

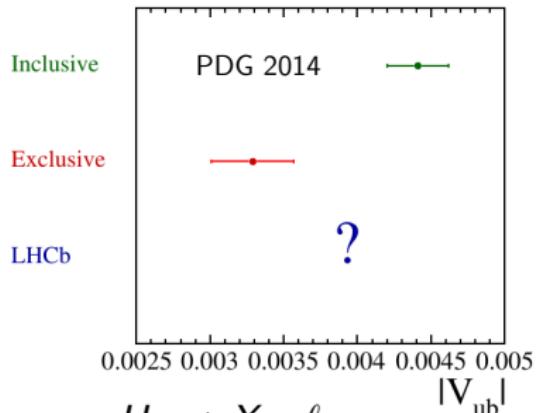
# Semileptonic B baryon decays and $B \rightarrow D^* \tau \nu$

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Nikhef

May 25, 2015

# Semileptonic decays



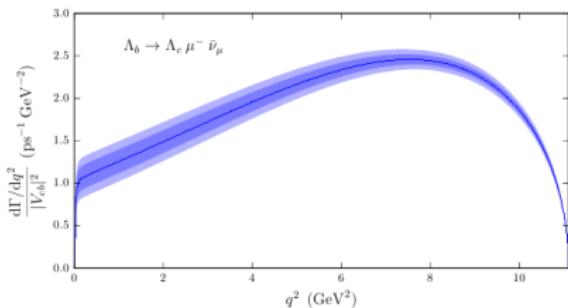
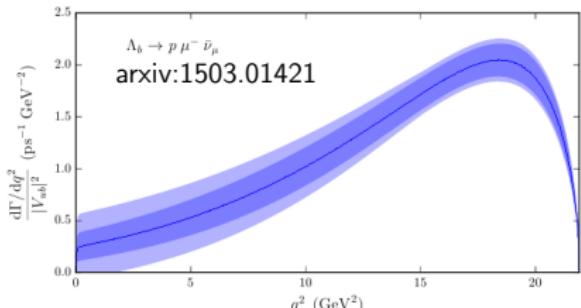
- Semileptonic  $B$  decays:  $H_b \rightarrow X_{c,u}\ell\nu$ 
  - Neutrino goes unreconstructed  $\rightarrow$  missing energy
  - Need external constraint to reconstruct full decay kinematics
  - Challenging measurements
- Used to measure CKM elements  $|V_{ub}|$  and  $|V_{cb}|$ 
  - In both cases  $\sim 3\sigma$  tensions between exclusive and inclusive measurements
- Also used to search for new physics, e.g. with tauonic final states

# This talk

- $|V_{ub}|$  from  $\Lambda_b^0 \rightarrow p\mu\nu$  at LHCb
  - LHCb-PAPER-2015-013
- $B \rightarrow D^*\tau\nu$  at LHCb
  - Presented here for the first time
  - LHCb-PAPER-2015-013, in preparation
- Both channels include large physics backgrounds:
  - $B \rightarrow X_c\mu\nu$  decays more frequent than  $\Lambda_b^0 \rightarrow p\mu\nu$
  - $B \rightarrow D^*\mu\nu \sim 20$  times larger than  $B \rightarrow D^*\tau\nu$  (in  $D^{*+}\mu$  final state)
- Neither channel believed to be possible to measure at LHCb

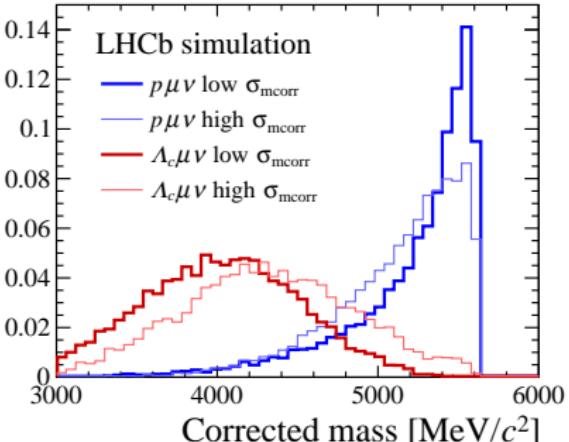
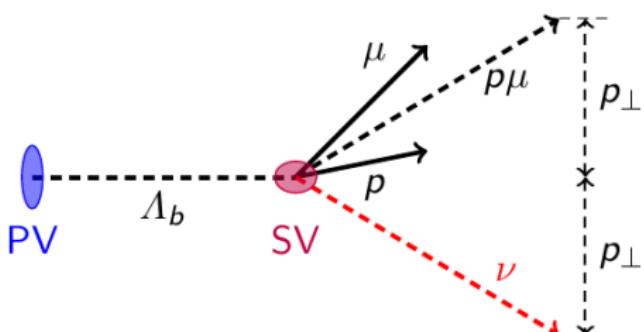
$\Lambda_b^0 \rightarrow p\mu\nu$

## $\Lambda_b^0 \rightarrow p\mu\nu$ strategy



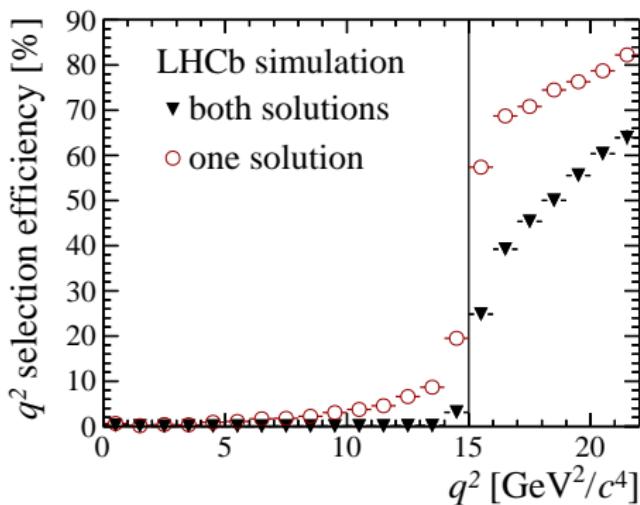
- Measure ratio of  $\Lambda_b^0 \rightarrow p\mu\nu$  and  $\Lambda_b \rightarrow \Lambda_c (\rightarrow pK\pi)\mu\nu \rightarrow$  sensitive to  $|V_{ub}|/|V_{cb}|$
- Direct calculation on lattice with high precision from W. Detmold, C. Lehner and S. Meinel: [arxiv:1503.01421\(hep-lat\)](#)
  - Close collaboration integral in development of the measurement
- Lattice calculation only precise at high  $q^2 \rightarrow$  only perform measurement in this region
  - Measurement overlaps completely with lattice data points  $\rightarrow$  no need for  $q^2$  shape fit for precise  $|V_{ub}|$

## Corrected mass



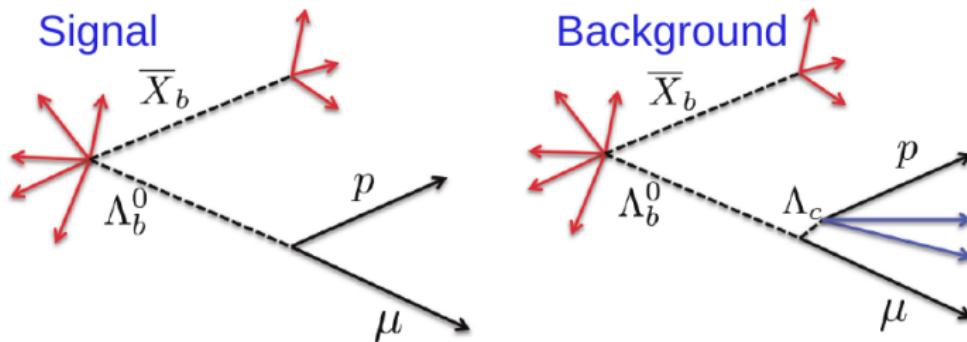
- Measure  $B$  decay, origin positions  $\rightarrow B$  momentum vector should point back along this 'flight direction'
    - Can infer unreconstructed momentum transverse to flight direction ( $p_\perp$ )
  - Use this information to construct "Corrected mass" variable
- $$M_{corr} = \sqrt{p_\perp^2 + M_{reco}^2 + p_\perp}$$
- Dates back to SLD: [hep-ex/0202031v1](https://arxiv.org/abs/hep-ex/0202031v1)
  - Corresponds to minimum mass, assuming a missing single massless particle
- Distributions shown for  $\Lambda_b^0 \rightarrow p\mu\nu$  and  $\Lambda_b^0 \rightarrow \Lambda_c\mu\nu$  (only  $p\mu$  reconstructed)
    - Cutting on estimated  $M_{corr}$  resolution helps increase discrimination

## $q^2$ reconstruction



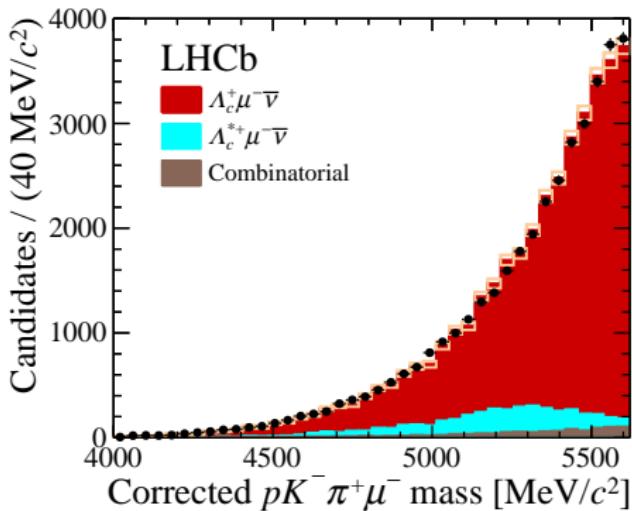
- Reconstruct  $q^2$  up to twofold ambiguity:
  - Measurement of  $p_\perp + B$  mass constraint + missing massless particle
- Reduce  $q^2$  migration by requiring both solutions to be above cut value

## Isolation MVA



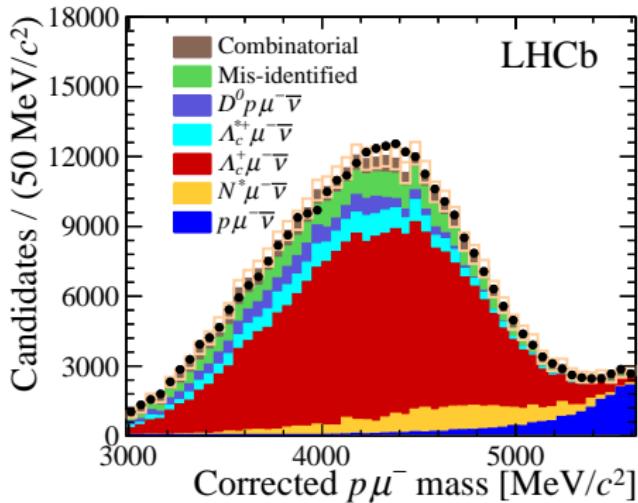
- Strategy: use MVA to decide if each track is from the same  $B$ , or the rest of the event
  - Cut on most same- $B$ -like track in event
  - Output based on properties of track, and  $B + \text{track}$  combination
- $\sim 90\%$  charged background rejection with  $\sim 80\%$  signal efficiency

## Normalisation fit



- Fit to corrected mass for  $\Lambda_b^0 \rightarrow \Lambda_c \mu \nu$  candidates used for normalisation,  $q^2 > 7 \text{ GeV}/c^2$ 
  - $34255 \pm 571$   $\Lambda_b^0 \rightarrow \Lambda_c \mu \nu$  candidates
  - Small fraction of excited states (already suppressed by isolation)
  - Boxes indicate template statistical uncertainties

## Signal fit



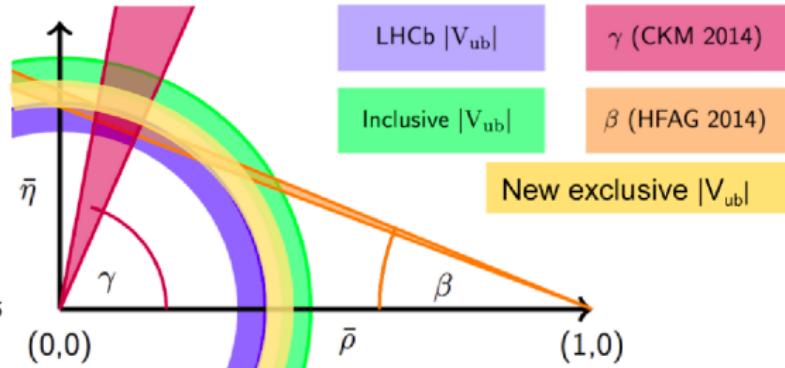
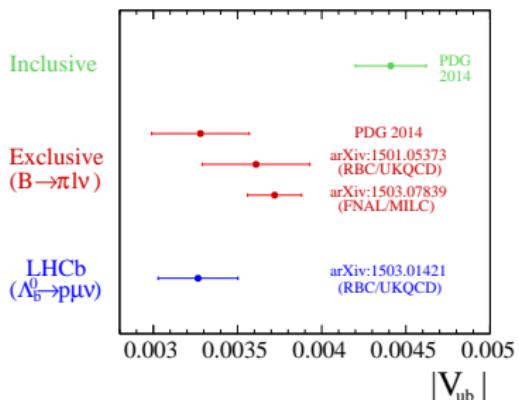
- Fit to corrected mass for  $\Lambda_b^0 \rightarrow p\mu\nu$  candidates to determine signal yield,  $q^2 > 15 \text{ GeV}/c^2$ 
  - Signal clearly visible
  - $17687 \pm 733$   $\Lambda_b^0 \rightarrow p\mu\nu$  candidates (4.1% relative uncertainty)
- Most signal-like background:  $\Lambda_b \rightarrow N^* \mu\nu$ 
  - Very loose constraint on yield, shape uncertainties determined by repeating fit with form-factors varied

# Systematics / efficiencies

Source	Relative uncertainty (%)
$\rightarrow \mathcal{B}(\Lambda_c^+ \rightarrow pK^+\pi^-)$	$^{+4.7}_{-5.3}$
Trigger	3.2
Tracking	3.0
$\Lambda_c^+$ selection efficiency	3.0
$\Lambda_b^0 \rightarrow N^*\mu^-\bar{\nu}_\mu$ shapes	2.3
$\Lambda_b^0$ lifetime	1.5
Isolation	1.4
Form factor	1.0
$\Lambda_b^0$ kinematics	0.5
$q^2$ migration	0.4
PID	0.2
Total	$^{+7.8}_{-8.2}$

- Largest experimental systematic: external measurement of  $\mathcal{B}(\Lambda_c \rightarrow pK\pi)$
- Efficiency ratio  $\frac{\epsilon_{\Lambda_b^0 \rightarrow p\mu\nu}}{\epsilon_{\Lambda_b^0 \rightarrow \Lambda_c\mu\nu}}$  calculated from simulation
  - Many small sources of systematic uncertainty (listed)

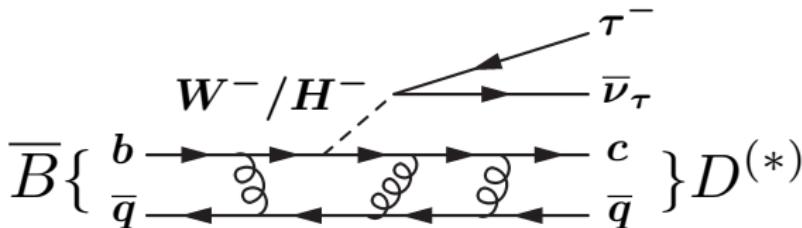
# Result



- $|V_{ub}| = 3.27 \pm 0.15(\text{exp}) \pm 0.17(\text{theory}) \pm 0.06(|V_{cb}|)$
- Result in good agreement with past exclusive  $|V_{ub}|$  measurements
- $3.5\sigma$  below inclusive measurements
- Implications for CKM fit shown
- LHCb-PAPER-2015-013

$B \rightarrow D^* \tau \nu$

## $B \rightarrow D^* \tau \nu$



- In the Standard model, the only difference between  $B \rightarrow D^{(*)} \tau \nu$  and  $B \rightarrow D^{(*)} \mu \nu$  is the mass of the lepton
  - Theoretically clean -  $\sim 2\%$  uncertainty for  $D^*$  mode
- Ratio  $R(D^{(*)}) = \mathcal{B}(B \rightarrow D^{(*)} \tau \nu) / \mathcal{B}(B \rightarrow D^{(*)} \mu \nu)$  is sensitive to charged Higgs
  - Or non-MFV couplings favouring  $\tau$
- New measurement  $B \rightarrow D^* \tau \nu$  with  $\tau \rightarrow \mu \nu \nu$  presented here for the first time

## Existing measurements

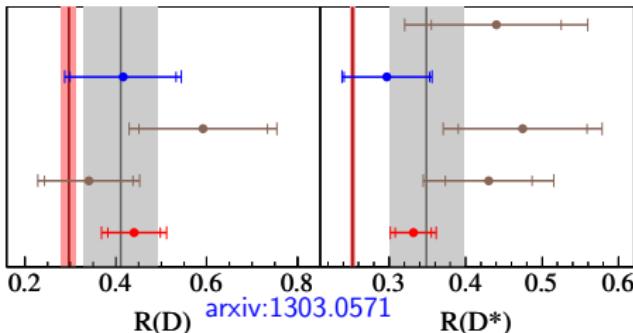
[arxiv:0706.4429](#) Belle 2007

**BaBar 2008**

[arxiv:0910.4301](#) Belle 2009

[arxiv:1005.2302](#) Belle 2010

**BaBar 2012**

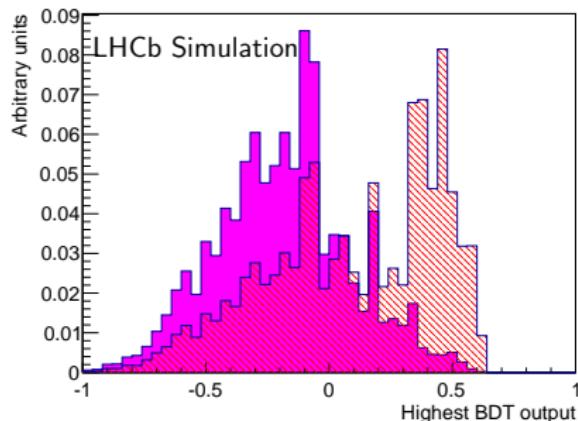


- Previous measurements from B factories in  $\tau \rightarrow \ell \nu \nu$  channel
- Most recent measurement from BaBar ([arxiv:1303.0571](#)) claimed  $3\sigma$  excess over SM expectation
  - BaBar have used their final dataset, corresponding Belle measurement yet to come
- B factory measurements based on reconstructing missing mass using full event reconstruction
  - This method not possible at LHCb → develop new techniques

## Experimental challenge

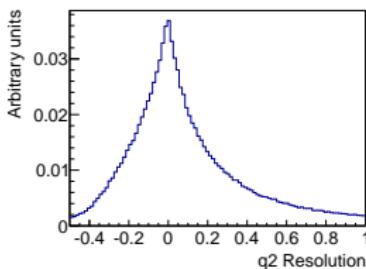
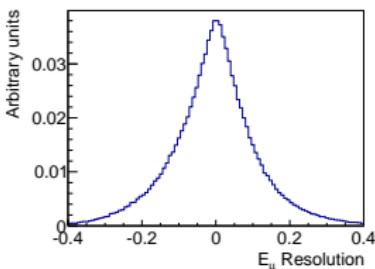
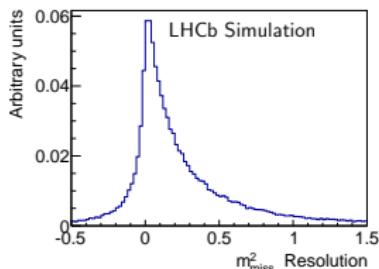
- Difficulty: neutrinos - 3 for  $(\tau \rightarrow \mu \nu \nu) \nu$ 
  - No narrow peak to fit (in any distribution)
- Main backgrounds: partially reconstructed B decays
  - $B \rightarrow D^{*(*)} \mu \nu, B \rightarrow D^* D \dots$
- Also combinatorial background

## Isolation MVA



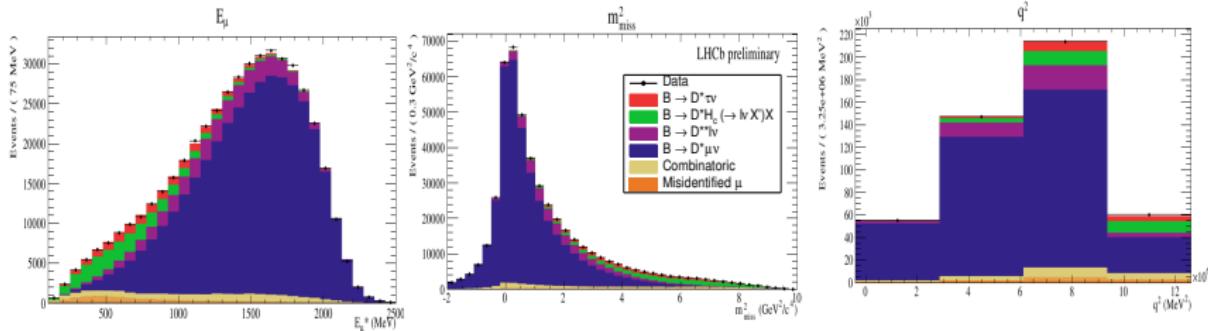
- Strategy: use MVA to decide if each track is from the same  $B$ , or the rest of the event
  - As shown before for  $\Lambda_b^0 \rightarrow p \mu \nu$
- Highest MVA output distribution for  $B \rightarrow D^{**} \mu^+ \nu$  (hatched) and  $B \rightarrow D^* \mu \nu$  (solid)
- Inverting the cut gives a sample hugely enriched in physics backgrounds  
→ use this to control shapes

## Fit strategy



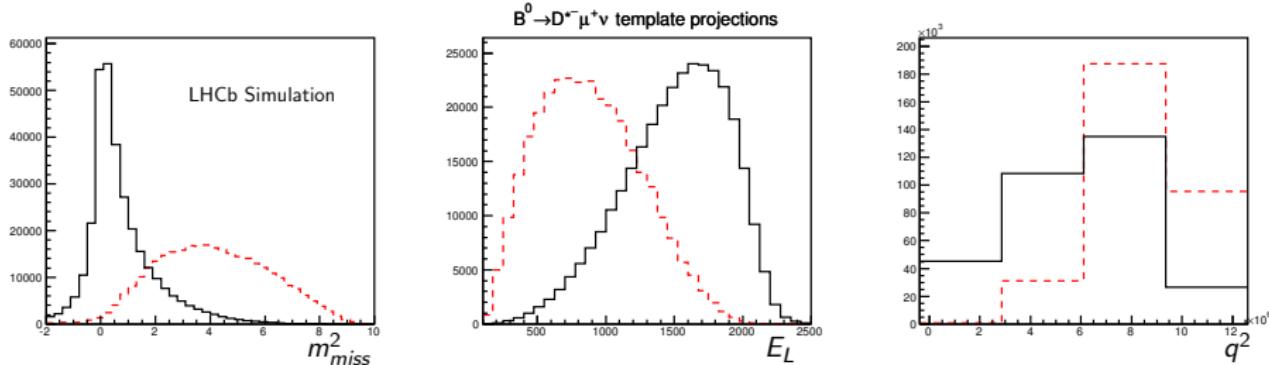
- Can use  $B$  flight direction to measure transverse component of missing momentum
- No way of measuring longitudinal component → use approximation to access rest frame kinematics
  - $B$  boost  $\gg$  energy release in decay
  - Assume  $\gamma\beta_{z,\text{visible}} = \gamma\beta_{z,\text{total}}$
  - $\sim 18\%$  resolution on  $B$  momentum, long tail on high side
- Can then calculate rest frame quantities -  $m_{\text{missing}}^2$ ,  $E_\mu$ ,  $q^2$

## Fit strategy



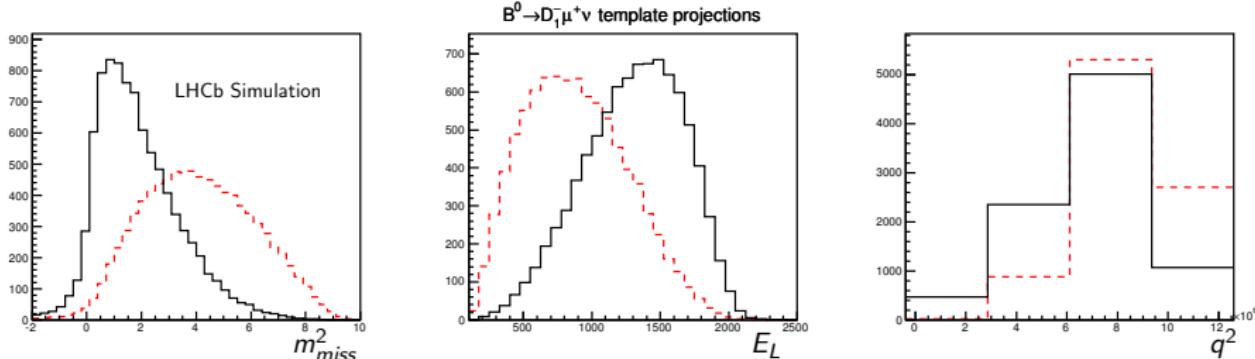
- Three dimensional template fit in  $E_\mu$  (left),  $m_{\text{missing}}^2$  (middle), and  $q^2$ 
  - Projections of fit to isolated data shown
- Uncertainties on template shapes incorporated in fit:
  - Continuous variation in e.g different form factor parameters
  - Shape variations for all major backgrounds controlled using data samples
  - Histogram statistics included via Barlow-Beeston “lite”

$$B \rightarrow D^* \mu \nu$$



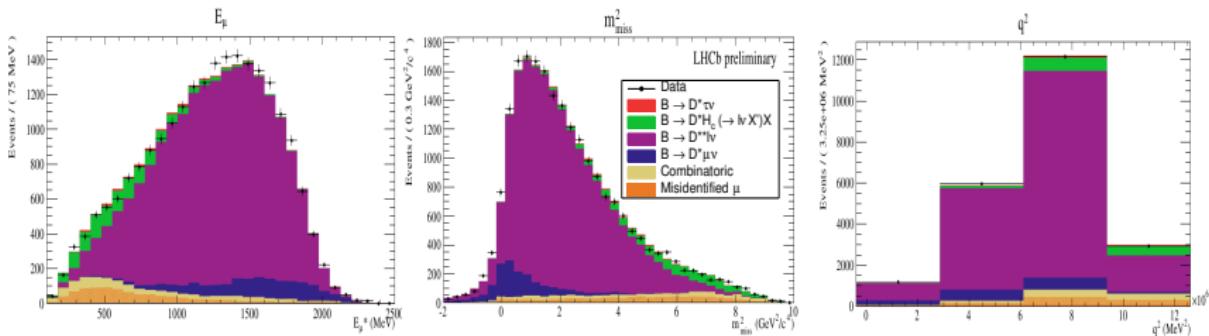
- $B \rightarrow D^* \mu \nu$  (black) vs  $B \rightarrow D^* \tau \nu$  (red)
  - $B \rightarrow D^* \mu \nu$  is both the normalisation mode, and the highest rate background ( $\sim 20 \times B \rightarrow D^* \tau \nu$ )
    - Use CLN parameterisation for form factors
    - Float form factors parameters in fit → uncertainty taken into account
    - Values from fit more precise than HFAG averages

# $B \rightarrow D^{**} \mu^+ \nu$



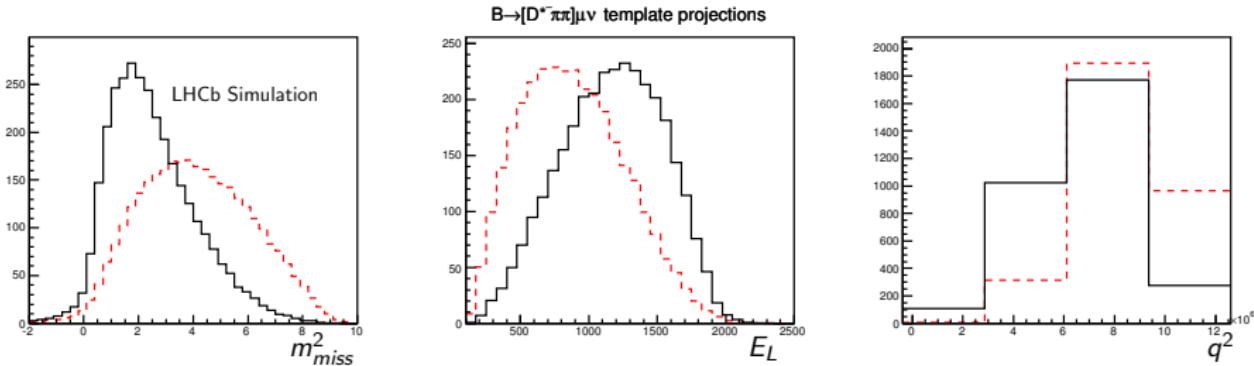
- $B \rightarrow D^{**} \mu^+ \nu$  refers to any higher charm resonances (or non resonant hadronic modes)
- Not so well measured
  - Set of states comprising  $D^{**}$  known to be incomplete
  - Decay models not well measured
- For the established states (shown in black):
  - Separate components for each resonance ( $D_1, D_2^*, D_1'$ )
  - Use LLSW model, float slope of Isgur-wise function

# $B \rightarrow D^{**}(\rightarrow D^{*+}\pi)\mu\nu$ control sample



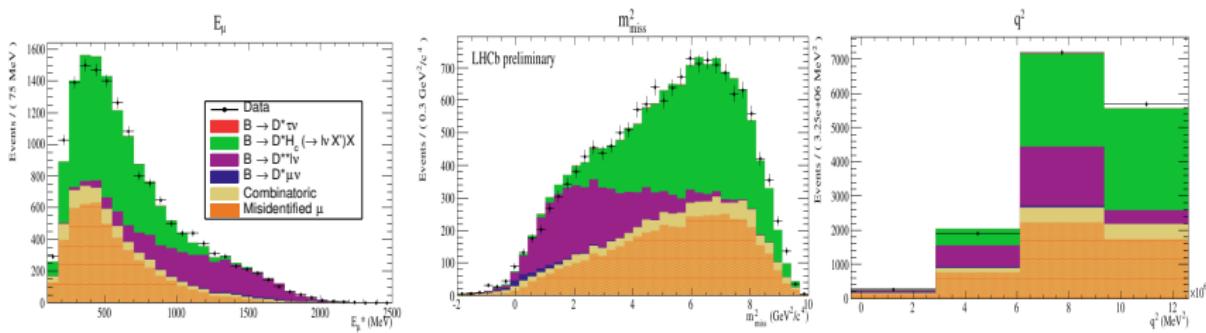
- Isolation MVA selects one track,  $M_{D^{*+}\pi}$  around narrow  $D^{**}$  peak  $\rightarrow$  select a sample enhanced in  $B \rightarrow D^{**}\mu^+\nu$ 
  - Use this to constrain, justify  $B \rightarrow D^{**}\mu^+\nu$  shape for light  $D^{**}$  states
  - Also fit above, below narrow  $D^{**}$  peak region to check all regions of  $M_{D^{*+}\pi}$  are modelled correctly in data

## Higher $B \rightarrow D^{**} \mu^+ \nu$ states



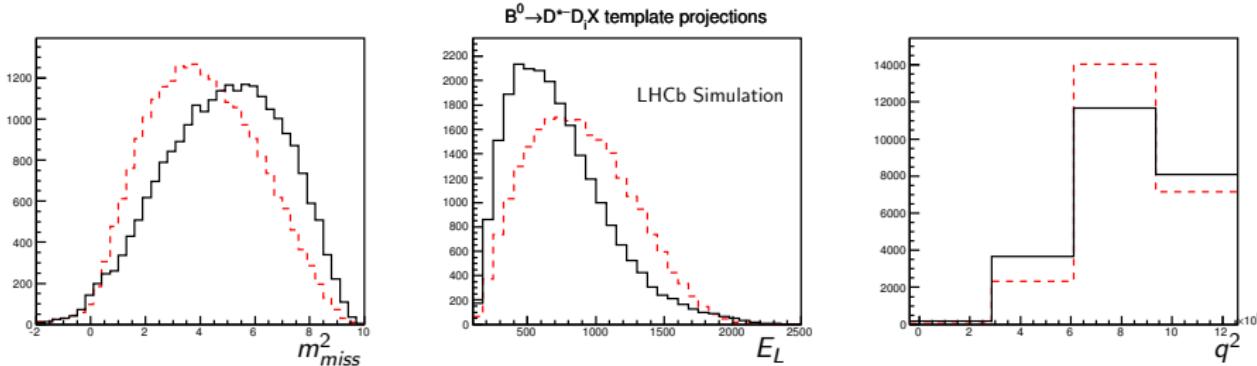
- Previously unmeasured  $B \rightarrow D^{**} (\rightarrow D^{*+} \pi\pi) \mu\nu$  contributions recently measured by BaBar
  - Too little data to separate individual (non)resonant components
  - Single fit component, empirical treatment
- Constrain based on a control sample in data
  - Degrees of freedom considered:  $D^{**}$  mass spectrum,  $q^2$  distribution
  - Effect of  $D^{**}$  mass spectrum negligible

# $B \rightarrow D^{**}(\rightarrow D^+ \pi\pi) \mu\nu$ control sample



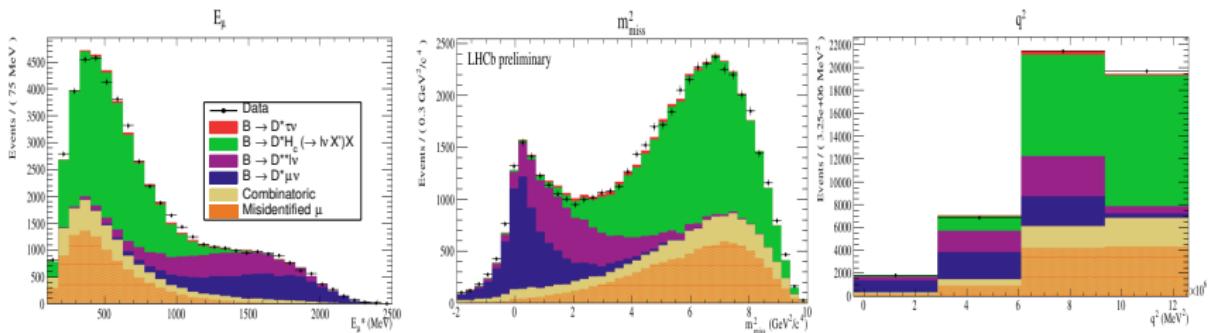
- Also look for two tracks with isolation MVA → study  $B \rightarrow D^{**}(\rightarrow D^+ \pi\pi) \mu\nu$  in data
- Can control shape of this background

# $B \rightarrow D^* DX$



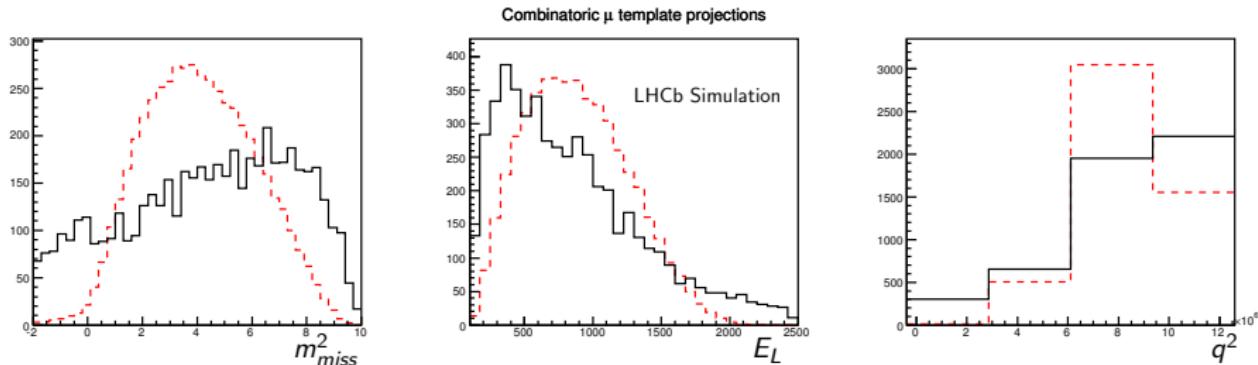
- $B \rightarrow D^* DX$  consists of a very large number of decay modes
  - Physics models for many modes not well established
- Constrain based on a control sample in data
- Single component, empirical treatment
  - Consider variations in  $M_{DD}$
  - Multiply simulated distributions by second order polynomials
  - Parameters determined from data

# $B \rightarrow D^* DX$ control sample



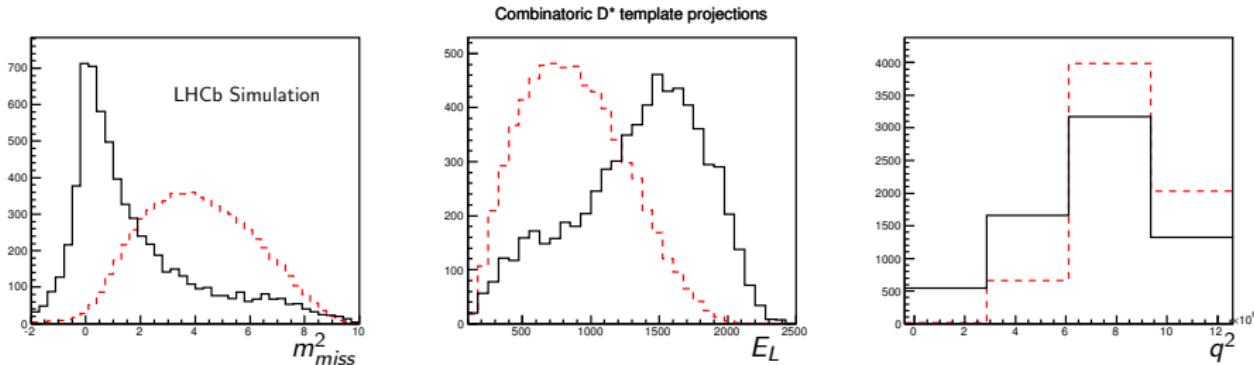
- Isolation MVA selects a track with loose kaon ID  $\rightarrow$  select a sample enhanced in  $B \rightarrow D^* DX$
- Use this to constrain, justify  $B \rightarrow D^* DX$  shape

## Combinatorial backgrounds



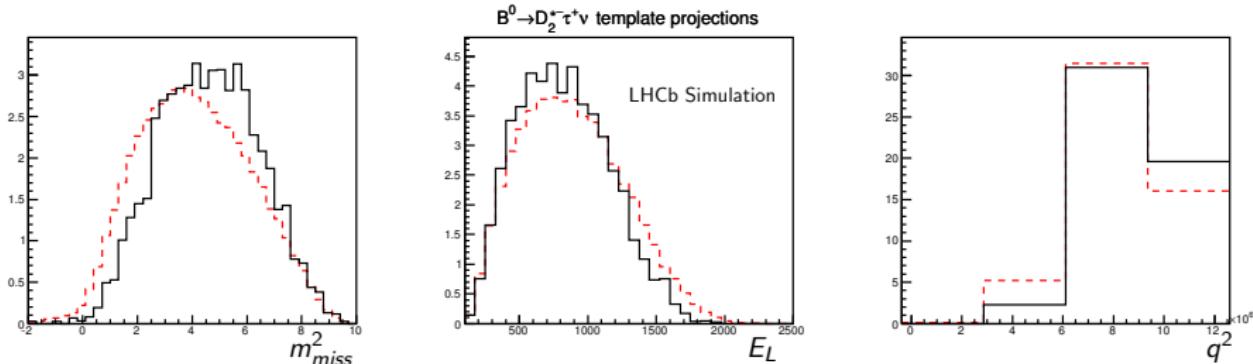
- Combinatorial background modelled using same-sign  $D^{*+} \mu^+$  data
- Two sources of combinatorial background are treated separately (shown on next slide)

## Combinatorial backgrounds



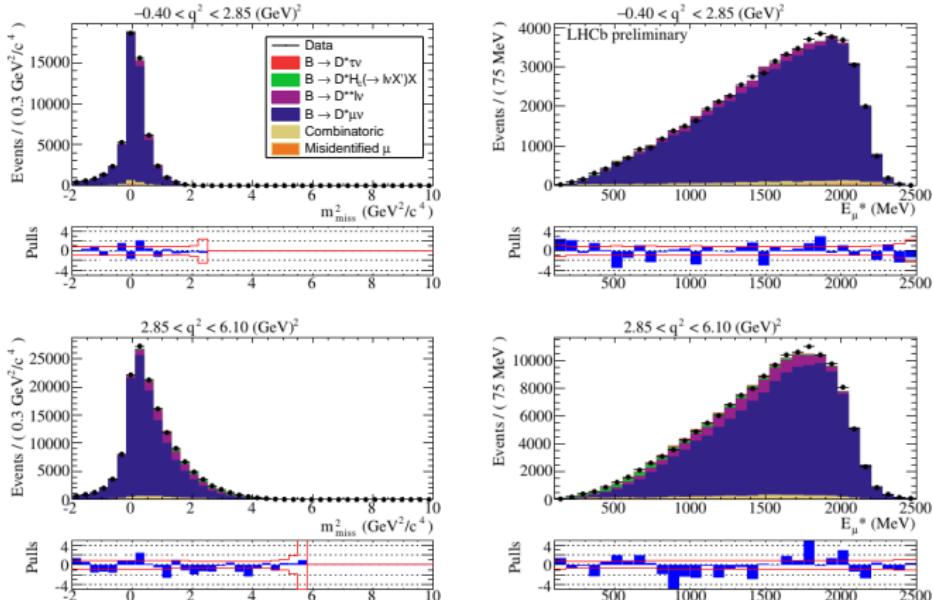
- Non  $D^{*+}$  backgrounds (fake  $D^*$ ) template modelled using  $D^0\pi^-$  data (shown)
  - Yield determined from sideband extrapolation beneath  $D^{*+}$  mass peak
- Hadrons misidentified as muons (fake muons)
  - Controlled using  $D^{*+}h^\pm$  sample
  - Both template and expected yield can be determined
- Both of these are subtracted from  $D^{*+}\mu^+$  template to avoid double counting

# $D^{*+} \tau X$ backgrounds



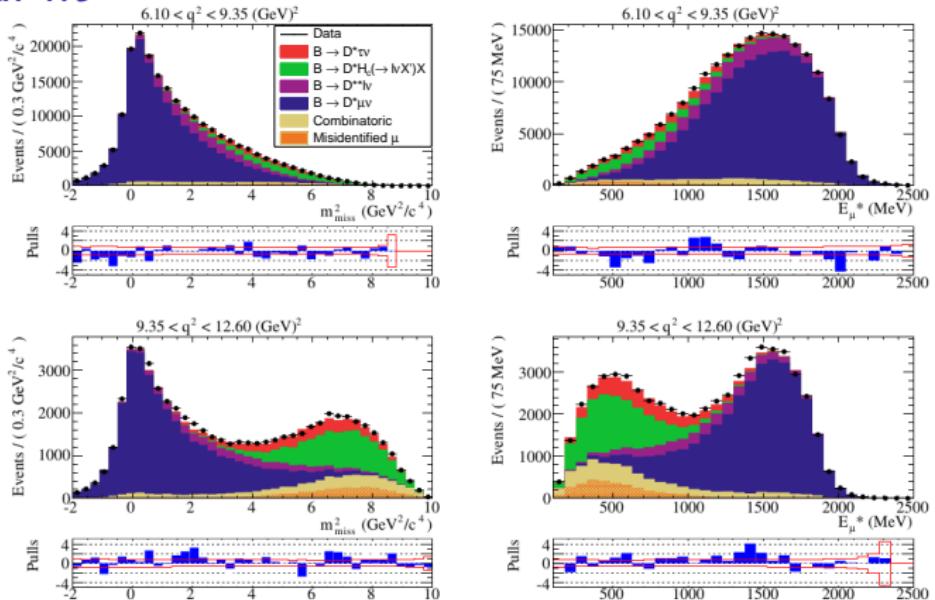
- Two small backgrounds containing taus, each  $<\sim 10\%$  of the signal yield:  $B \rightarrow D^{**} \tau^+ \nu$  (shown) and  $B \rightarrow D^*(D_s \rightarrow \tau \nu)X$ 
  - Both too small to measure
- $B \rightarrow D^{**} \tau^+ \nu$  constrained based on measured  $B \rightarrow D^{**} \mu^+ \nu$  yield, theoretical expectations ( $\sim 50\%$  uncertainty)
- $B \rightarrow D^*(D_s \rightarrow \tau \nu)X$  constrained based on  $B \rightarrow D^* DX$  yield, and measured branching fractions ( $\sim 30\%$  uncertainty)

# Signal fit



- Fit to isolated data, used to determine ratio of  $B \rightarrow D^* \tau \nu$  and  $B \rightarrow D^* \mu \nu$
- Model fits data well
- Statistical uncertainty on  $\mathcal{R}(D^*)$  (fixing all templates to nominal shapes): 0.027

# Signal fit



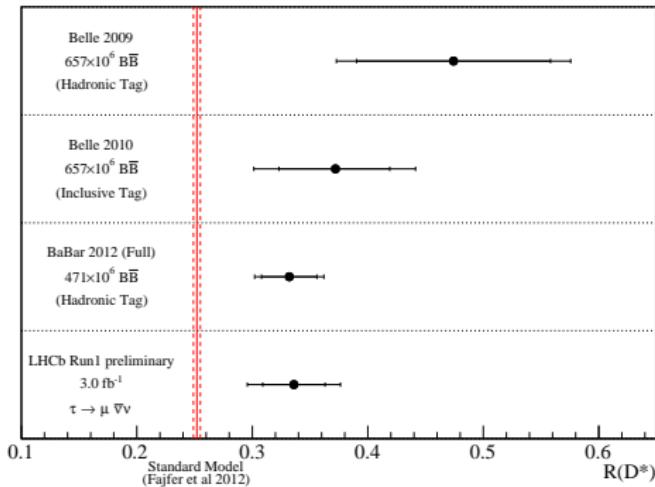
- Fit to isolated data, used to determine ratio of  $B \rightarrow D^* \tau \nu$  and  $B \rightarrow D^* \mu \nu$
- Model fits data well
- Statistical uncertainty on  $\mathcal{R}(D^*)$  (fixing all templates to nominal shapes): 0.027
  - Fit model uncertainties listed on next slide

# Systematics / efficiencies

Model uncertainties	Size ( $\times 10^{-2}$ )	Multiplicative uncertainties	Size ( $\times 10^{-2}$ )
Simulated sample size	2.0	Simulated sample size	0.6
Misidentified $\mu$ template shape	1.6	Hardware trigger efficiency	0.6
$D^*$ form factors	0.6	Particle identification efficiencies	0.3
$B \rightarrow D^* DX$ shape	0.5	Form-factors	0.2
$\mathcal{B}(B \rightarrow D^{**} \tau \nu) / \mathcal{B}(B \rightarrow D^{**} \mu \nu)$	0.5	$\mathcal{B}(\tau \rightarrow \mu \nu \nu)$	< 0.1
$B \rightarrow [D^* \pi \pi] \mu \nu$ shape	0.4	Total multiplicative uncertainty	0.9
Corrections to simulation	0.4	Total systematic uncertainty	3.0
Combinatoric background shape	0.3		
$D^{**}$ form factors	0.3		
$B \rightarrow D^*(D_s \rightarrow \tau \nu) X$ fraction	0.1		
Total model uncertainty	2.8		

- Largest systematic from simulation statistics → reducible in future
- Next largest systematic from choice of method used to construct fake muon template
- Other systematic from background modelling depend on control samples in data
  - No uncertainties limited by external inputs
- Systematics from ratio of  $B \rightarrow D^* \mu \nu$  and  $B \rightarrow D^* \tau \nu$  efficiencies small

# Result



- We measure  $\mathcal{R}(D^*) = 0.336 \pm 0.027 \pm 0.030$ 
  - In good agreement with past measurements
  - Agreement with SM at  $2.1\sigma$  level
- Measurement will improve with more data: largest systematic uncertainties depend on control samples (or simulation size)
- Paper (LHCb-PAPER-2015-025) to come in a few weeks

# Conclusion

- $\Lambda_b^0 \rightarrow p\mu\nu$ : First measurement of  $|V_{ub}|$  at a hadron collider
  - Consistent with past exclusive measurements, competitive precision
  - $3.5\sigma$  tension with inclusive measurements
- $B \rightarrow D^*\tau\nu$ : First measurement of any  $B \rightarrow \tau X$  decay at a hadron collider
  - Consistent with past measurements, competitive precision
  - Agreement with SM at  $2.1\sigma$  level
- Neither of these measurements were supposed to be possible at LHCb
  - They are
  - Many other semileptonic measurements also are
  - Rich program underway