0 & \Delta m_{31}^2 \end{pmatrix}U^{\dagger}}_{\text{Vacuum Hamitonian}} = \underbrace{\begin{pmatrix} A_e(t) & 0 & 0\\ 0 & 0 & 0\\ 0 & 0 & 0 \end{pmatrix}}_{\text{Matter potential}}$$
Normal ordering



Matter effect

- Matter effect changes effective mass splittings Δm_m^2 and mixing angles θ_m over long distances (e.g. Earth).
- At resonance, dramatic consequence - effective mixing $\sin^2 2\theta_m$ in matter can become maximal = 1 for *any* vacuum-value of $\sin^2 2\theta > 0$.
- Resonance only appears for neutrinos or anti-neutrinos depending on the sign of Δm²
 → mass ordering sensitivity.

$$\sin^2 2\theta_m = \left(\frac{\Delta m^2}{\Delta m_m^2}\right)^2 \sin^2 2\theta = \frac{\left(\sin 2\theta\right)^2}{\left(\sin 2\theta\right)^2 + \left(\cos 2\theta - \frac{2EA_e}{\Delta m^2}\right)^2}$$

Inverted ordering



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Vacuum

E [GeV] / L [1000 km] in vacuum



295 km T2K

- Little impact from matter effect
- Little sensitivity to MO

Note: flux peak at 0.6 GeV



6400 km Earth

- Core/mantle resonance at few-GeV with sensitivity to MO via $\nu/\overline{\nu}$
- "Solar" mixing is turned "off" above 0.5 GeV, and below creates $\nu/\overline{\nu}$ asymmetry via solar resonance

Matter effect in Earth

5 layers to PREM

5 lavers to AK135

4000

5000

6000



Oscillograms from:

C. Bronner for SK collaboration, at ICTP Advanced Workshop on Physics of Atmospheric Neutrinos 2018 θ_{23} octant

SuperK experiment atmospheric neutrinos



- Neutrinos generated from primary cosmic rays in atmosphere: $p + X \rightarrow \pi^{\pm} + \cdots \rightarrow \mu^{+} + \nu_{\mu}^{(-)} + \cdots$
- Many $e/\mu/\pi^0$ samples over large energy range
- Zenith angle ~ propagation length L

SK sample separation



SK sample separation



SK sample separation

Upward-going μ





 $\sin^2 2\theta_{23}$



 $\sin^2 2\theta_{23}$



 $\sin^2 2\theta_{23}$



 $\sin^2 2\theta_{23}$





SK-VII, atmospheric

Y. Takeuchi, NOW 2022



- Study oscillation of neutrino beam from J-PARC accelerator
- ~500 collaborators from institutions i

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Neutrino beam

- 30 GeV protons produce π,K in 90 cm graphite target
- Three magnetic horns selectively focus π^+, K^+ or π^-, K^- to produce ν_{μ} or $\bar{\nu}_{\mu}$ beam (decay in-flight). $\sin^2 2\theta_{13} = 0.1$ $\Delta m_{32}^2 = 2.4 \times 10^{-3} \, eV^2$ Narrowband beam thanks





Target •

p

 π .

Horn

 π .K

 \dot{B} -field

10cm





INGRID on-axis detector

Iron-scintillator sandwich detectors monitor neutrino beam direction and intensity ND280 off-axis detector

- Active scintillator + passive water targets
- Tracking with time projection chambers
- Magnetized for charge and momentum measurement

WAGASCI + BabyMIND

- Latest addition at intermediate **1.5°** off-axis flux
- Water target with cuboid lattice scintillators for high angle acceptance
- Compact magnetized iron muon range detector
- First xsec meas. published: PTEP, ptab014 (2021)

26 shows the vertex distribution of the CCQE-enriched samples, Erec for Contrainstation charges in faire maximum framemore in the contrainstation of the contrai first categoing the second state in the second state of the secotet as sin2 assumed for sine but going any leones Eillationelds for the stimate and a ies as a calculated out the contract the contract of the contr www.in Figure 3ation formulas

Best-fit spectrum

0.2 0.4 0.6

eutrino energy (GeV)

Unoscillated prediction

0.8

 \overline{v}_{e}

Detector hall

nethod

forecthonose

assess the uncertainties. Cosmic-ray muon samples are used to estimate uncertainties related to the FC, fiducialvolume and decay-electron requirements, for the selections error from the initial FC event selection is negligible. T

the fiducial volume is estimated to be 1% distribution of cosmic-ray muons which pendentigd tieter Natieer 580, 1822e 3 stopped The uncertainty due to the Hichel elect Run1-7 (14.95×10²⁰ POT) ciency de estimated by comparing co muon^{μ} data with MC. The rate of Valu simulat aken fro orrespo Val

ertainty

e-like NUON *µ*-like 0.84 proviae 7.53×10^{-1} uentist 0.52 Wistributions cadeo 2.509×10^{-1} chain Monte Carlo¹⁰ 0.085 aneously fits both -1.601 $\delta_{\rm CP}$ aredsduringxT2450h.h.Morma Mass ordering raleant tielec-000 000 2000 e/µ PID discriminator

ourson to the unoscillated prediction, $for \bullet el \Theta \phi \phi \mu / e$ PID from ring shape aconimpro shown in the shown in the struct neutrino energy from lepton Dear

in the momentum and angle wirth neutrino beam Not magnetized, so the beam $\nu/\bar{\nu}$ -modes are important. ND280 further constrains the wrong-sign background.

or muon-ukey rrom or or muon-une ring (Fig. 2.7).

ELECTR NEUTRI



- Beam monitors + hadron production experiments
 → neutrino flux
- ND280 measurements

 interaction model
 external constraints
 unoscillated flux × xsec
 - 6 samples at SK $\rightarrow \nu_{\mu}$ disappearance + ν_{e} appearance



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Ana stra

Beam monit
 production ∉
 → neutrino



+ interaction

+ external → unosci



Beam monitors

Beam line modeling

More realistic modeling of cooling water in horns slightly increased uncertainty at flux peak

Hadron production experiments

Hadron interaction uncertainty at high-E reduced thanks to higher-statistics **NA61** measurement that includes **kaon** yields from **replica** of T2K target.





An str

- Beam monomorphic
 production
 → neutri
- ND280 m
 + interac
 + externa
 → unosc
- 6 sample $\rightarrow \nu_{\mu}$ dist ν_{e} apr

New NA61 measurements are being performed for further reduction in the future!



Photos from this summer (by Y. Nagai, Eric D. Zimmerman, NA61/SHINE)

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Beam monitors + had production experimen \rightarrow neutrino flux



Post ND-fi

FGD1 ν_{...} CC0π 0p

- ND280 measurements + interaction model + external constraints \rightarrow unoscillated flux × xsec
- 6 samples at SK $\rightarrow \nu_{\mu}$ disappearance + ν_{ρ} appearance

- **22** samples = $(5 \times 1 + 3 \times 2) \times 2$ separated by
- 1. π , p, γ multiplicity \rightarrow interaction mode



FGD1 ν_u CC0π Np

Post ND-fi

Finer sample separation in this analysis!



- Beam monitors + hadron production experiments
 neutrino flux
- FGD1 v_.Bkg CC0π in AntiNu Mode FGD1 anti-v_u CC0π E xeuts 1400 1200 Events 300 **▼**CCOE 🗕 Data 🛛 v CC 2p2h $\overline{\mathbf{v}}$ CC 2p2h $\overline{\mathbf{v}}$ CC Res 1 π V CC Res 1π v CC Coh 1π 📃 v CC Other v CC Coh 1π 🗖 v CC Other 250 v NC modes V NC modes v modes **J**1 of 200 Number Number 150 600 100 400 800 1000 1200 1000 1600 1800 2000 1400 $\mathbf{p}_{\rm II}$ (MeV/c) p_ (MeV/c) T2K Run1-10, 2022 Prelin

- ND280 measurements

 + interaction model
 + external constraints
 → unoscillated flux × xsec
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22 samples = (5×1+3×2)×2 separated by

1. π , p, γ multiplicity \rightarrow interaction mode

Right-sign

- 2. lepton charge
 → wrong-sign bkg
 (in antineutrino mode)
- 3. C / C+O target \rightarrow v+O xsec



Wrong-sign bkg.

- Beam monitors + hadron production experiments
 neutrino flux
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C target

- π,p,γ multiplicity
 → interaction mode
- Lepton charge
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- 3. C / C+O target \rightarrow v+O xsec



C+O target



Eggination of the second secon

Active

scintillator

 Beam monitors + hadron production experiments
 neutrino flux Fit result with correlated flux × xsec propagated to far detector analysis via covariance matrix or joint ND+FD fit. Both methods give consistent results.

Pre-ND





ND fit p-value: 10.9% (> 5% threshold)

- ND280 measurements

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 external constraints
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ND fit p-value: 10.9% (> 5% threshold)

- Beam monitors + hadron production experiments \rightarrow neutrino flux
- ND280 measurements + interaction model + external constraints \rightarrow unoscillated flux × xsec
- 6 samples at SK $\rightarrow \nu_u$ disappearance + ν_{ρ} appearance

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Multi-ring sample added for the first time
Analysis strategy



• 6 samples at SK $\rightarrow \nu_{\mu}$ disappearance + ν_{e} appearance



Multi-ring sample added for the first time

Analysis strategy

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ering	Posterior prob.	$\sin^2\theta_{23} < 0.5$	$\sin^2\theta_{23} > 0.5$	Sum
p D	NO $(\Delta m_{32}^2 > 0)$	0.20	0.54	0.74
000	IO $(\Delta m_{32}^2 < 0)$	0.05	0.21	0.26
as:	Sum	0.25	0.75	1.00
Σ		1		1

- Bi-event plot illustrates origin of data constraints.
- Best-fit δ_{CP} around maximal CP-violation $-\frac{\pi}{2}$
- Weak preference for **Normal ordering** with Bayes factor 2.8 $= P_{NO}/P_{IO}$
- Weak preference for **upper octant** with Bayes factor 3.0 $= P_{upper}/P_{lower}$

ν_{ρ} vs. ν_{ρ} appearance





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Overall less prominent than in previous analysis

• Weak preference for **upper octant** with Bayes factor 3.0 $= P_{upper}/P_{lower}$

Constraints on δ_{CP} and mass ordering



- Large region excluded at 3σ
- CP-conservation {0, π}
 excluded at 90%,
 π is within 2σ
- Weak preference of normal ordering



Comparison of released contours (not joint fit)

NOvA results: <u>A. Himmel (2020) Zenodo</u>, (preliminary) SK results: <u>Y. Nakajima (2020) Zenodo</u>, (preliminary) NOvA and T2K use Feldman-Cousins, SK use fixed $\Delta \chi^2$



- Joint fits between experiments with different oscillation baselines/energies and detector technologies
- → expect increased sensitivity in $\delta_{\rm CP}$, mass ordering, θ_{23} octant beyond stats increase from resolved degeneracies and syst constraints
- important to understand potentially non-trivial syst. correlations between experiments

どれが好き?





Comic © Higgstan

SK + T2K Joint fit

CP and mass ordering sensitivity

-SK Atmospheric -

-T2K Accelerator



- Resonance in Earth mantle & core sensitive to mass ordering
- Weakly sensitive to $\delta_{\rm CP}$ via normalization of sub-GeV e-like





Systematic correlations

- Overlapped true energy region
 - → coherent interaction model to capture correlations
 - → Bonus: ND constraint for atmospherics!
 - → developed additional systematics to capture effects important for atm. that ND is insensitive to
- Same Super-K detector used by both experiments
 - → estimate contribution from detector syst. correlations

$^{1\sigma}$ Sensitivity for various values of true $\delta_{\rm CP}$



Sensitivity for various values of true δ_{CP}



Sensitivity for various values of true δ_{CP}





Beam line upgrade

ND280 upgrade

T2K Projected POT (Protons-On-Target)



- Increase beam power from ~500 kW to 1.3 MW via upgrades to main ring power supply and RF (mostly increased rep rate)
- Many upgrades to neutrino beam line (target, beam monitors, ...) ongoing to accept 1.3 MW beam
- Increase horn current 250 kA → 320 kA for ~10% more neutrinos/beam-power and reduced wrong-sign background Aiming for 320 kA operation in next run!



Reduce xsec systematics and better understanding of nuclear effects. <u>CERN-SPSC-2019-001</u> arXiv:1901.03750 [physics.ins-det] **Future of T2K**

Beam line upgrade

ND280 upgrade



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TDR: arXiv:1908.05141 [physics.ins-det]

arXiv:1901.03750 [physics.ins-det

Hyper-Kamiokande

- Third generation Water-Cherenkov detector in Kamioka, Japan.
- Compared to SuperK,
 ~8x larger fiducial volume,
 ~2.6x beam power,

new PMTs with 2x photon detection and 2x timing resolution.

• Now under construction, operation begin in 2027.



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HK physics prospect

CP with known **MO**



Beam / atm synergy



From NOW 2022, Z. Xie



HK status

2022-07-25

- Digging
- Mass testing PMTs
- Many other ongoing developments







- $L \approx 1300 \,\mathrm{km}$
- New detector technology: 70 kton liquid argon TPC
- Physics goals mostly same as HyperK

From https://www.dunescience.org/, https://lbnf-dune.fnal.gov/





Jinnan Zhang (IHEP), TAUP 2021



Acrylic cover

node

ism



SS cover

+ 17612 large PMTs (20-+15012 MCP-PMTs from NNVT*

- + 5000 dynode PMTs from Hamamatsu
- + 25600 small PMTs (3-inch) from HZC

²¹Monica Sisti, NOW 2022

Mass ordering sensitivity



- 3σ in 6 years with JUNO alone, independent of $\theta_{23}, \delta_{\mathrm{CP}}$
- 5σ in 6 years through joint fit with other experiments (accelerator, atmospheric)

Historical Short-Baseline Anomalies

2011 Reactor Anomaly: $\bar{\nu}_e \rightarrow \bar{\nu}_{\chi}$ (2.5 σ) 2005 Gallium Anomaly: $\nu_e \rightarrow \nu_{\chi}$ (2.9 σ)







1995 LSND Anomaly: $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e} ~(\sim 4\sigma)$



2008 MiniBooNE Anomaly: $\overset{(-)}{\nu_{\mu}} \rightarrow \overset{(-)}{\nu_{e}}$ (4.8 σ)



C. Giunti – Overview of Light Sterile Neutrinos – NOW 2022 – 9 September 2022 – 2

Model Indep. Measurements of Reactor ν Osc.

Ratios of spectra at different distances



DANSS [Alekseev @ NOW 2022]



DANSS on a lifting platform



STEREO [del Amo Sanchez @ NOW 2022]



C. Giunti – Overview of Light Sterile Neutrinos – NOW 2022 – 9 September 2022 – 26







 $\Delta m^2_{41}\,(eV^2)$

10

$\bar{\nu}_{\mu} ightarrow \bar{\nu}_{e}$ and $\nu_{\mu} ightarrow \nu_{e}$ Appearance



Global Appearance-Disappearance Tension



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New Dedicated Experiments



JSNS²: August 2022 Long-Baseline Neutrino News: They are working on the blind analysis of the 1.45 × 10²² POT data taken until June 2021.

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Non-oscillation neutrino physics

lecay as long as the nucleus (A, Z + 2) $= V^2$ $= V^2$ $= V^2$

er, if the herelet $\exists a \cos \theta \phi$ decrysby $\theta \sin \theta$ is a diagonal matrix containing Majorana CP phases **A17C** the the stort application of the second of the optimized and popdifficulturated where many untrovers, we utrino oscillation data [17, 15, 11, 12, 18, 19, 20] have ound rate from the single beta decay 2 pn d 2 pr a = 2 pr d under the store of the optimized and poplate isotopes for detecting the $0\nu\beta\beta$ are

LOUBLE BEER an **DEGR** Vorce. **ESCIENCE MODELLA AND** A SUBJECT OF THE ADDRESS FOR THE ADDRESS OF THE ADDR

ENC IN ACTIVE VALUE, where it is the spin of the sp

where the $\beta_{\beta}(\mu)$ will be too difficult to be observed the torthe over- $\rho_{\beta}(\mu)$ will be too difficult to be observed the torthe overment (A) [E] **Second Second Second**





KAMLAND-Zen



~ Xe, Ge 90% 10⁰ 10⁻¹ IO No 10⁻³ 10⁻⁴ 111111 0.001 0.01 m_{light} (eV) (1002)


 Measure endpoint of Tr beta decay spectrum to constrain mass of electron neutrino (KATRIN). First results published in 2022.

Nat. Phys. **18,** 160–166 (2022). <u>https://</u> doi.org/10.1038/s41567-021-01463-1

 Future: project 8 (measure precession of atoms after beta-decay)









Summary

- Neutrinos hopefully help us understand the universe better!
- 3-flavor oscillations moving toward completion and precision
 → consistency check of PMNS by measuring in different ways
- Today's talk was mostly oscillation, there are also important non-oscillation physics
 - Neutrino mass
 - Neutrino-less double beta (search for Majorana mass)
 - Coherent scattering
 - Supernova, Astrophysical, Cosmogenic neutrinos
 - Impact on cosmology
 - Magnetic moments

backup

Magnetic moments

- Same-flavor magnetic moments only for Dirac For Majorana exactly zero
- Flavor-changing magnetic moments allowed
- Best-limits from Borexino
- SM too small to measure, but SUSY etc. predict larger values (similar to eEDM)