



2018 WPI-next Mini-workshop

"Hints for New Physics in Heavy Flavors"

Nagoya University, November 15-17, 2018

LHCb Upgrade II

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on behalf of the LHCb collaboration



UNIVERSITAT DE
BARCELONA



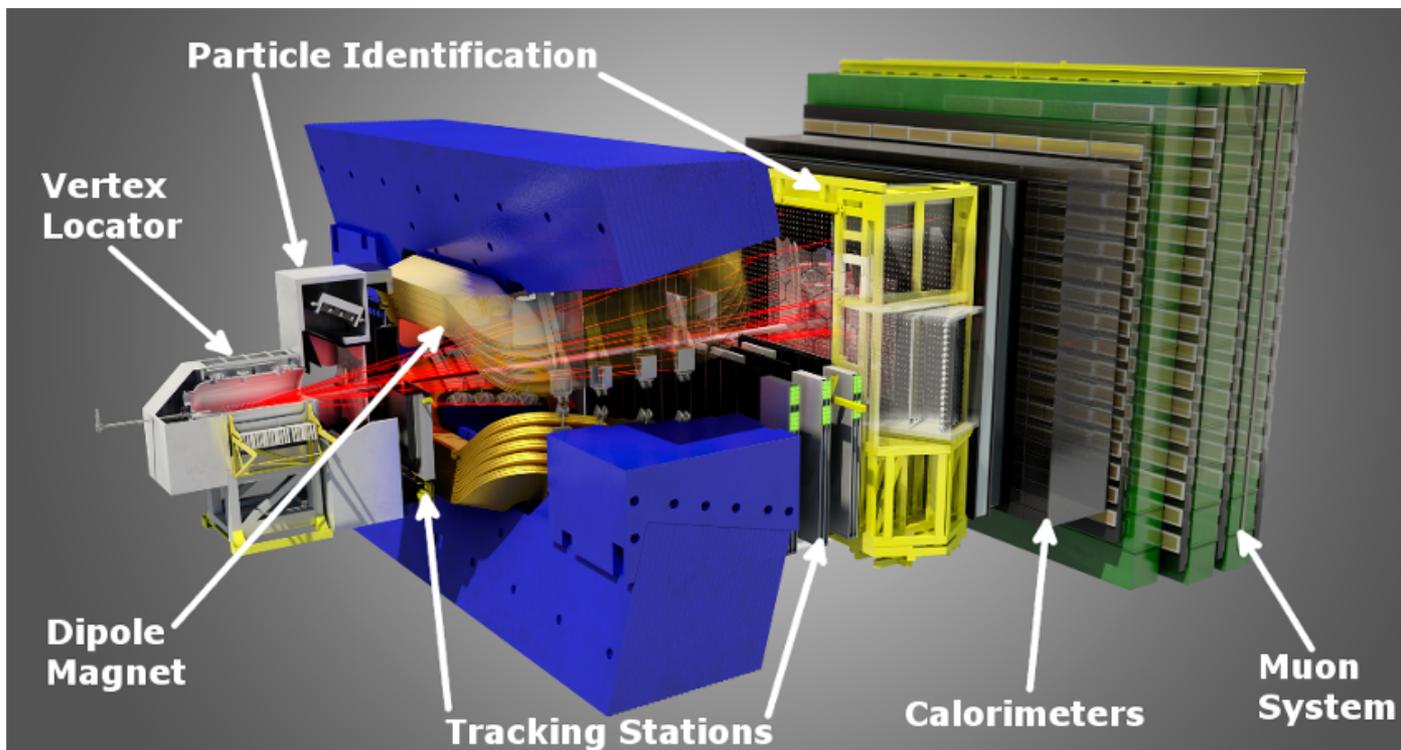
OUTLINE



- Introduction
 - LHCb experiment, dataset & performance
 - LHCb physics program
- Imminent LHCb Upgrade
- LHCb Upgrade II
 - Physics Case
 - Future detector requirements, R&D and possible solutions
 - Calendar, timeline and global effort
- Summary & Conclusions

Introduction

LHCb: a general purpose spectrometer in the forward direction ($2 < \eta < 5$), optimized for high-precision heavy-flavor physics.

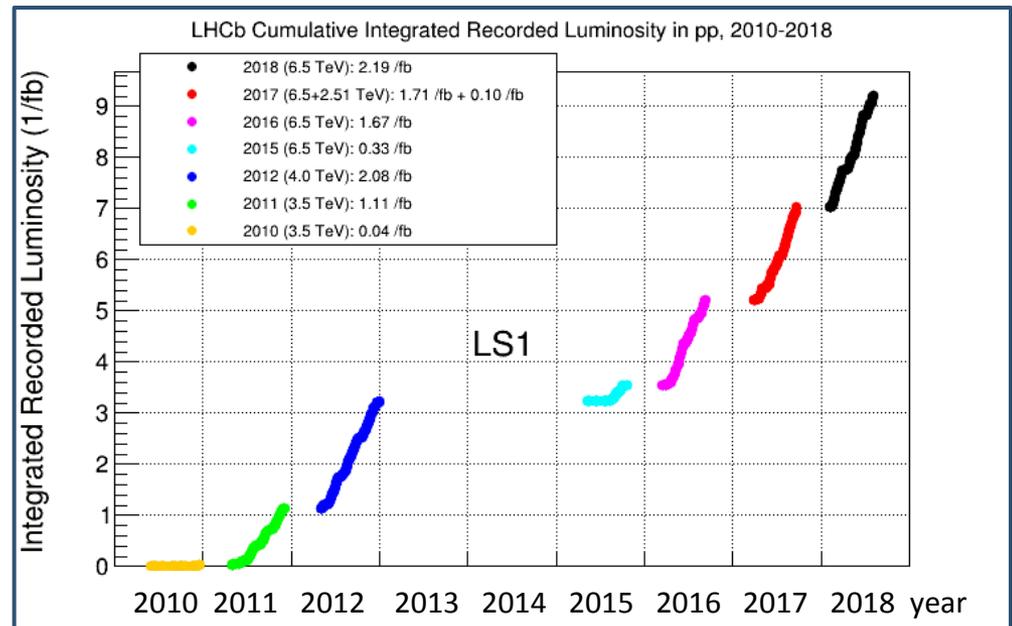
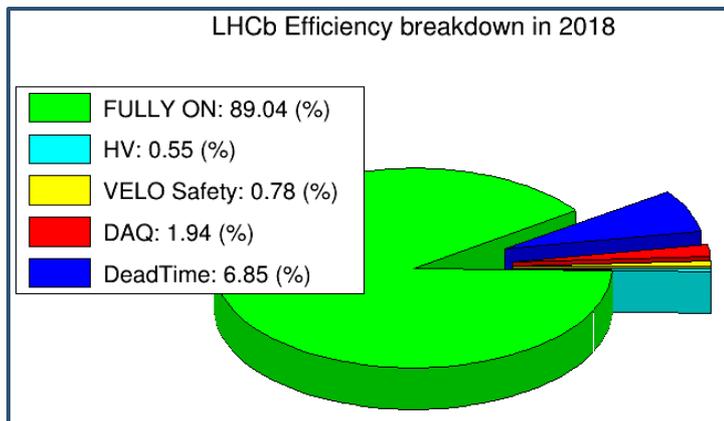
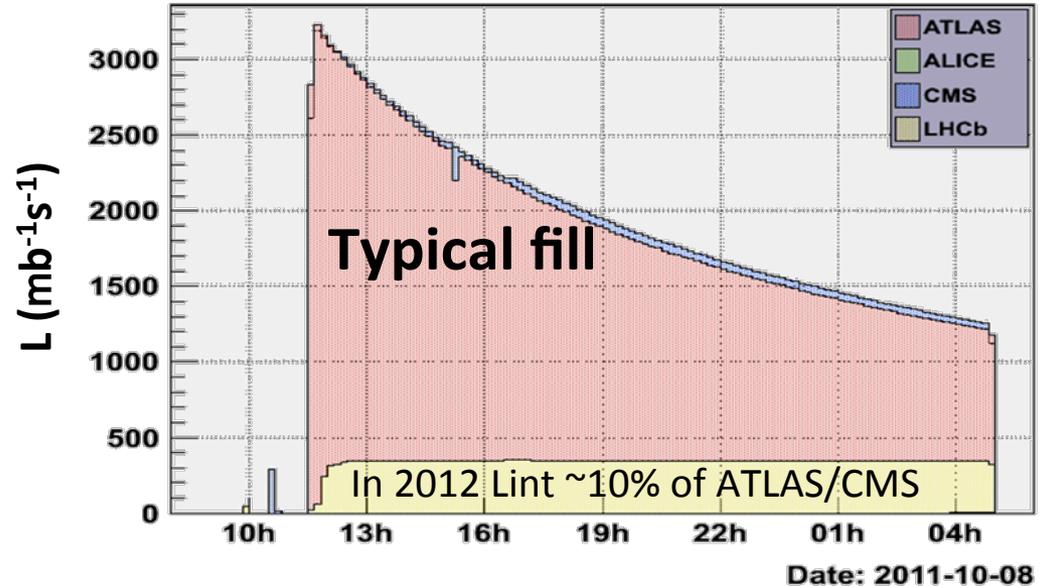


Performance between 2010-2018:

- $V_{tx, res.}$ $4\mu\text{m}$
- $t_{res.}$ 45fs
- $p_{res.}$ 0.6% @ 100 GeV

LHCb dataset & performance

- Great LHC running with \mathcal{L} leveling, with over 10 fb^{-1} delivered Run1+ Run2 matched by ...
- an excellent performance of LHCb detectors
 - 9 fb^{-1} recorded
 - Run1: 3 fb^{-1} @7-8TeV.
 - Run2: 6 fb^{-1} @13TeV.



Beauty production at LHC

- $\sigma_{bb} = 154 \pm 14 \mu\text{b}$ @ $\sqrt{s} = 13\text{TeV}$,

Within the LHCb acceptance

PLB 118,052002 (2017)

- All b-hadron species produced at LHC

$B^0, B^+, B_s, B_c, \Lambda_b, \dots$

- Charm: \sim beauty x 20

JHEP 03(2016)159

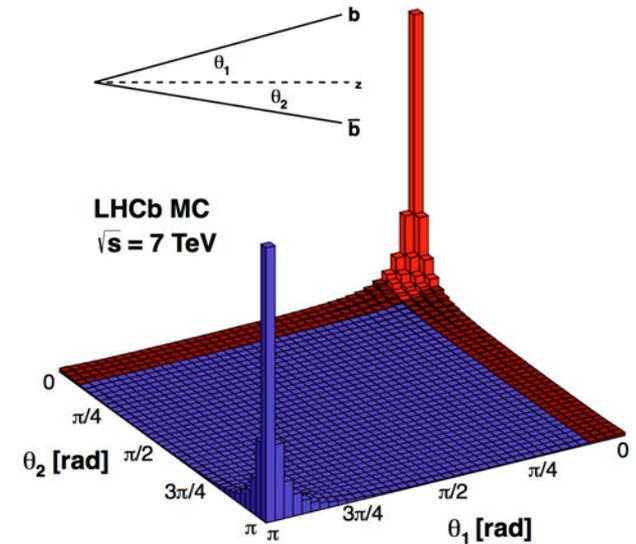
- Operated since the end of 2011 at $\sim 4 \cdot 10^{32}/\text{cm}^2 \text{ s}$ (2x design lumi)

$\sim 60\text{KHz}$ (@13TeV) of bb pairs
(10^4 x B factories)

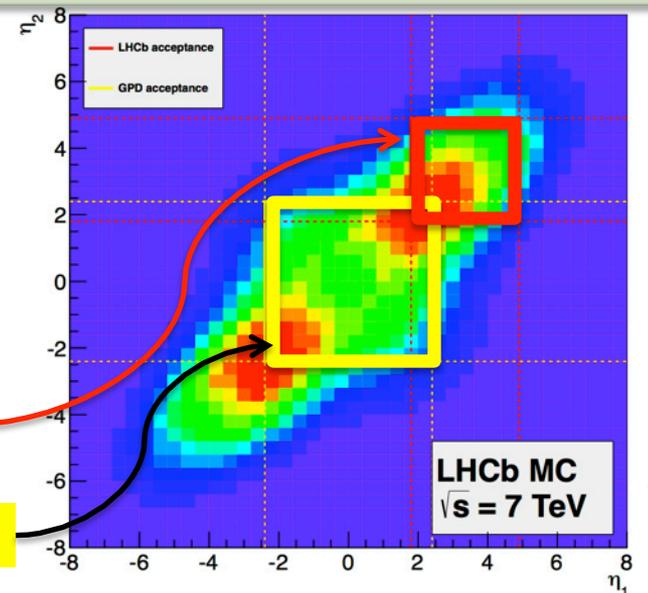
LHCb acceptance: $2 < \eta < 5$

ATLAS/CMS acceptance: $|\eta| < 2.5$

gluon-gluon fusion

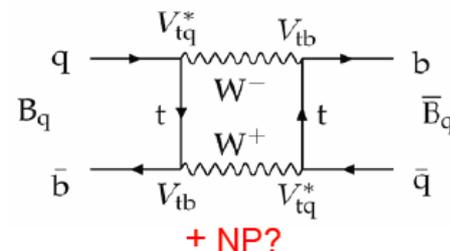
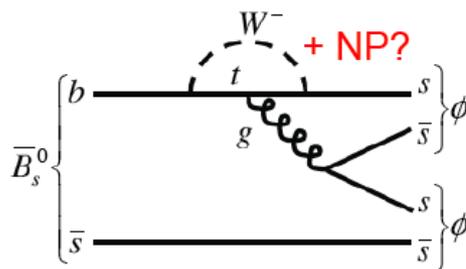
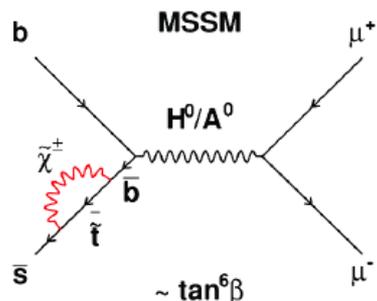


Covers 4% of solid angle (25% of heavy quark mesons).



The LHCb physics programme

- **New Physics (NP)** evidence may appear both in measurements of CP violation and rare decays, mediated by new particles (via their contributions in loop diagrams); e.g.: Comparing CKM quantities determined in tree & loop process



- Complementary to **ATLAS & CMS** direct searches
 - If NP is discovered, its structure must be determined

- **New particles would distort the SM (CKM) picture of B decays by modifying:**

– Phases

– Amplitudes

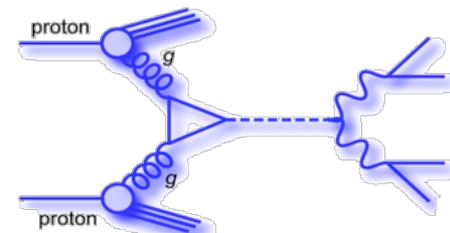
– Lorentz Structure



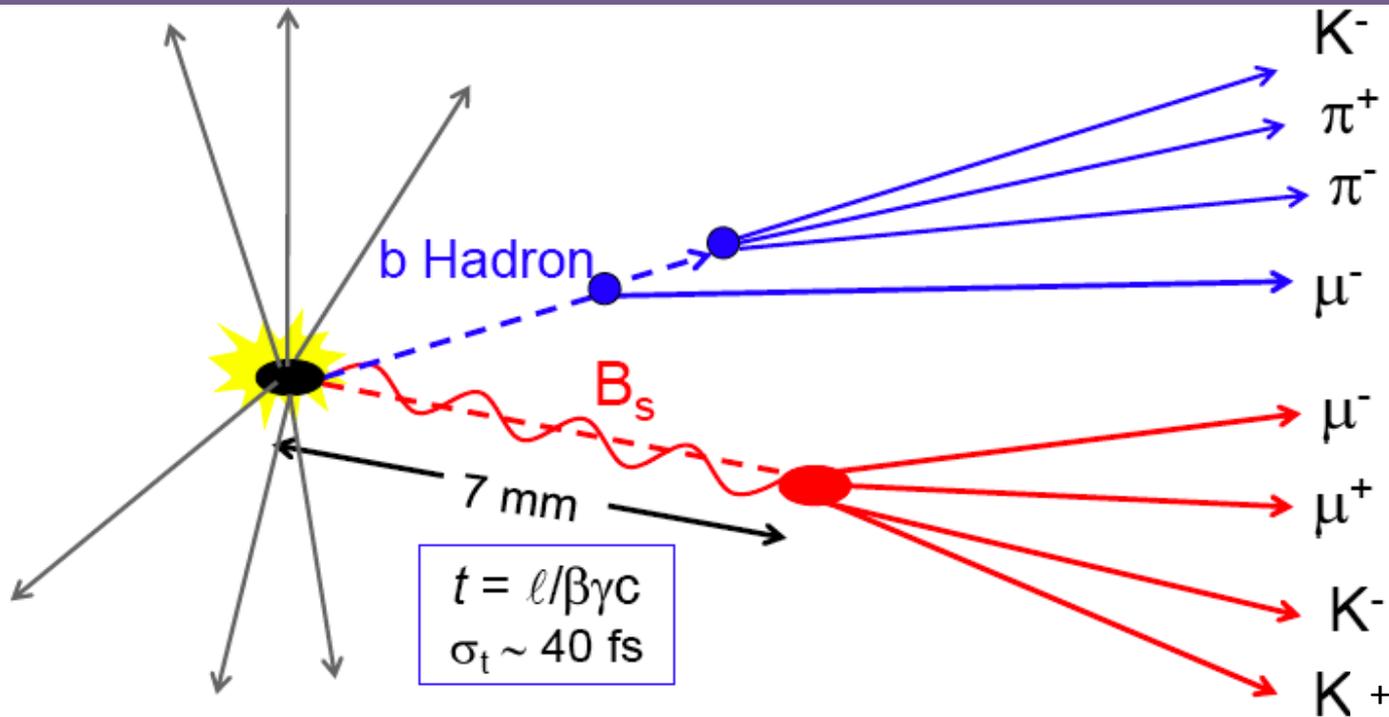
CP violation

Branching ratios

Angular distributions



Beauty physics requirements @ LHC



- **High Statistics:** Need an efficient trigger to select hadronic and leptonic B meson decays, specially taking into account $\sigma_{bb}/\sigma_{inel} \sim o(10^{-3})$
- **Excellent vertex resolution,** to resolve a displaced secondary vertex
- **Very good mass resolution,** to reduce the background
- **Very efficient particle identification (K/π),** essential to do flavour physics

Imminent LHCb Upgrade

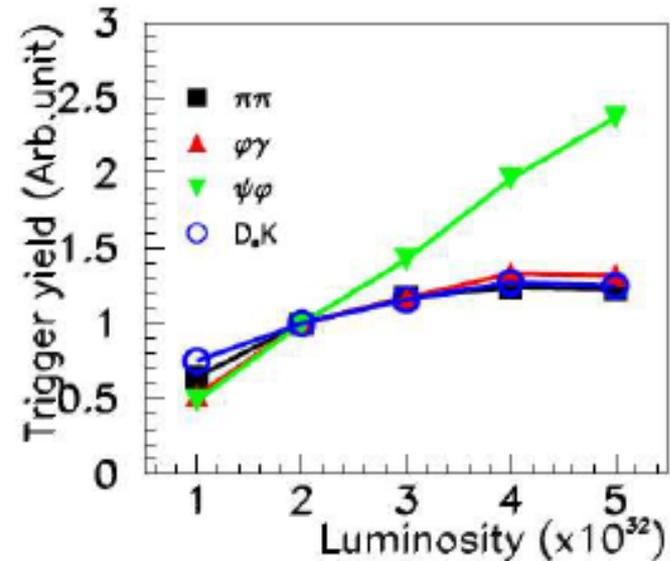
Excellent results from Run-I and (partial) Run-II physics data analysis.

BUT....

- Precision limited by statistical uncertainties.
 - Hardware trigger at FE limited @ 1.1MHz rate.
 - Stern p_T and E_T cuts saturate hadronic channels.

in addition...

- At higher lumis the current LHCb could not perform successfully track reconstruction
 - Much higher track/primary vertex multiplicity
 - Processing time in the online farm too high
- Designed to survive **5y** (at $\frac{1}{2}$ lumi), so radiation hardness would start to become an issue



LHCb-TDR-012

CERN-LHCC-2011-001

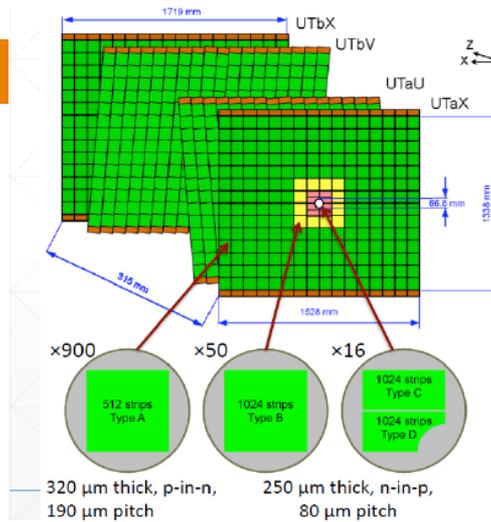
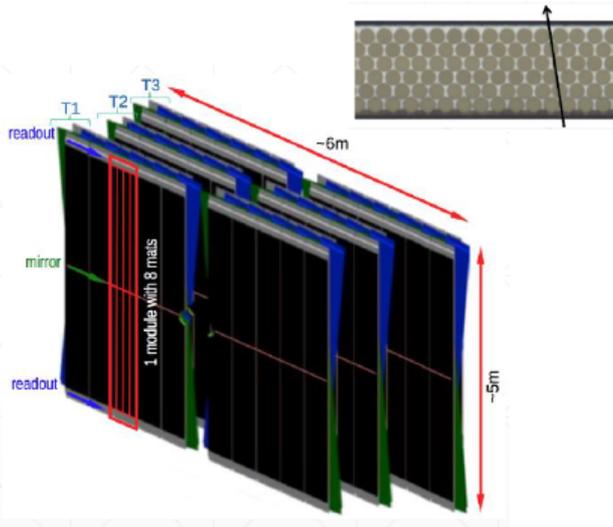


An Upgrade of the LHCb detector is the answer ...

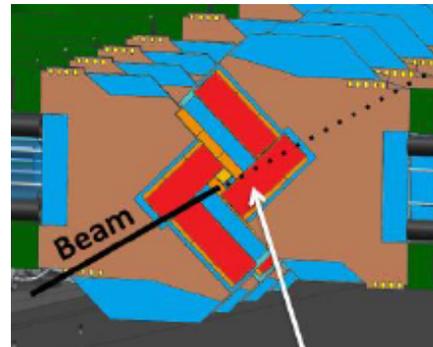
Imminent LHCb Upgrade

- Allows for a Factor 5 luminosity increase, by means of :
 - New flexible fully-software trigger system.
 - Trigger-less 40MHz readout in all sub-detectors.
 - Fully informed trigger with complete reconstruction.
 - New SciFi Tracker downstream from the magnet.
 - Finer sensors: VeLo(pixel), UT(strip), RICH(MaPMT).

SciFi & UT (LHCb-TDR-015)



VELO-II (LHCb-TDR-013)



LHCb Upgrade Trigger Diagram

**30 MHz inelastic event rate
(full rate event building)**

Software High Level Trigger

Full event reconstruction, inclusive and exclusive kinematic/geometric selections

Buffer events to disk, perform online detector calibration and alignment

Add offline precision particle identification and track quality information to selections

Output full event information for inclusive triggers, trigger candidates and related primary vertices for exclusive triggers

2-5 GB/s to storage

CERN-LHCC-2014-016

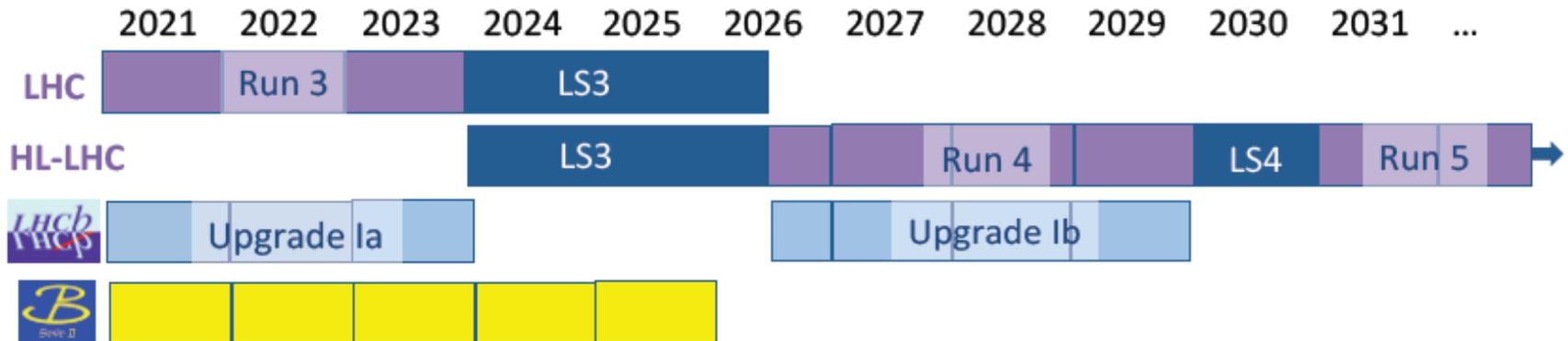
PID Upgrade(LHCb-TDR-014)

Imminent LHCb Upgrade

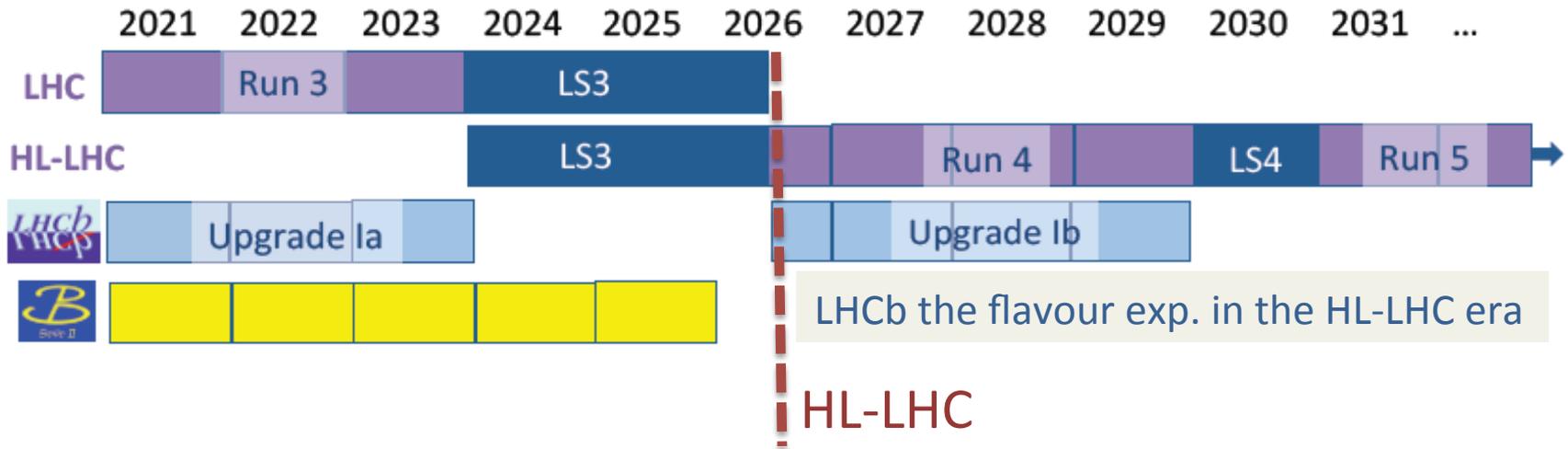
PHYSICS PROSPECTS (Table from the LHCb-TDR-012, current estimates are better)

| Type | Observable | Current precision | LHCb 2018 | Upgrade (50 fb⁻¹) | Theory uncertainty |
|---------------------------|---|-------------------------------------|-----------------------|-------------------------------------|-----------------------|
| B_s^0 mixing | $2\beta_s (B_s^0 \rightarrow J/\psi \phi)$ | 0.10 [9] | 0.025 | 0.008 | ~ 0.003 |
| | $2\beta_s (B_s^0 \rightarrow J/\psi f_0(980))$ | 0.17 [10] | 0.045 | 0.014 | ~ 0.01 |
| | $A_{fs}(B_s^0)$ | 6.4×10^{-3} [18] | 0.6×10^{-3} | 0.2×10^{-3} | 0.03×10^{-3} |
| Gluonic penguin | $2\beta_s^{\text{eff}}(B_s^0 \rightarrow \phi\phi)$ | – | 0.17 | 0.03 | 0.02 |
| | $2\beta_s^{\text{eff}}(B_s^0 \rightarrow K^{*0}\bar{K}^{*0})$ | – | 0.13 | 0.02 | < 0.02 |
| | $2\beta_s^{\text{eff}}(B^0 \rightarrow \phi K_S^0)$ | 0.17 [18] | 0.30 | 0.05 | 0.02 |
| Right-handed currents | $2\beta_s^{\text{eff}}(B_s^0 \rightarrow \phi\gamma)$ | – | 0.09 | 0.02 | < 0.01 |
| | $\tau^{\text{eff}}(B_s^0 \rightarrow \phi\gamma)/\tau_{B_s^0}$ | – | 5% | 1% | 0.2% |
| Electroweak penguin | $S_3(B^0 \rightarrow K^{*0}\mu^+\mu^-; 1 < q^2 < 6 \text{ GeV}^2/c^4)$ | 0.08 [14] | 0.025 | 0.008 | 0.02 |
| | $s_0 A_{\text{FB}}(B^0 \rightarrow K^{*0}\mu^+\mu^-)$ | 25% [14] | 6% | 2% | 7% |
| | $A_1(K\mu^+\mu^-; 1 < q^2 < 6 \text{ GeV}^2/c^4)$ | 0.25 [15] | 0.08 | 0.025 | ~ 0.02 |
| | $\mathcal{B}(B^+ \rightarrow \pi^+\mu^+\mu^-)/\mathcal{B}(B^+ \rightarrow K^+\mu^+\mu^-)$ | 25% [16] | 8% | 2.5% | $\sim 10\%$ |
| Higgs penguin | $\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$ | 1.5×10^{-9} [2] | 0.5×10^{-9} | 0.15×10^{-9} | 0.3×10^{-9} |
| | $\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$ | – | $\sim 100\%$ | $\sim 35\%$ | $\sim 5\%$ |
| Unitarity triangle angles | $\gamma (B \rightarrow D^{(*)}K^{(*)})$ | $\sim 10\text{--}12^\circ$ [19, 20] | 4° | 0.9° | negligible |
| | $\gamma (B_s^0 \rightarrow D_s K)$ | – | 11° | 2.0° | negligible |
| | $\beta (B^0 \rightarrow J/\psi K_S^0)$ | 0.8° [18] | 0.6° | 0.2° | negligible |
| Charm CP violation | A_Γ | 2.3×10^{-3} [18] | 0.40×10^{-3} | 0.07×10^{-3} | – |
| | ΔA_{CP} | 2.1×10^{-3} [5] | 0.65×10^{-3} | 0.12×10^{-3} | – |

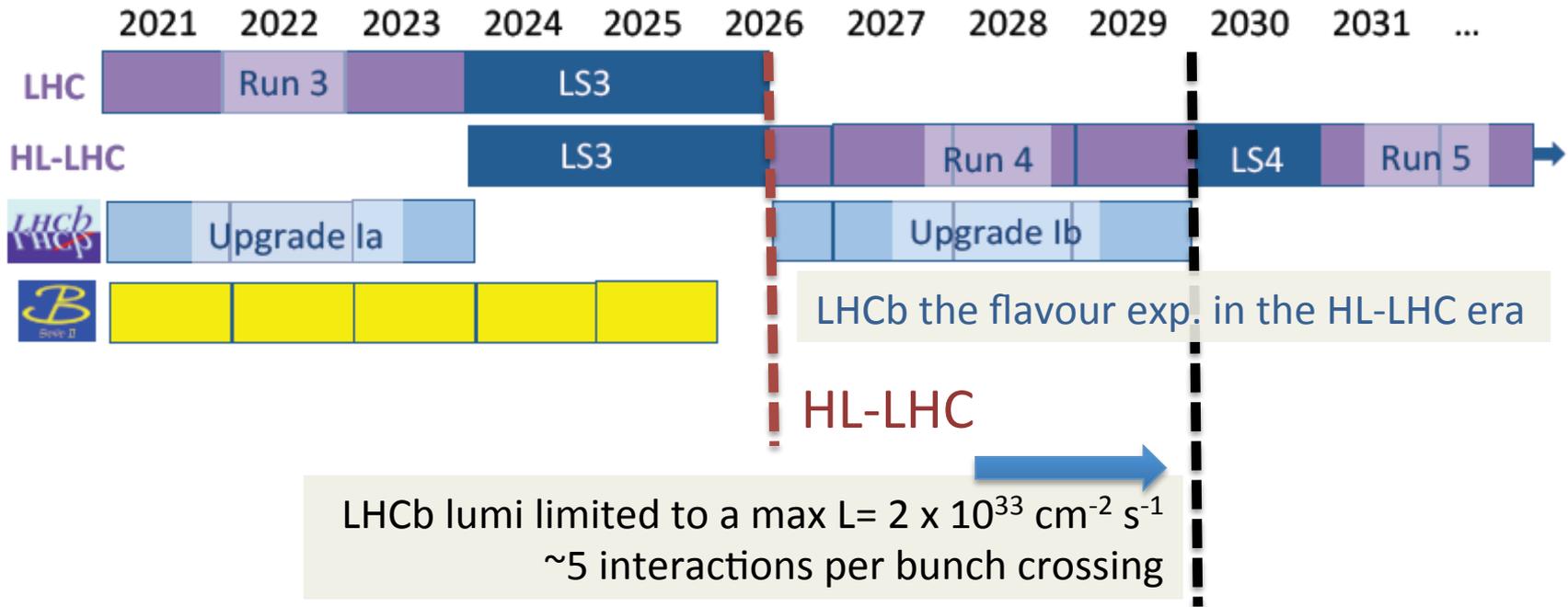
LHCb Calendar



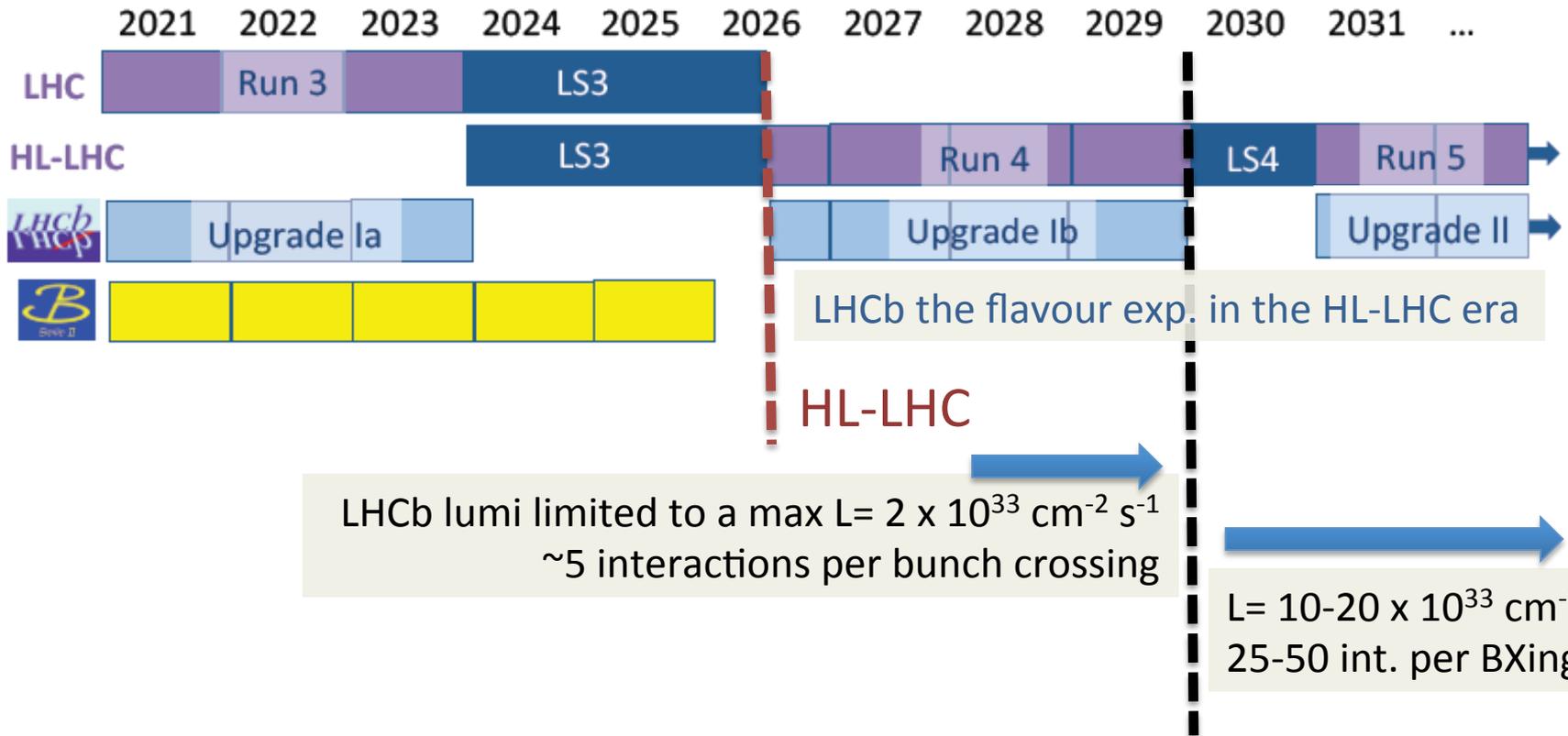
LHCb Calendar



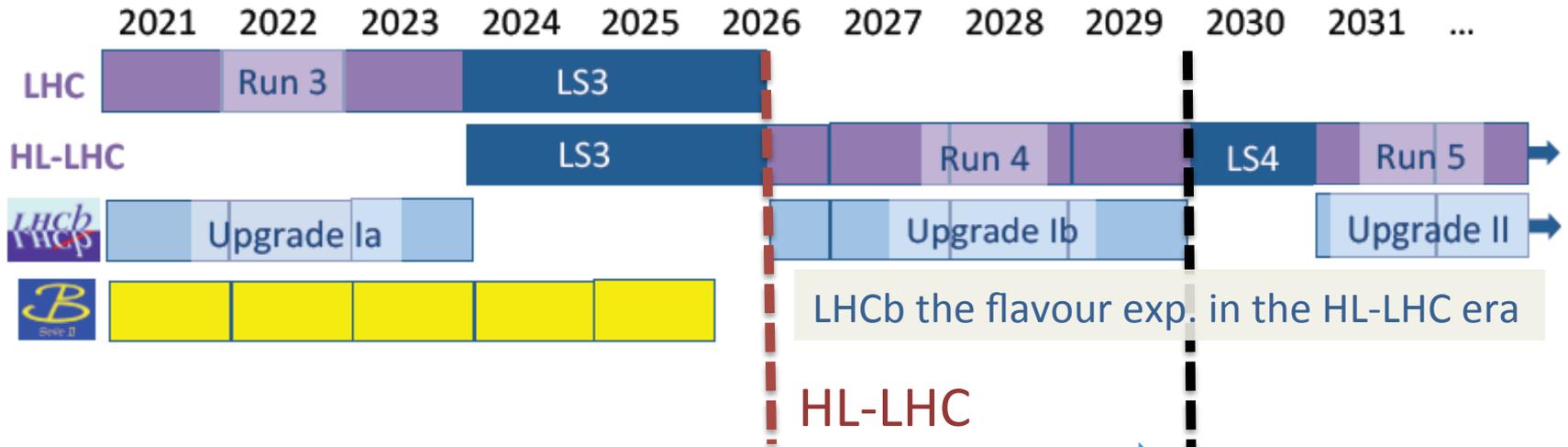
LHCb Calendar



LHCb Calendar

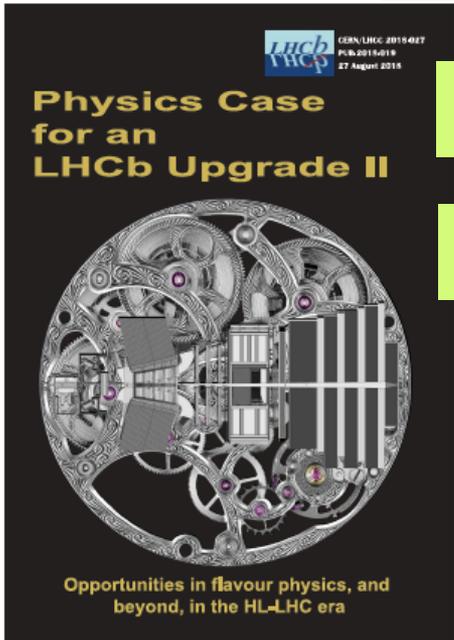


LHCb Calendar

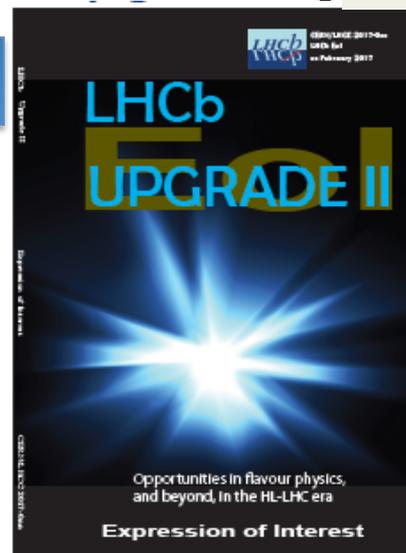


LHCb lumi limited to a max $L = 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
 ~ 5 interactions per bunch crossing

$L = 10\text{-}20 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
 25-50 int. per BXing



- PHYSICS CASE [LHCB-PUB-2018-009]
- HL-LHC machine study CERN-ACC-NOTE-2018-0038



- Expression of Interest 2017 [CERN-LHCC-2017-003]

LHCC asked to address

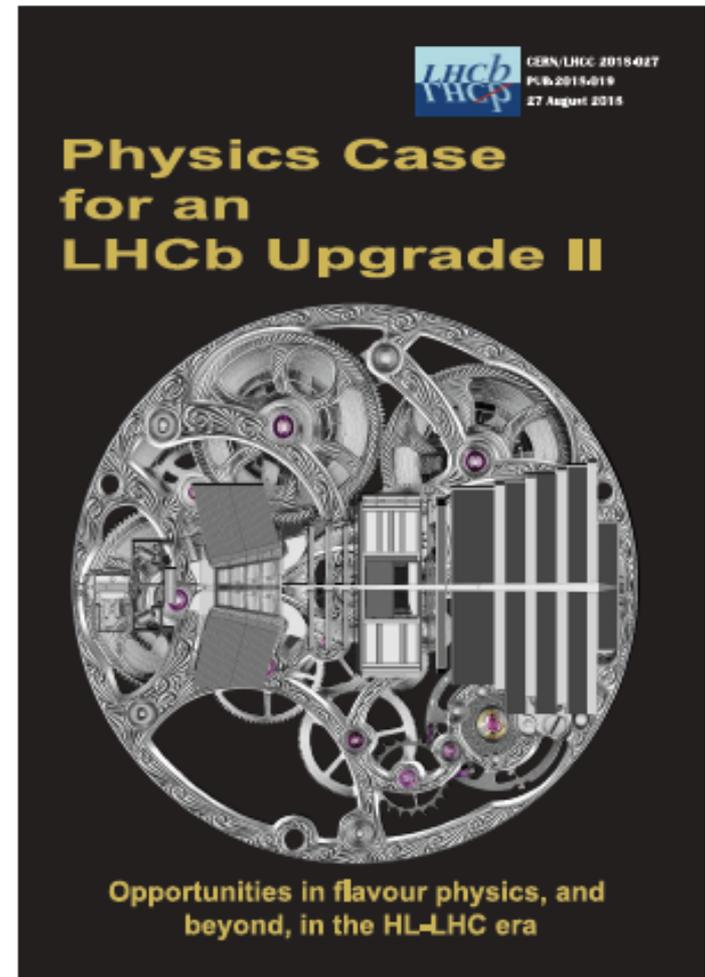
LHCb Upgrade II Physics Case

[LHCB-PUB-2018-009] arXiv:1808.08865

PHYSICS TOPICS ADDRESSED:

(assuming a sample of 300/fb of data)

- Time dependent CPV
- Time integrated CPV
- Unitarity Triangle & semi-lept.
- Mixing and CPV in Charm
- Rare Decays
- Forward and high p_T Physics
- Exotic hadrons and Spectroscopy
- Appendix on:
Heavy Ions, Fixed target, long-lived particles



LHCb Upgrade II Physics Case

Summary of Results:

[LHCB-PUB-2018-009] arXiv:1808.08865

Table 10.1: Summary of prospects for future measurements of selected flavour observables for LHCb, Belle II and Phase-II ATLAS and CMS. The projected LHCb sensitivities take no account of potential detector improvements, apart from in the trigger. The Belle-II sensitivities are taken from Ref. [608].

| Observable | Current LHCb | LHCb 2025 | Belle II | Upgrade II | ATLAS & CMS |
|---|--------------------------------|------------------------------|-------------------------------------|------------------------------|-------------------|
| EW Penguins | | | | | |
| $R_K (1 < q^2 < 6 \text{ GeV}^2 c^4)$ | 0.1 [274] | 0.025 | 0.036 | 0.007 | – |
| $R_{K^*} (1 < q^2 < 6 \text{ GeV}^2 c^4)$ | 0.1 [275] | 0.031 | 0.032 | 0.008 | – |
| R_ϕ, R_{pK}, R_π | – | 0.08, 0.06, 0.18 | – | 0.02, 0.02, 0.05 | – |
| CKM tests | | | | | |
| γ , with $B_s^0 \rightarrow D_s^+ K^-$ | $(^{+17}_{-22})^\circ$ [136] | 4° | – | 1° | – |
| γ , all modes | $(^{+5.0}_{-5.8})^\circ$ [167] | 1.5° | 1.5° | 0.35° | – |
| $\sin 2\beta$, with $B^0 \rightarrow J/\psi K_S^0$ | 0.04 [609] | 0.011 | 0.005 | 0.003 | – |
| ϕ_s , with $B_s^0 \rightarrow J/\psi \phi$ | 49 mrad [44] | 14 mrad | – | 4 mrad | 22 mrad [610] |
| ϕ_s , with $B_s^0 \rightarrow D_s^+ D_s^-$ | 170 mrad [49] | 35 mrad | – | 9 mrad | – |
| ϕ_s^{ss} , with $B_s^0 \rightarrow \phi \phi$ | 154 mrad [94] | 39 mrad | – | 11 mrad | Under study [611] |
| α_{sl}^s | 33×10^{-4} [211] | 10×10^{-4} | – | 3×10^{-4} | – |
| $ V_{ub} / V_{cb} $ | 6% [201] | 3% | 1% | 1% | – |
| $B_s^0, B^0 \rightarrow \mu^+ \mu^-$ | | | | | |
| $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$ | 90% [264] | 34% | – | 10% | 21% [612] |
| $\tau_{B_s^0 \rightarrow \mu^+ \mu^-}$ | 22% [264] | 8% | – | 2% | – |
| $S_{\mu\mu}$ | – | – | – | 0.2 | – |
| $b \rightarrow c l^- \bar{\nu}_l$ LUV studies | | | | | |
| $R(D^*)$ | 0.026 [215, 217] | 0.0072 | 0.005 | 0.002 | – |
| $R(J/\psi)$ | 0.24 [220] | 0.071 | – | 0.02 | – |
| Charm | | | | | |
| $\Delta A_{CP}(KK - \pi\pi)$ | 8.5×10^{-4} [613] | 1.7×10^{-4} | 5.4×10^{-4} | 3.0×10^{-5} | – |
| $A_\Gamma (\approx x \sin \phi)$ | 2.8×10^{-4} [240] | 4.3×10^{-5} | 3.5×10^{-4} | 1.0×10^{-5} | – |
| $x \sin \phi$ from $D^0 \rightarrow K^+ \pi^-$ | 13×10^{-4} [228] | 3.2×10^{-4} | 4.6×10^{-4} | 8.0×10^{-5} | – |
| $x \sin \phi$ from multibody decays | – | $(K3\pi) 4.0 \times 10^{-5}$ | $(K_S^0 \pi\pi) 1.2 \times 10^{-4}$ | $(K3\pi) 8.0 \times 10^{-6}$ | – |

LHCb Upgrade II Physics Case

Summary of Results:

[LHCB-PUB-2018-009] arXiv:1808.08865

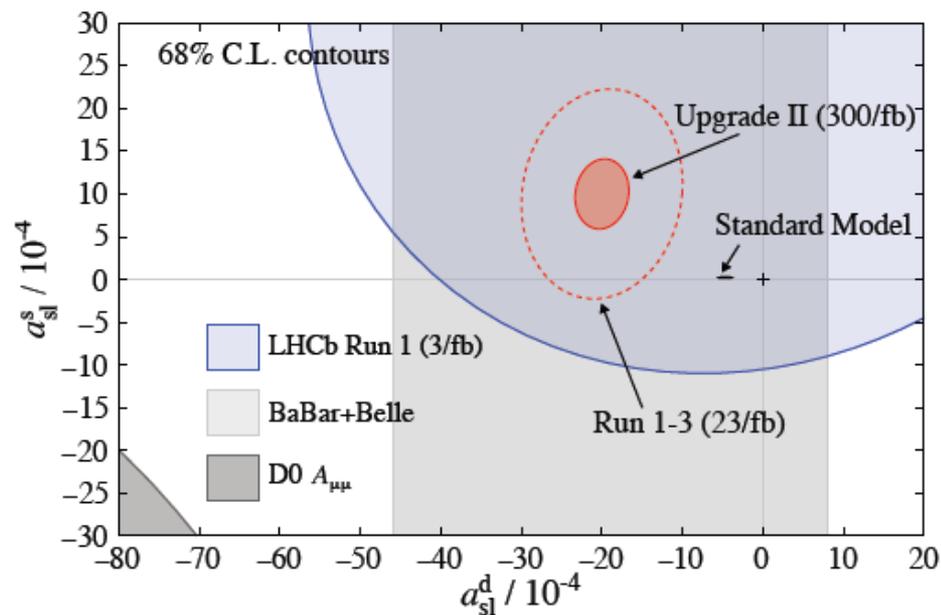
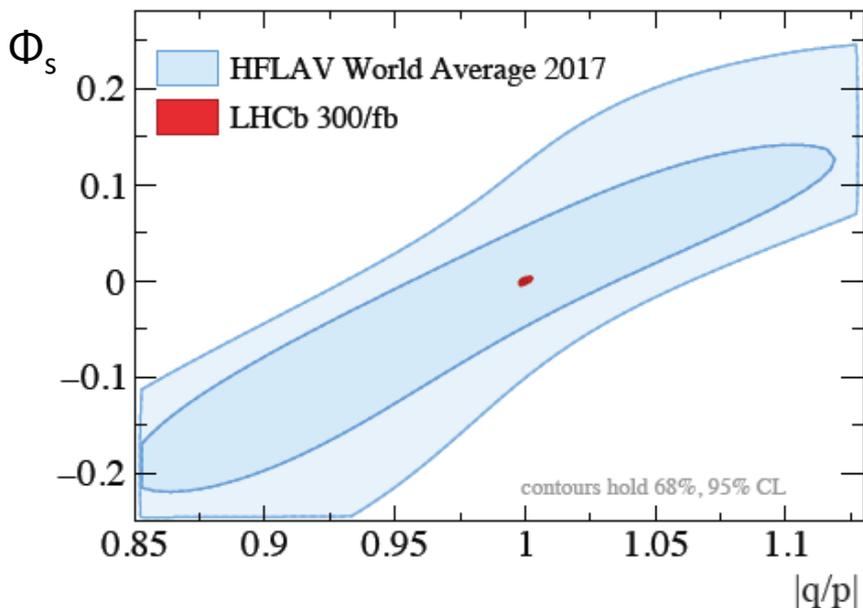
Let's review some of these channels with more details

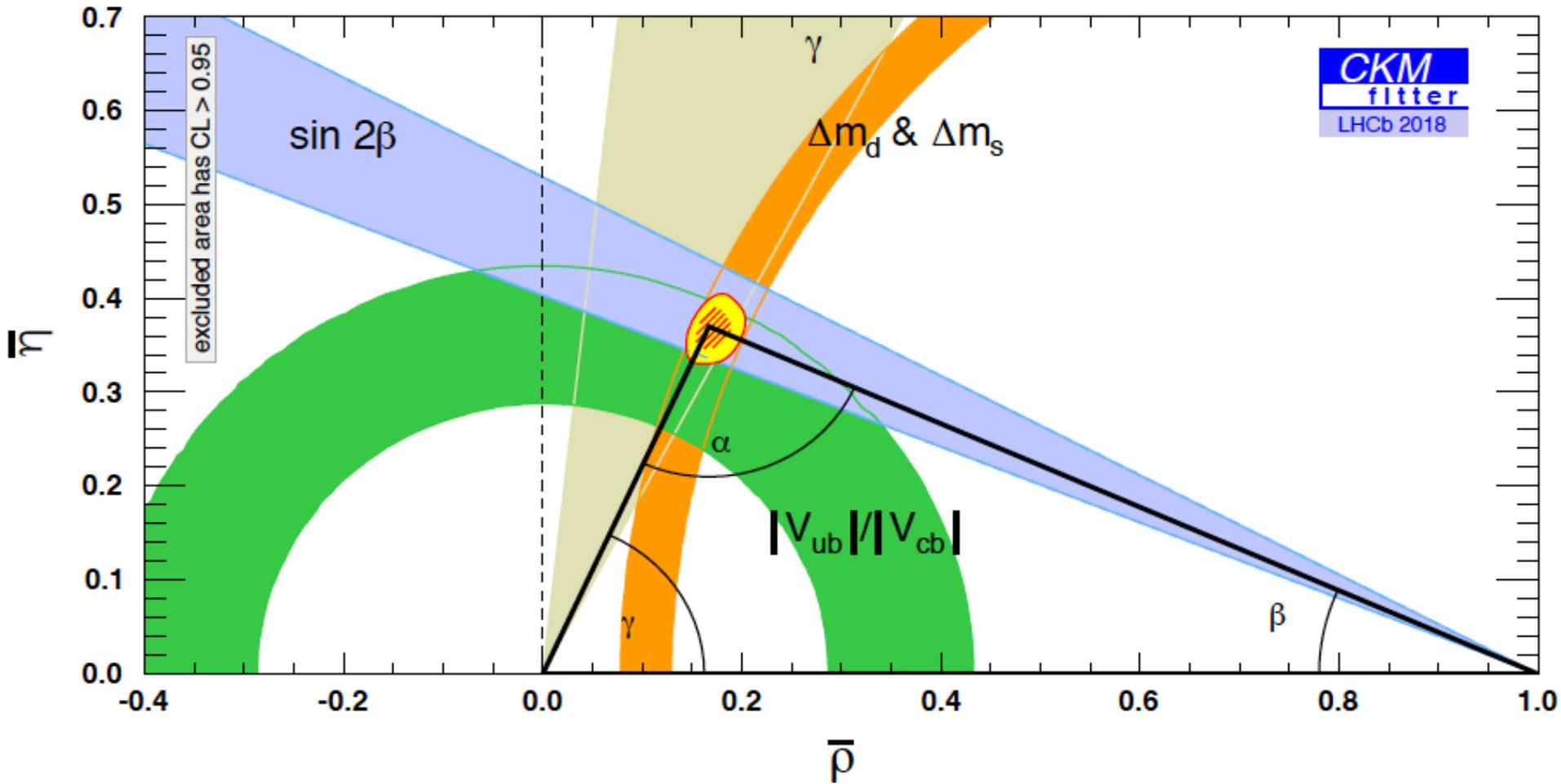
| Observable | Current LHCb | LHCb 2025 | Belle II | Upgrade II | ATLAS & CMS |
|---|-----------------------------------|------------------------------|-------------------------------------|------------------------------|-------------------|
| EW Penguins | | | | | |
| $R_K (1 < q^2 < 6 \text{ GeV}^2 c^4)$ | 0.1 [274] | 0.025 | 0.005 | 0.007 | – |
| $R_{K^*} (1 < q^2 < 6 \text{ GeV}^2 c^4)$ | 0.1 [275] | 0.031 | 0.03 | 0.008 | – |
| R_ϕ, R_{pK}, R_π | – | 0.08, 0.06, 0.18 | – | 0.02, 0.02, 0.05 | – |
| CKM tests | | | | | |
| γ , with $B_s^0 \rightarrow D_s^+ K^-$ | $(+17_{-22}^\circ)$ [136] | – | – | 1° | – |
| γ , all modes | $(+5.0_{-5.0}^\circ)$ [136] | 1.5° | 1.5° | 0.35° | – |
| $\sin 2\beta$, with $B^0 \rightarrow J/\psi K_S^0$ | 0.04 [109] | 0.011 | 0.005 | 0.003 | – |
| ϕ_s , with $B_s^0 \rightarrow J/\psi \phi$ | 49 mrad [44] | 14 mrad | – | 4 mrad | 22 mrad [610] |
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| $B_s^0, B^0 \rightarrow \mu^+ \mu^-$ | – | – | – | – | – |
| $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$ | 90% [264] | 34% | – | 10% | 21% [612] |
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| $S_{\mu\mu}$ | – | – | – | 0.2 | – |
| $b \rightarrow c \ell^- \bar{\nu}_\ell$ LUV studies | | | | | |
| $R(D^*)$ | $0.25_{-0.05}^{+0.05}$ [215, 217] | 0.0072 | 0.005 | 0.002 | – |
| $R(J/\psi)$ | $0.24_{-0.02}^{+0.02}$ [220] | 0.071 | – | 0.02 | – |
| Charm | | | | | |
| $\Delta A_{CP}(KK - \pi\pi)$ | 8.5×10^{-4} [613] | 1.7×10^{-4} | 5.4×10^{-4} | 3.0×10^{-5} | – |
| $A_\Gamma (\approx x \sin \phi)$ | 2.8×10^{-4} [240] | 4.3×10^{-5} | 3.5×10^{-4} | 1.0×10^{-5} | – |
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Physics Case: CP Violation

- γ : 0.4°
- ϕ_s : 4 mrad
- Charm CPV: $O(10^{-5})$

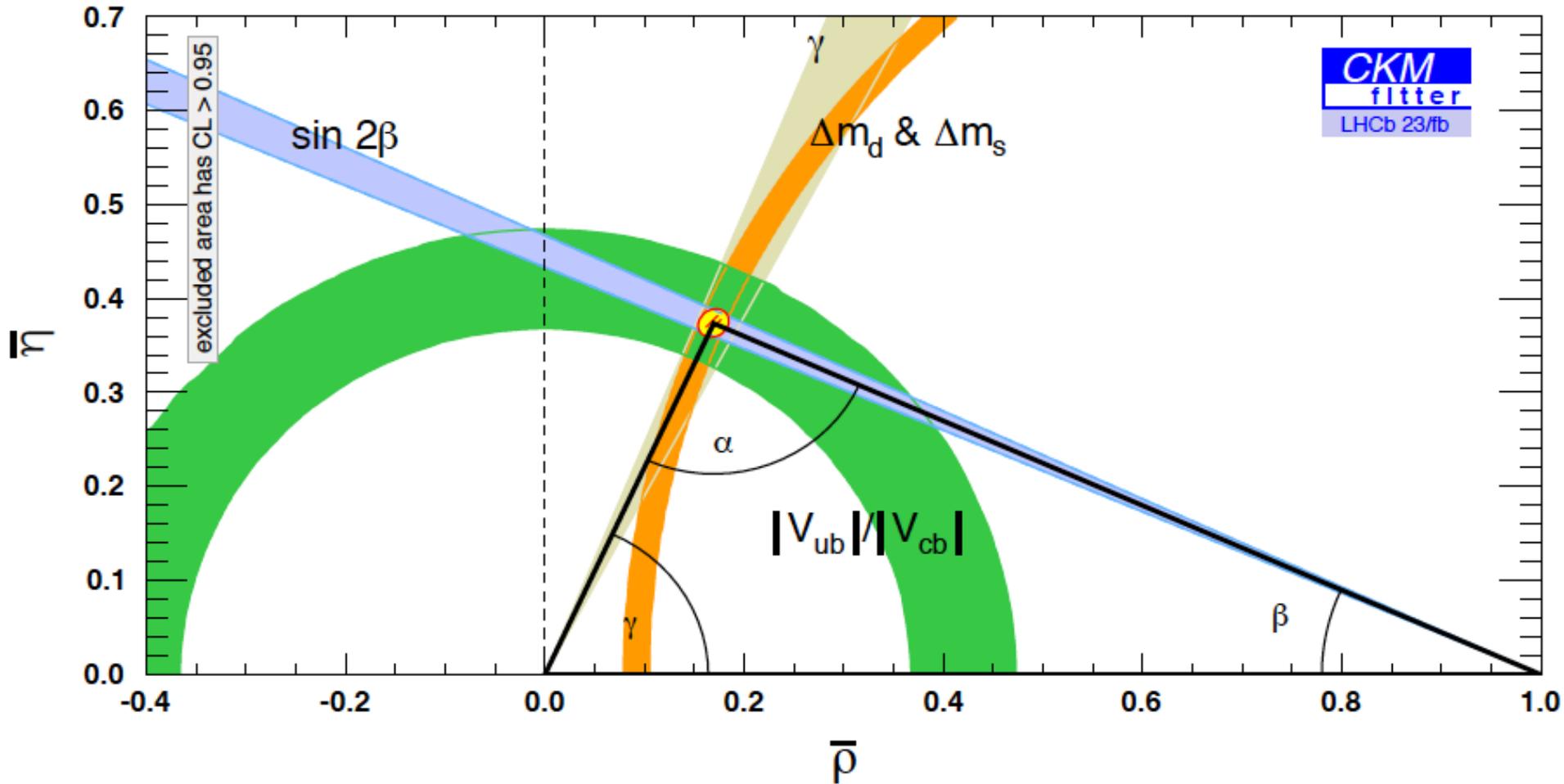
| | $\pm 33.0 \times 10^{-4}$ | ± 5.4 | ± 49 | $\pm 28.0 \times 10^{-5}$ | LHCb |
|------------|---------------------------|-------------------|---------------------|---|---------------------------------------|
| | | | | | Current |
| | $\pm 10.0 \times 10^{-4}$ | ± 1.5 | ± 14 | $\pm 35.0 \times 10^{-5}$ $\pm 4.3 \times 10^{-5}$ | Belle II ATLAS/CMS LHCb 2025 |
| | $\pm 3.0 \times 10^{-4}$ | ± 0.35 | ± 22 ± 4 | $\pm 1.0 \times 10^{-5}$ | HL-LHC |
| a_{sl}^s | | $\gamma [^\circ]$ | $\phi_s [mrad]$ | A_Γ | |





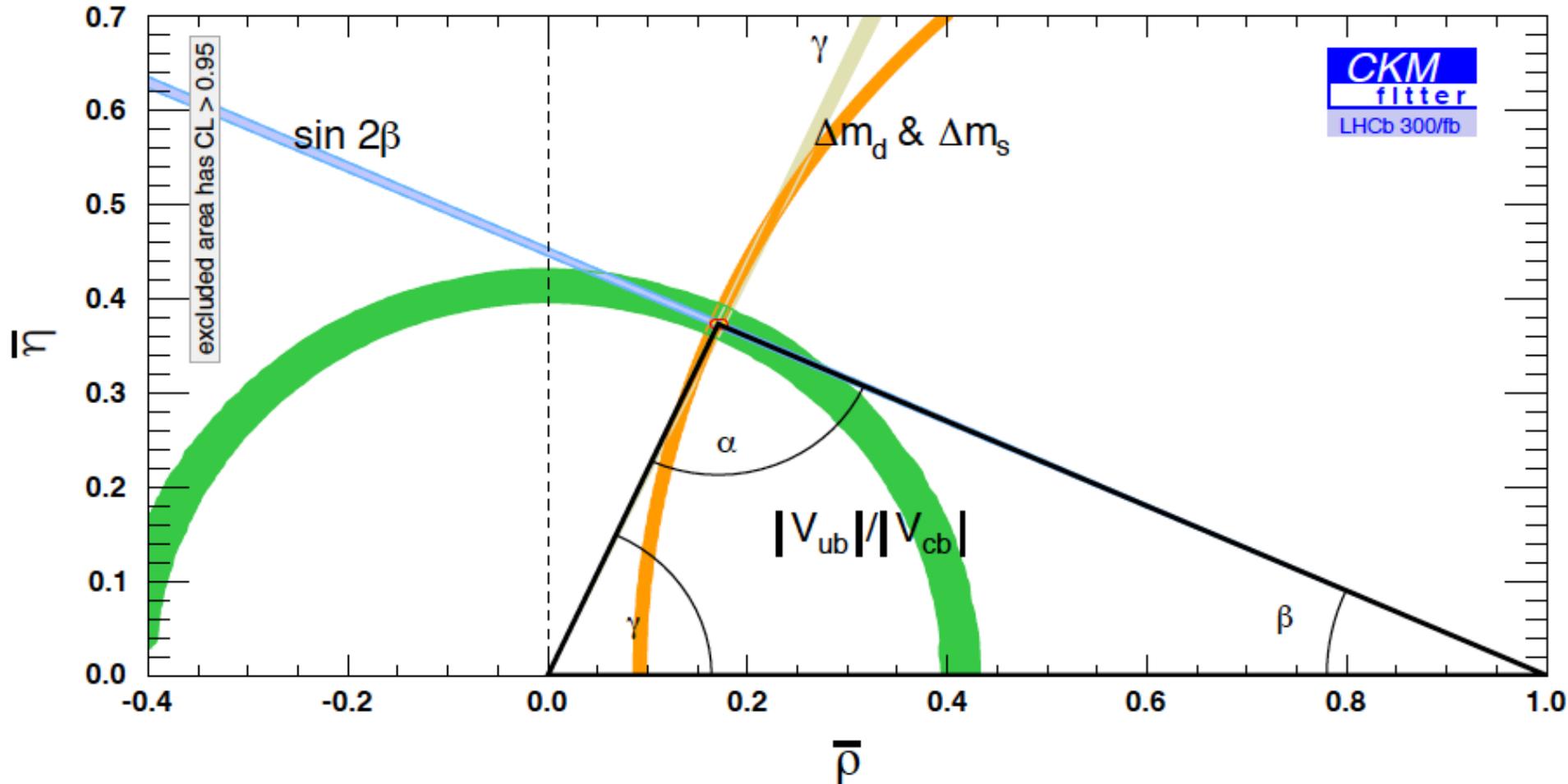
Pre-HL-LHC (LHCb only) Unitarity Triangle

Lattice QCD assumptions from theory community



Post-HL-LHC (LHCb only) Unitarity Triangle

Lattice QCD assumptions from theory community

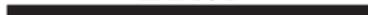
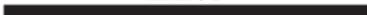
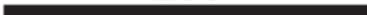


Permit tree-level observables (SM benchmarks) to be assessed against loop contributions (new physics sensitive)

Physics Case: Rare decays

- Wide range of observables in $b \rightarrow s/d \ell^+ \ell^-$
- Down to a 10% precision on

$$R \equiv \mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) / \mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$$

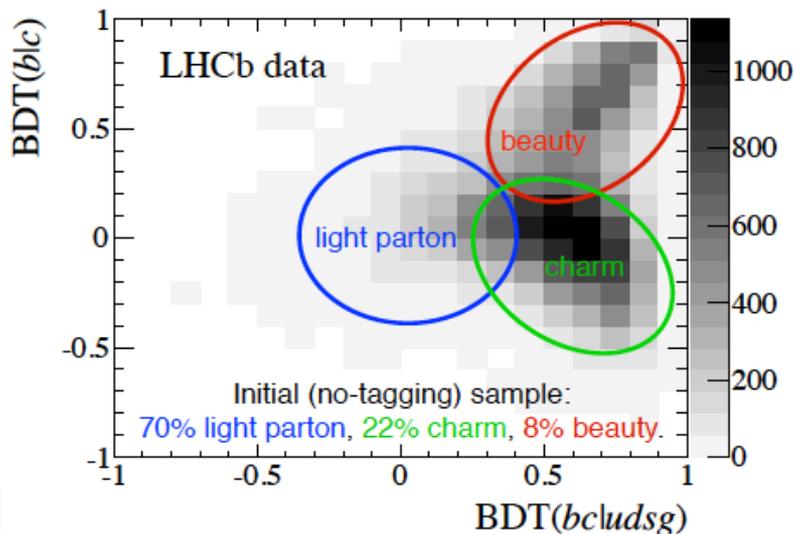
| | | | |
|--|--|---|--|
| ± 10.0  | ± 2.6  | ± 90  | LHCb Current |
| ± 3.6  ± 2.2  | ± 0.50  ± 0.72  | ± 34  | Belle II  ATLAS/CMS  LHCb  2025 |
| ± 0.70  R_K [%] | ± 0.20  $R(D^*)$ [%] | ± 21  ± 10  $\frac{\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)}{\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)}$ [%] | HL-LHC |

Physics Case: Forward GPD

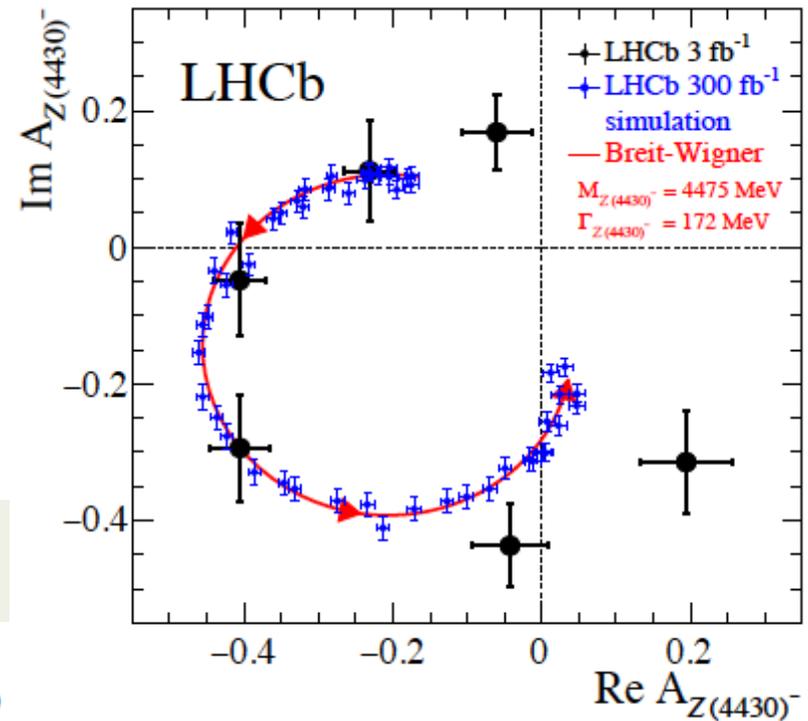
- Spectroscopy:
New discovery opportunities
- Potential best Higgs to charm
limits @ LHC



SV-tagger BDT separates b and c for the sub-leading versus leading jet for $pp \rightarrow VH(bb,cc)$, where $V=Z, W$



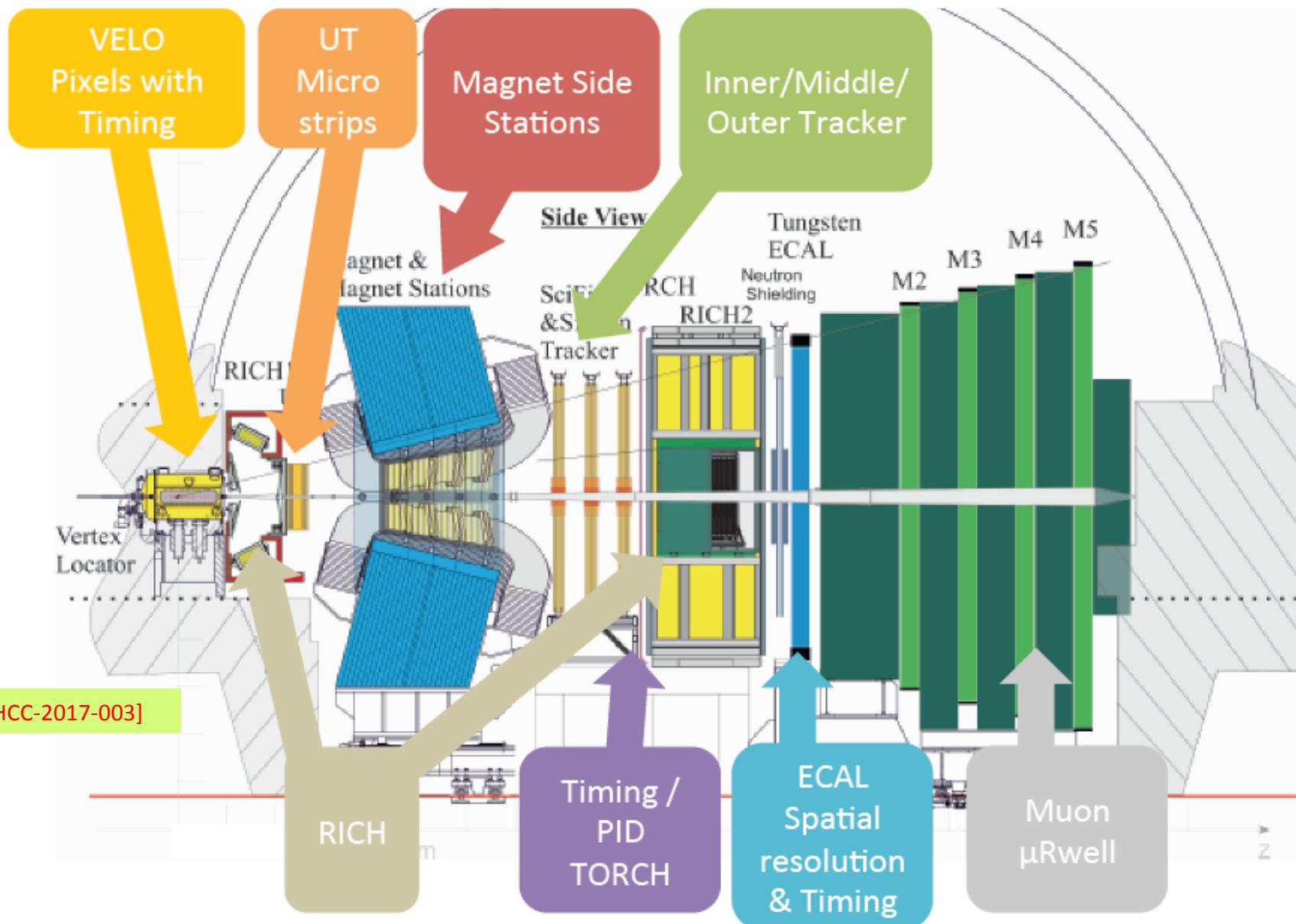
Argand plot $Z(4430)^-$ from $B \rightarrow \Psi_{(2s)} K^+ \pi^-$



[LHCb-PUB-2018-009]
arXiv:1808.08865

**... and to do that a new
detector is needed**

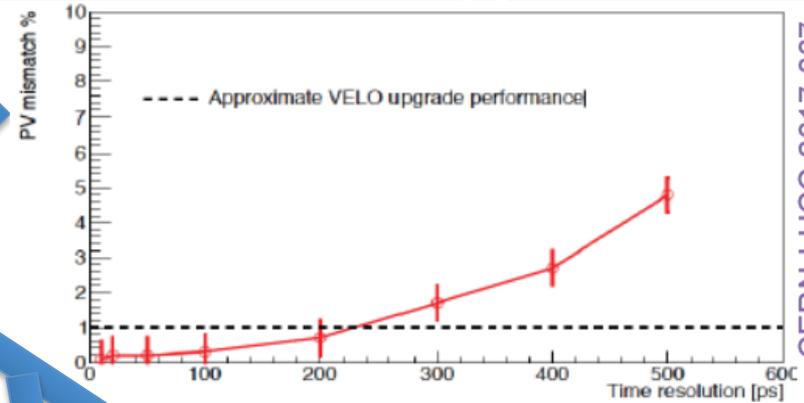
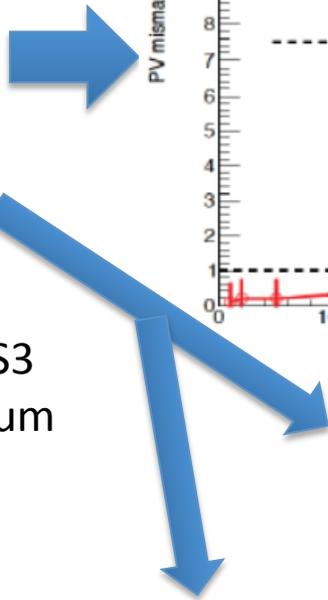
LHCb Upgrade II detector



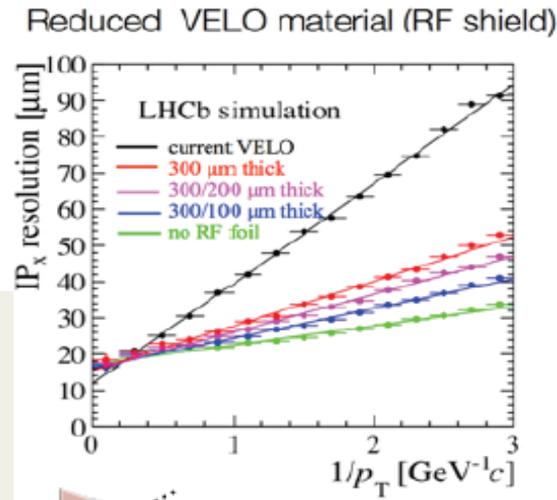
[CERN-LHCC-2017-003]

Upgrade II Vertex & Tracking

- Include timing detectors to improve PV reconstruction, decrease ghost probability
- Hybrid VELO pixel with increased radiation hardness and reduced material budget
- Magnet side stations could be added after LS3 (upgrade I consolidation) to improve momentum resolution of tracks upstream of the magnet

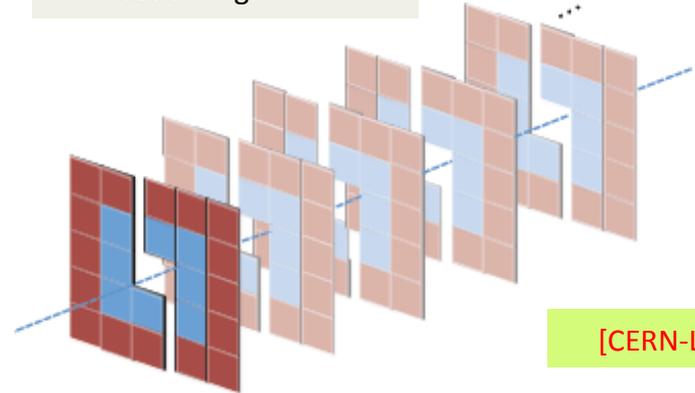
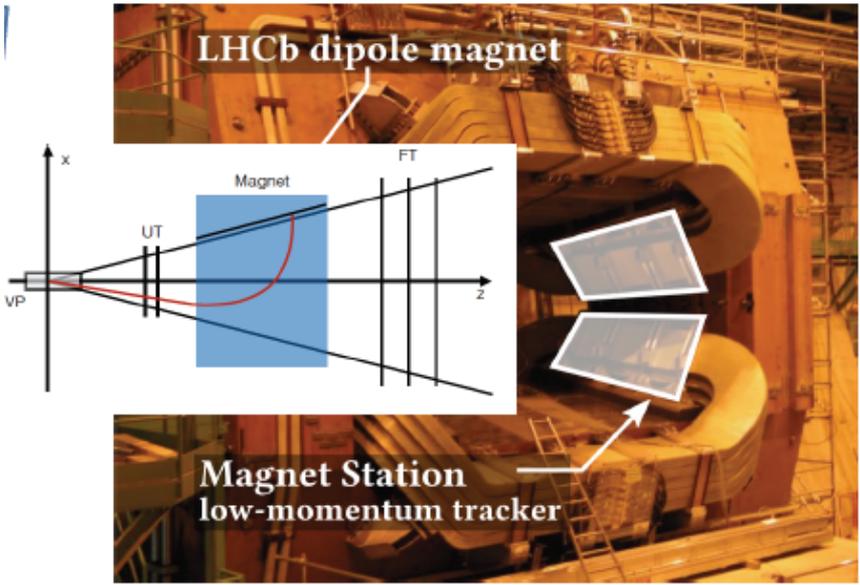


CERN-LHCC-2017-007



Vertex Detector

- **Small-r:** small pixels, radiation hard
- **Large-r:** larger pixels, fast timing



[CERN-LHCC-2017-003]

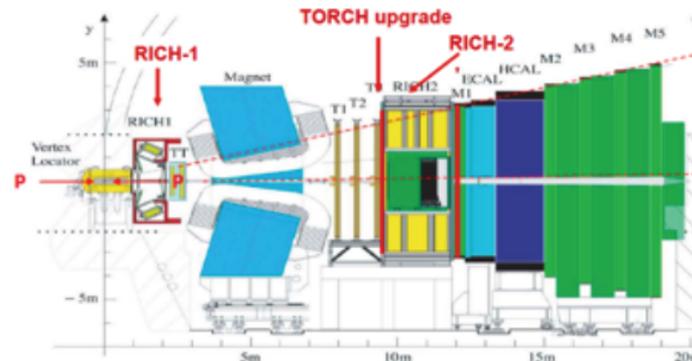
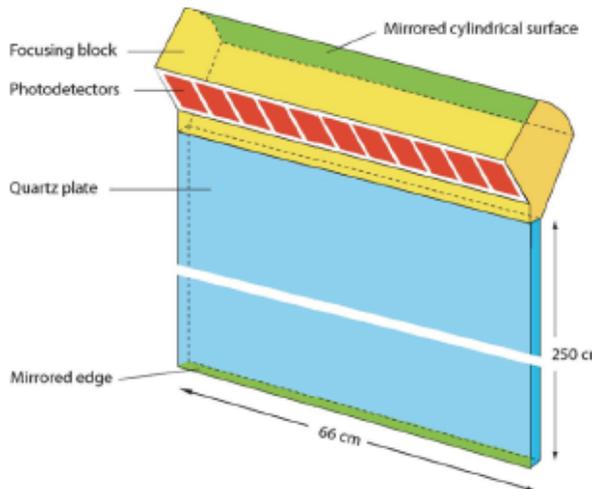
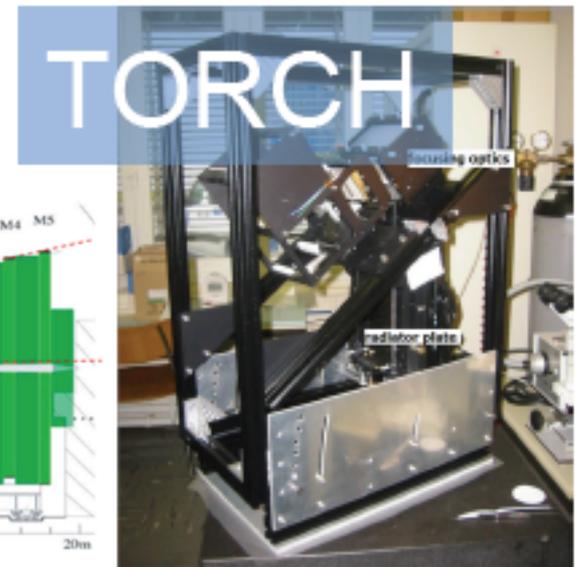
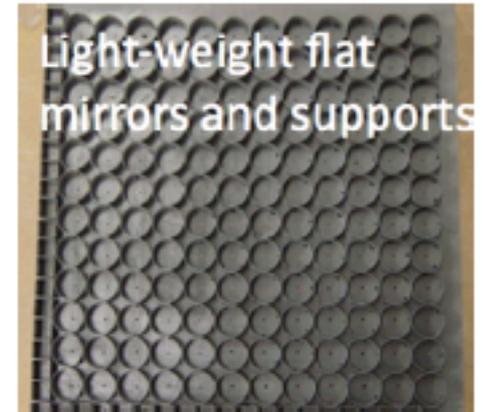
Upgrade II Particle ID

RICH detectors:

- Better coverage required at high & low momenta
- Better single photon resolution
- Improvement of optical error by using flat mirrors
- Higher granularity plus timing info

TORCH ToF detector:

- PID for low-momentum particles.
- Aim for 70ps single photon time resolution.
- Combine with VELO info for improved track matching.



[CERN-LHCC-2017-003]

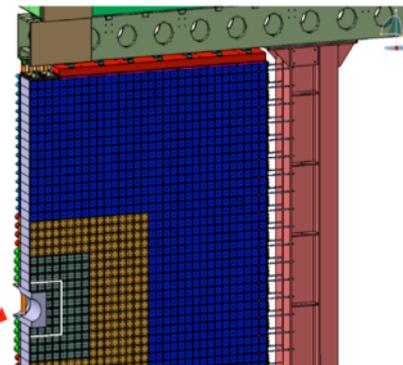
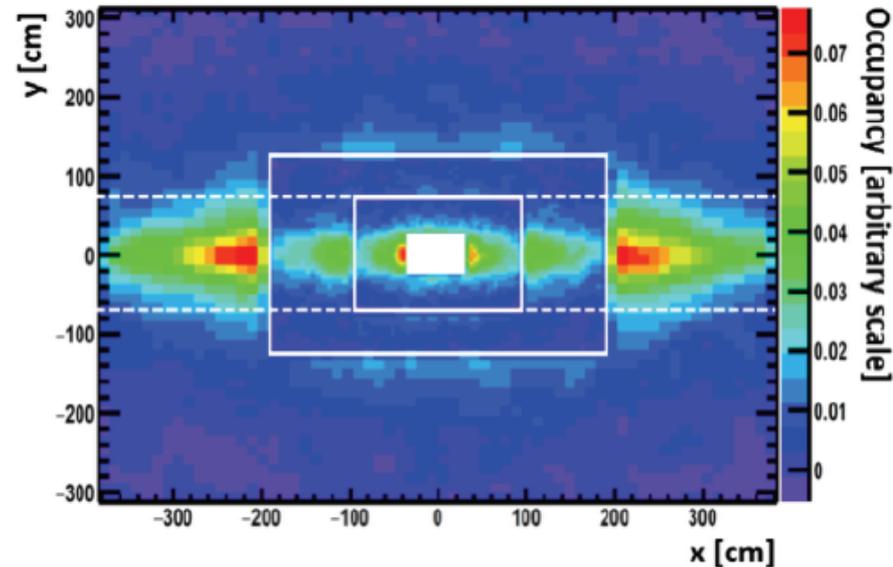
Upgrade II Calorimetry

ECAL Upgrade Ib (LS3 in 2025):

$$\frac{\delta E}{E} = \frac{S}{\sqrt{E}} \oplus C \oplus \frac{N}{E}$$

- Inner region of the ECAL most affected by radiation, pile-up
- According to the TDR “The performances should remain satisfactory up to 2.5Mrad” (C term $\sim <3\%$) \rightarrow 20/fb or \sim 2023
- Degradation in performance would then be seen in Run 4 ($2 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$)
- Innermost modules need to be replaced during LS3
- Original plan was to replace with (identical) spare modules (32 modules already available)
 - Requires “only” dismantling the columns of modules above the central region
 - Other intervention would require the dismantling of a large fraction of the calorimeter \rightarrow long task

| | S [GeV ^{1/2}] | C | N [MeV] | Material |
|-------|----------------------------|--------------|---------------------|---------------------------|
| LHCb | $\sim 10\%$ | $\sim 0.8\%$ | $\sim 10\text{-}20$ | $\sim 1X_0$ |
| ATLAS | 10-12% | $\sim 0.2\%$ | ~ 250 | $\sim 0.5\text{-}1.5 X_0$ |
| CMS | 3-6% | $\sim 0.5\%$ | 200-600 | $\sim 0.5\text{-}2.0 X_0$ |



Upgrade II Calorimetry

ECAL Upgrade Ib (LS3 in 2025, before RUN4):

ALTERNATIVE OPTION:

- Replace Innermost modules with a newer technology.
- Test for a full new ECAL in the LHCb Upgrade II
- Why: Lots of interesting physics are sometimes very demanding with the CALO syst.

$$B \rightarrow \eta / X$$

$$B^+ \rightarrow K^+ \pi^0, B^+ \rightarrow \rho^+ \rho^0$$

$$\Lambda_b \rightarrow p K \eta^{(\prime)}, B^0 \rightarrow K^* \eta^{(\prime)}$$

$$B^0, B_s \rightarrow h^+ h^- \pi^0$$

$$B^0 \rightarrow J/\psi \pi^0$$

$$B^0 \rightarrow J/\psi \omega$$

$$B^+ \rightarrow J/\psi \rho^+$$

$$B \rightarrow D^{**} (\rightarrow D^0 \pi^0 X) \mu \nu$$

$$B \rightarrow D e \nu \text{ vs. } B \rightarrow D \mu \nu$$

$$B^+ \rightarrow D (h h \pi^0) K$$

$$B_s \rightarrow D_s^* K$$

$$B^+ \rightarrow D^* K$$

$$Z \rightarrow e^+ e^-$$

$$W \rightarrow e \nu$$

$$W W, Z Z, W Z$$

$$\text{Top } (l^+ l^- b)$$

$$\gamma + \text{jet}$$

$$B_{s,1} \rightarrow B_s \gamma$$

$$\Lambda_b^{**} \rightarrow \Lambda_b \gamma$$

$$B_c^* \rightarrow B_c \gamma / \pi^0$$

$$\chi_c, \chi_b \text{ polarisation}$$

$$\text{Pentaquarks } \rightarrow \chi_{c,b} X$$

$$D^0 \rightarrow e \mu$$

$$D^+ \rightarrow \pi^+ \pi^0 (\rightarrow \gamma e^+ e^-)$$

$$D^0 \rightarrow \Phi \gamma, K^* \gamma, \rho / \omega \gamma$$

$$B_s \rightarrow \Phi \gamma$$

$$B \rightarrow K^* \gamma$$

$$B_s \rightarrow \gamma \gamma$$

$$B \rightarrow K^* e^+ e^-$$

Upgrade II Calorimetry

ECAL Upgrade Ib (LS3 in 2025, before RUN4):

ALTERNATIVE OPTION:

- Replace Innermost modules with a newer technology.
- Test for a full new ECAL in the LHCb Upgrade II
- Why: Lots of interesting physics are sometimes very demanding with the CALO syst.

Improved energy resolution

$B^- \rightarrow \rho^+ \rho^0$
 $\Lambda_b \rightarrow p K \eta$
 $B^0, B_s \rightarrow h^+ h^- \pi^0$

Improved position resolution and granularity

$D^0 \rightarrow \Phi \gamma, K^* \gamma, \rho/\omega \gamma$
 $B^+ \rightarrow \pi^+ \pi^0 K$
 $B_s \rightarrow D_s^* K$
 $B^+ \rightarrow D^* K$

Timing information to reduce combinatorics

$B_s \rightarrow \Phi \gamma$
 $B \rightarrow K^* \gamma$

Improved sensitivity at low E_T

$B \rightarrow \ell^+ \ell^- (\rightarrow D^0 \pi^0 X) \mu \nu$
 $B \rightarrow D e \nu$ vs. $B \rightarrow D \mu \nu$

Wider dynamic range

WW, ZZ, WZ
 $\gamma + \mu$

$B_{s,1} \rightarrow B_s \gamma$
 $\Lambda_b^{**} \rightarrow \Lambda_b \gamma$
 $B_c^* \rightarrow B_c \gamma / \pi^0$
 polarisation
 quarks $\rightarrow \chi_{c,b} X$

Upgrade II Calorimetry

ECAL Upgrade II :

Requirements to meet the demands:

- Improved energy & position resolution & Improved sensitivity to low ET
 - Reduce lateral and longitudinal shower size => new absorber (to get up to $25 X_0$) & reduced cell size => New optimal geometry to be studied
- Wider dynamic range: New RO electronics
- Timing information to reduce the combinatorics, specially important in a HL-LHC regime → New RO electronics but also NEW SIGNAL COLLECTION method: Fast Scintillators, Fibres, Silicon ?

**** Synergies with other CERN R&D projects **UltraFast** (ASIC development for ultrafast RO electronics**

POSSIBLE SOLUTIONS:

- 1) Sampling calorimeter
- 2) Homogeneous calorimeter made of heavy inorganic scintillator, e.g., LYSO, PWO;
- 3) Homogeneous Cerenkov calorimeter based on KRS-6
- 4) Sampling W-Si calorimeter (would need to address detector cooling? Cost?)

Upgrade II Calorimetry

POSSIBLE SOLUTIONS:

[CERN-LHCC-2017-003]

1) Sampling calorimeter:

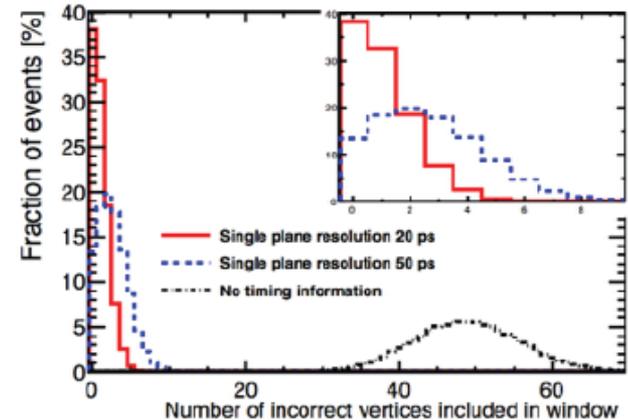
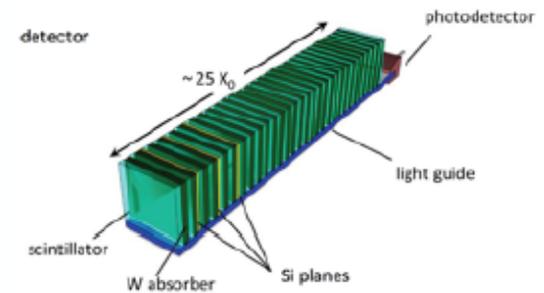
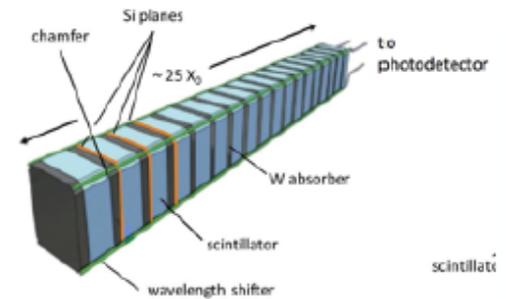
- Tungsten-based absorber
- CMS-inspired designs:
 - WLS in chamfers along side of module (tested with CeF₃ as a scintillator)
 - Cerium-doped LYSO, quartz - radiation-hard alternatives to WLS fibres
 - Compact LYSO/W shashlik
- As an alternative, could use clear light-guides . Potentially better light yield and radiation hardness

2) Homogeneous calorimeter made of heavy inorganic scintillator, e.g., LYSO, PWO, GAGG;

3) Homogeneous Cerenkov calorimeter based on KRS-6 (solid Thallium Bromo-Chloride) or NBW (NaBi(WO₄)₂) crystals (fast signal);

4) Sampling W-Si calorimeter

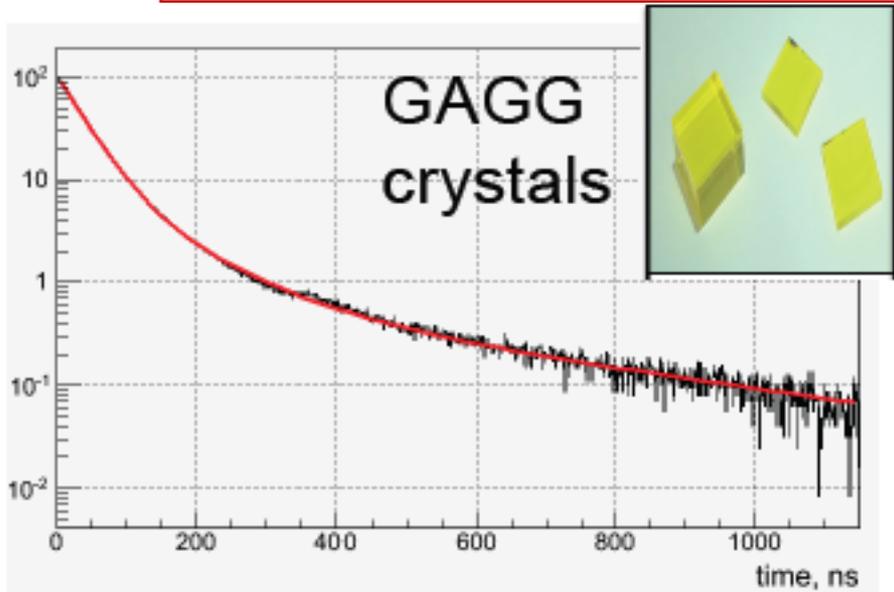
- Time information impact seen in simulated environment with 50 int. per BX w/ three layers of Si planes with spatial resolutions of 20ps or 50ps.



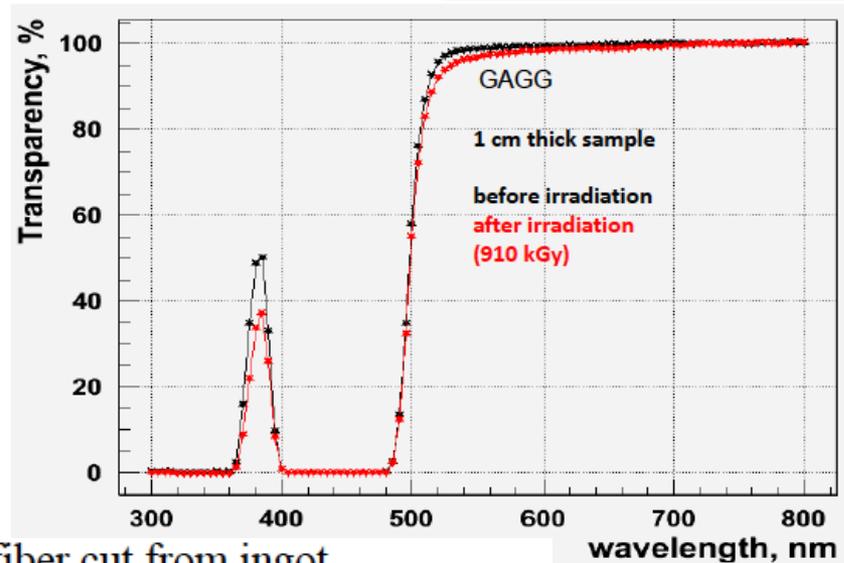
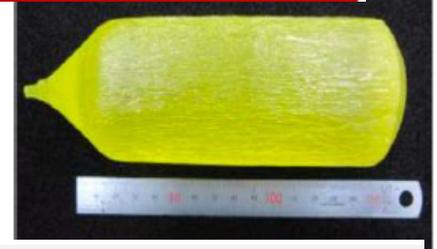
Upgrade Calo II: Homogeneous Calorimeter

Generic R&D on radiation hard garnet type crystals:

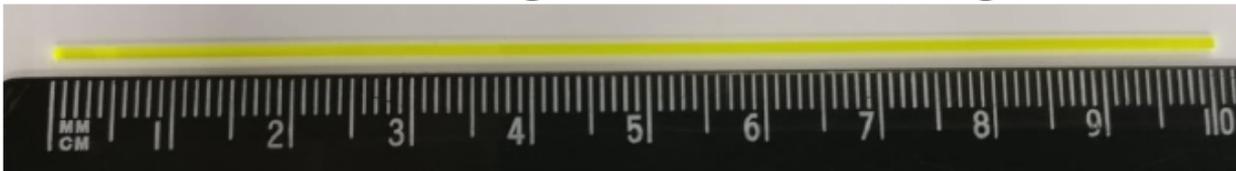
→ GAGG crystals resist to radiation of $\sim 1\text{MGy}$ (100 Mrad)



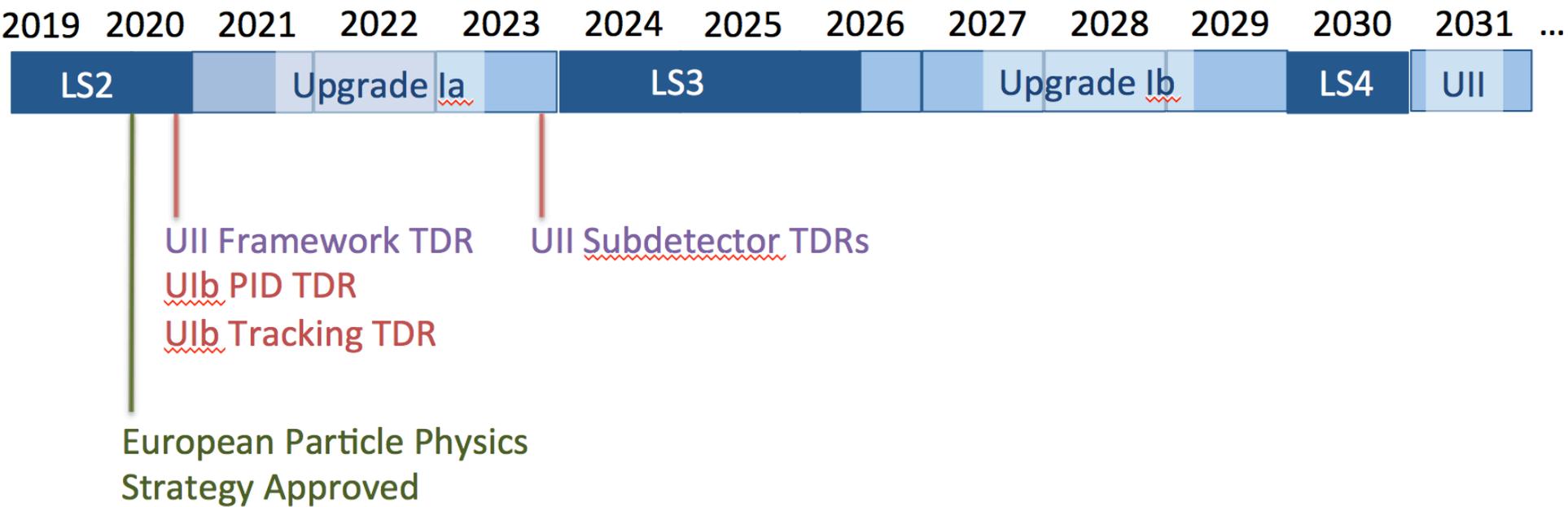
Samples of GAGG irradiated at CERN



1mm x 1mm, 10cm long GAGG fiber cut from ingot

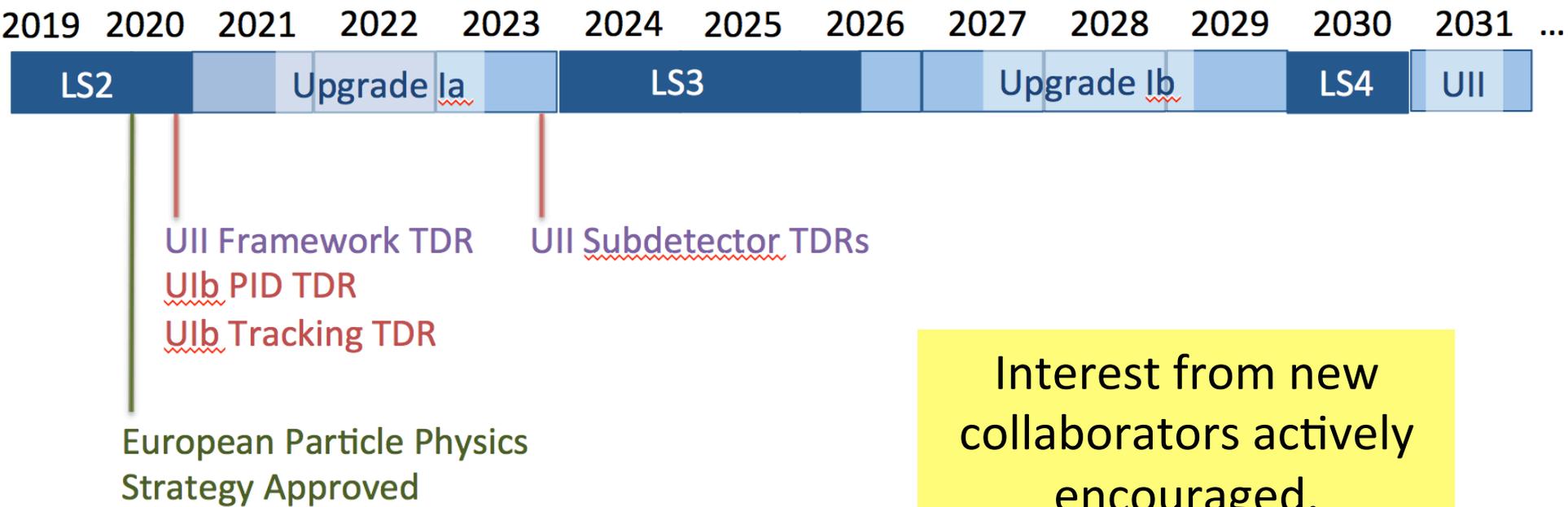


Detector R&D effort



- R&D activities on all sub-detectors
- Planning group set to put milestones & review progress
- Meetings started with national representatives to explore the funding steps

Detector R&D effort



- R&D active on sub-detectors
- Planning group set milestones & review progress
- Met with national representatives on funding steps
- The LHCC recently approved LHCb to proceed to a TDR on LHCb Upgrade II.

Detector (Global) R&D effort

Interest from new collaborators
actively encouraged.

- LHCb has amended its constitution to introduce the Technical Associate membership:

“An Institute can be given the status of Technical Associated Member if it wishes to bring in technical, financial or human resources to a particular detector or computing related project. Technical Associate Members are not eligible to have LHCb authorship, nor have access to the LHCb data and internal physics results. Access to software for detector or software development is granted to Technical Associated Members.

A Technical Associated Member must be hosted by an Institute of the LHCb Collaboration, called the Host Institute. The Host Institute will propose the Technical Associated Member candidature to the Collaboration Board.

The Host Institute must take the responsibility to ensure the long-term operation and maintenance of any hardware, or software, which the Technical Associated Member would produce and which would become part of the experiment. Otherwise, the Technical Associates must apply for full membership.

The Technical Associated Members will not have a CB member or a vote in the CB, but will be represented in the CB through the Host Institute.”

Detector (Global) R&D effort

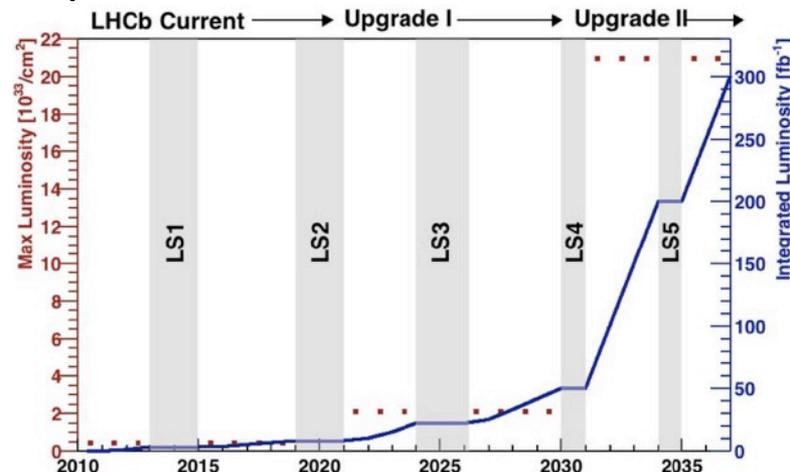
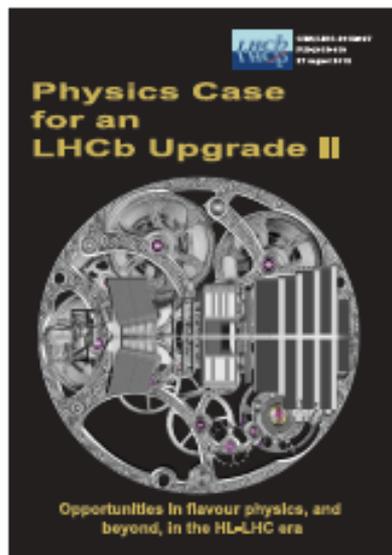
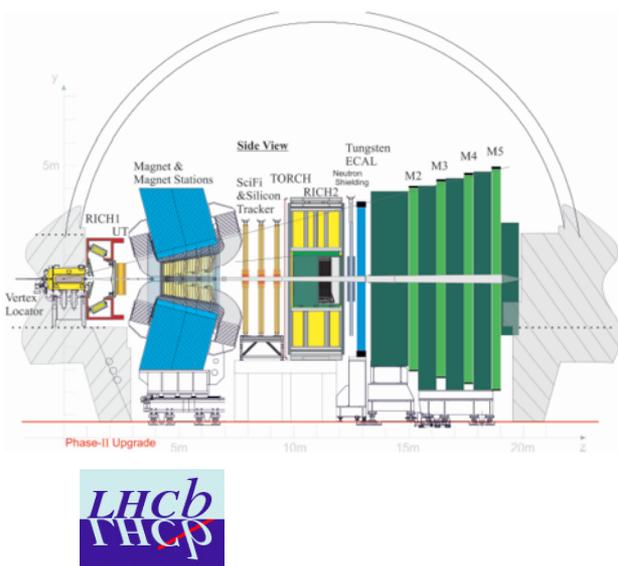
Enlarge beyond the current collaboration the interested number of institutions

- Technical Associate membership is defined in a way that avoid any conflict of interest with other current ATLAS/CMS/Belle-II memberships.
- Resource optimization suggests that the LHCb Upgrade II might benefit greatly of a global effort on instrumentation R&D and on its ulterior physics data exploitation (from 2030 onwards); by the experimental flavour physics community as a whole.
- Interested parties are encouraged to contact any of the LHCb institutions to explore (in contact with the LHCb management) the possibility to become a Technical Associate Members
- Next LHCb Upgrade II open workshop on April 8th-10th, 2019 in Amsterdam is a great opportunity to explore how to get involved.

Summary & Conclusions

Physics Case Key messages

- Several **theoretically clean** observables whose statistical error can be drastically reduced (γ , $R_K(^*)$, $B_s \rightarrow \mu\mu \dots$)
- New Physics scale probed will be highly increased compared with pre-HL-LHC
- **Widen the set of observables** under study to search and characterise new physics ($b \rightarrow sll$, $b \rightarrow cl\nu \dots$)
- Strong programme **beyond flavour** exploiting unique acceptance (spectroscopy, electroweak, dark sector)



Instrumentation Key messages

- The LHCb detector after 2030 should have the following features:
 - Radiation Hardness
 - Increase granularity to cope with increased multiplicity
 - Timing capabilities to cope with a pile-up of 25-50 hard (inelastic) interactions per BX
- A new procedure has been put in place for those groups, beyond the current LHCb, interested into the instrumentation R&D for a flavour experiment after 2030

END. Thanks!

Credits

Some of the material shown in the slides (figures, structures, etc...) have been taken from other talks from LHCb collaborators, mainly Chris Parkes, Preema Pais, José Mazorras, Xavier Vilasís, among others. I want to thank them all.

Back-up

HL-LHC machine consideration

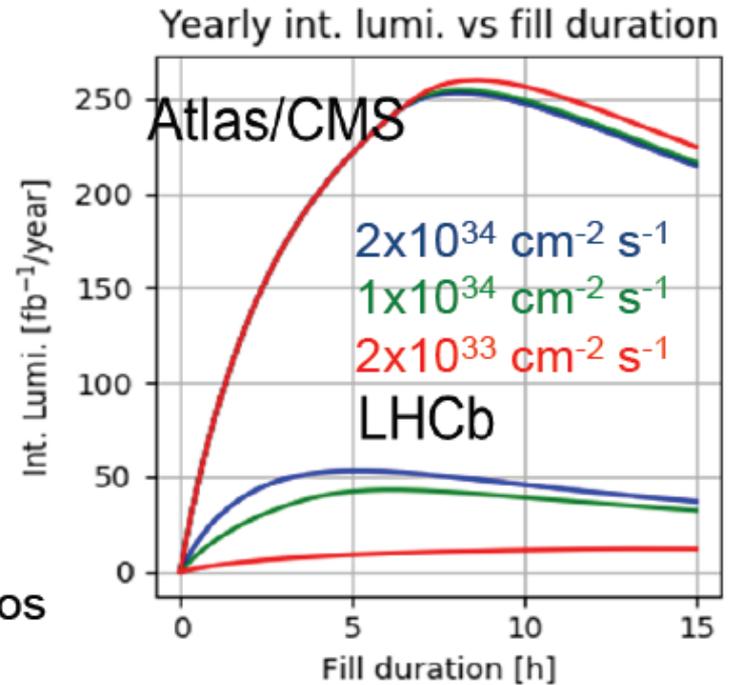
- “LHCb Upgrades and operation at $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ luminosity –A first study”:

“identified a range of potential solutions...permitting the collection of 300 fb^{-1} or more”

Accelerator elements for modification:
Absorbers, Collimators, Cryogenics, Shielding...

Examples of HL-LHC LHCb operational scenarios

| L /yr LHCb | 62 | 46 | 51 | [fb^{-1}] |
|-------------------|-----|-----|-------|----------------------|
| L /yr ATLAS/CMS | 255 | 257 | 256 | |
| Beta* | 1.5 | 1.5 | 1.5 | [m] |
| Crossing Plane | H | H | V | Horizontal/Vertical |
| Magnet Polarity | - | + | \pm | |



- 2-3% effect on L at ATLAS-CMS

- Field reversal used for CPV systematics



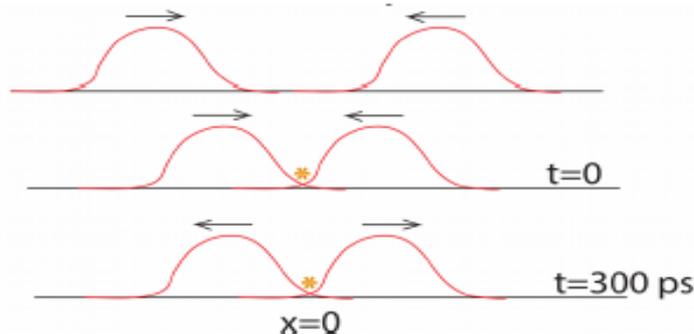
Upgrade II Calorimetry

ECAL Upgrade II :

TIMING is a new player in the game.

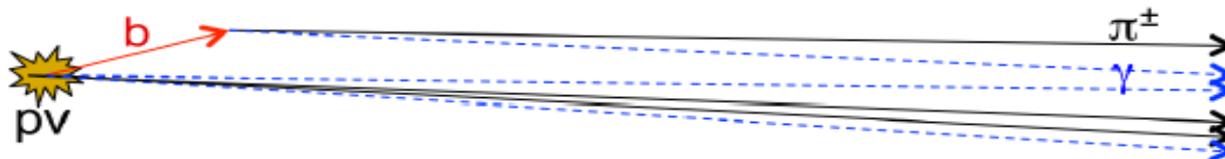
Why it is important at HL-LHC:

- To reduce shower overlap, one possibility consists in increasing the granularity (should also reduce the Moliere radius...)
- Background and combinatorics reductions can be achieved by using a new dimension → time
 - Ex:
 - 300 ps for beams to cross each other
 - Assuming 10 int/xing → 20 ps between collision (gauss. Distr.)



● Strategy :

- 1) Sort the charged particles to the PV (timing info)
- 2) Associate the photons to the charged tracks (highly relativistic)



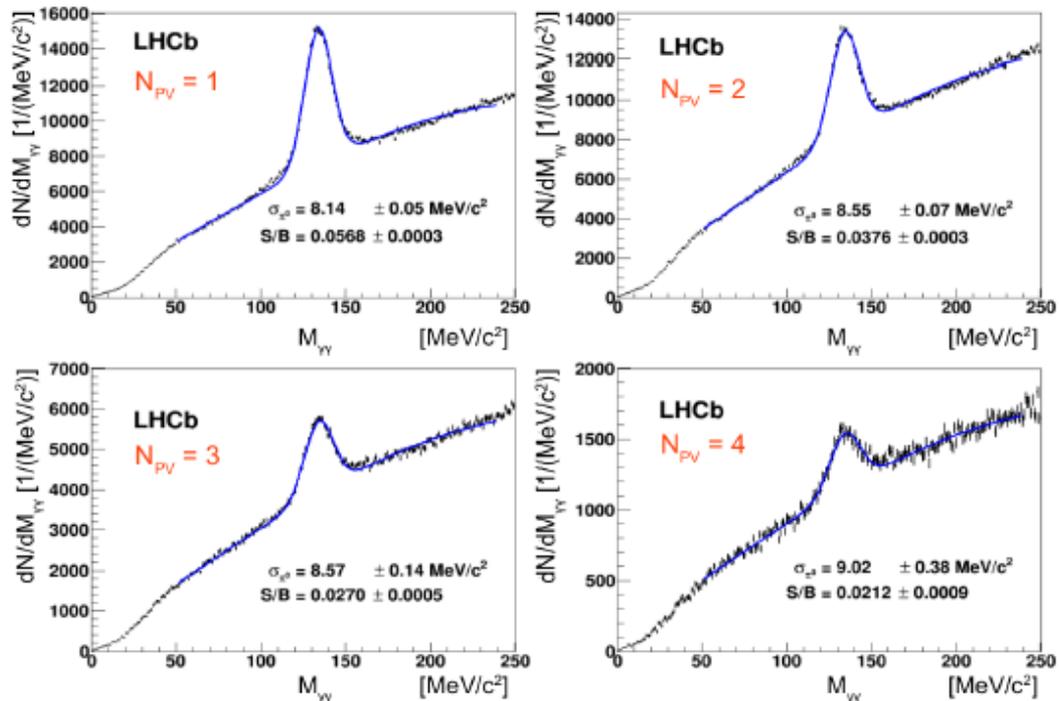
Upgrade II Calorimetry

ECAL Upgrade II :

TIMING is a new player in the game.

Why it is important at HL-LHC: If we can't disentangle different PVs (remember we assume 50 interactions per BX)

- The effect of the number of primary vertices is already obvious in the present reconstruction
 - Run 2 minimum bias data split wrt the number of PV
 - The selection applied is $p_T(\gamma) > 300 \text{ MeV}/c$ and $p_T(\pi^0) > 550 \text{ MeV}/c$



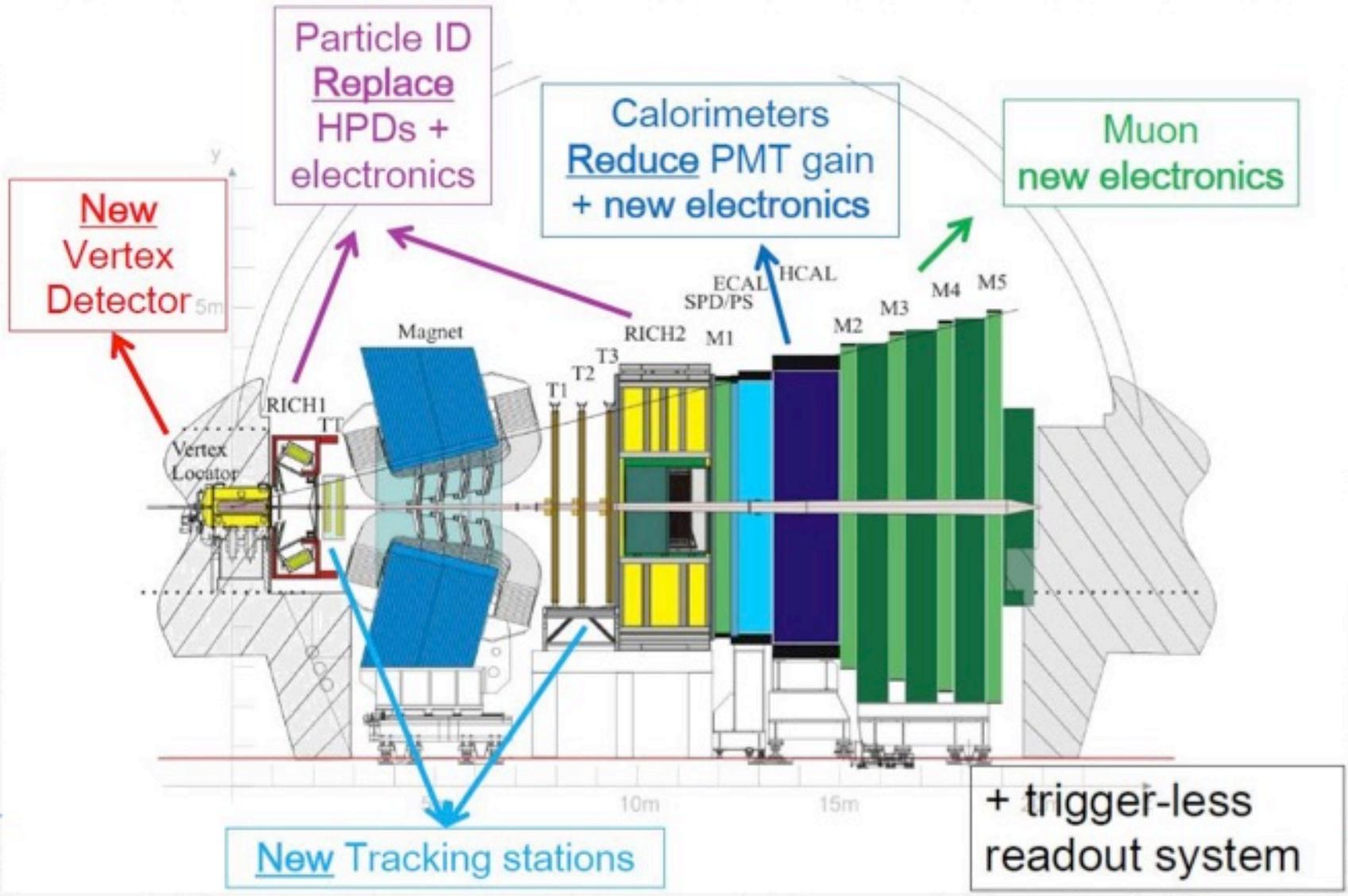
Upgrade II Calo: Cerenkov Calorimeter

- Ongoing radiation hardness studies and light yield measurements
- Why is this option interesting:
 - Cerenkov radiation is a prompt effect, and therefore very fast
 - Possible synergies with Ultra Fast medical imaging
- Caveats:
 - Is there enough light yield?
 - The materials are Rad-Hard, for the ECAL inner-most region?

Table 1. Properties of KRS, NBW and PWO crystals.

| Crystal | KRS-15 | NBW | PWO |
|-------------------------------------|------------------------|----------------|---------------|
| Chemical composition | $TlCl(78\%)TlBr(22\%)$ | $NaBi(WO_4)_2$ | $PbWO_4$ |
| Light emission | cherenkov | cherenkov | scintillation |
| Density $\rho(g/cm^3)$ | 7.65 | 7.57 | 8.3 |
| Refractive index | 2.2 | 2.15 | 2.2 |
| Radiation length $X_0(mm)$ | 9.2 | 10.3 | 9.0 |
| Moliere radius $R_m(mm)$ | 20.8 | 23.8 | 20.0 |
| Melting temperature ($^{\circ}C$) | 420 | 920 | 1123 |
| Transmission edge (nm) \geq | 410 | 380 | 350 |
| Emission peak (nm) | - | - | 480 |
| Critical energy $E_c(MeV)$ | 8.95 | 9.75 | - |
| Decay time $\tau(ns)$ | - | - | 2.1, 7.5, 26 |

Inminent LHCb Upgrade



Detector R&D effort

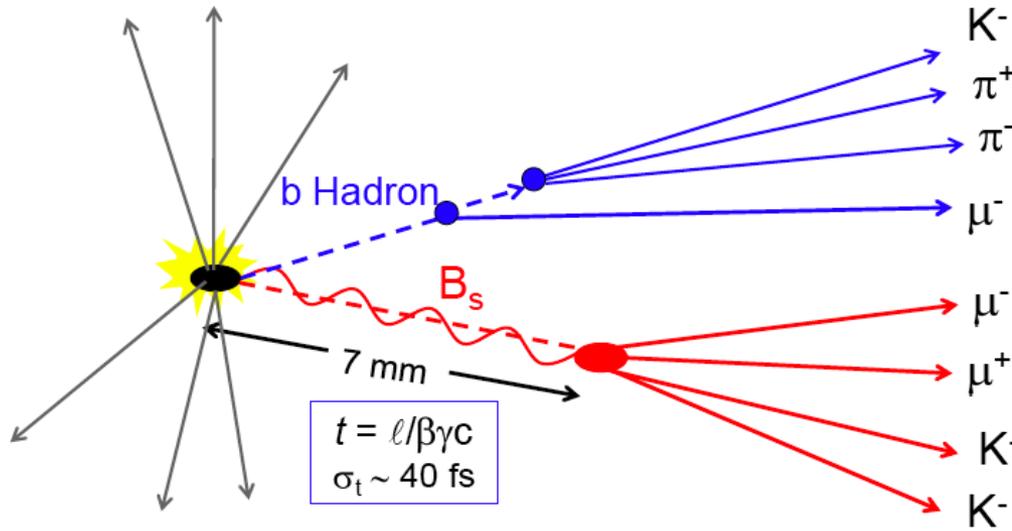
Enlarge beyond the current collaboration the interested number of institutions

- The amendment to the LHCb also addresses possible conflict of interest with other experiment membership:

“A Member or Associate Member of the LHCb Collaboration is not allowed to be a concurrent member of the Belle-II Collaboration unless they have been admitted as part of an institutes Technical Associate Membership or were a member of both collaborations prior to July 1st 2017. Any requests for joint collaboration on physics topics that would substantially benefit from the combination of data from both experiments need to be approved prior to commencement by the LHCb Spokesperson and Physics Coordinator.

This amendment to the LHCb Constitution will be reconsidered at the end of Belle-II data-taking.”

Beauty physics requirements @ LHC



At L0 trigger level (7 TeV)
min.bias : cc : bb
250 : 20 : 1

- VELO
- Tracking
- RICH
- CALO
- Muon
- L0 x HLT

Excellent vertex resolution: to resolve fast B_s oscillation.

Background reduction: Very good mass resolution
Good particle identification (K/ π)

High statistics: Efficient trigger for hadronic and leptonic states

| | |
|------------------------|--|
| B decays with $\mu\mu$ | $\epsilon_{(L0 \times HLT)} \sim 70-90 \%$ |
| B decays with hadrons | $\epsilon_{(L0 \times HLT)} \sim 20-50 \%$ |
| Charm decays : | $\epsilon_{(L0 \times HLT)} \sim 10-20 \%$ |

(trigger efficiencies for off-line selected events)

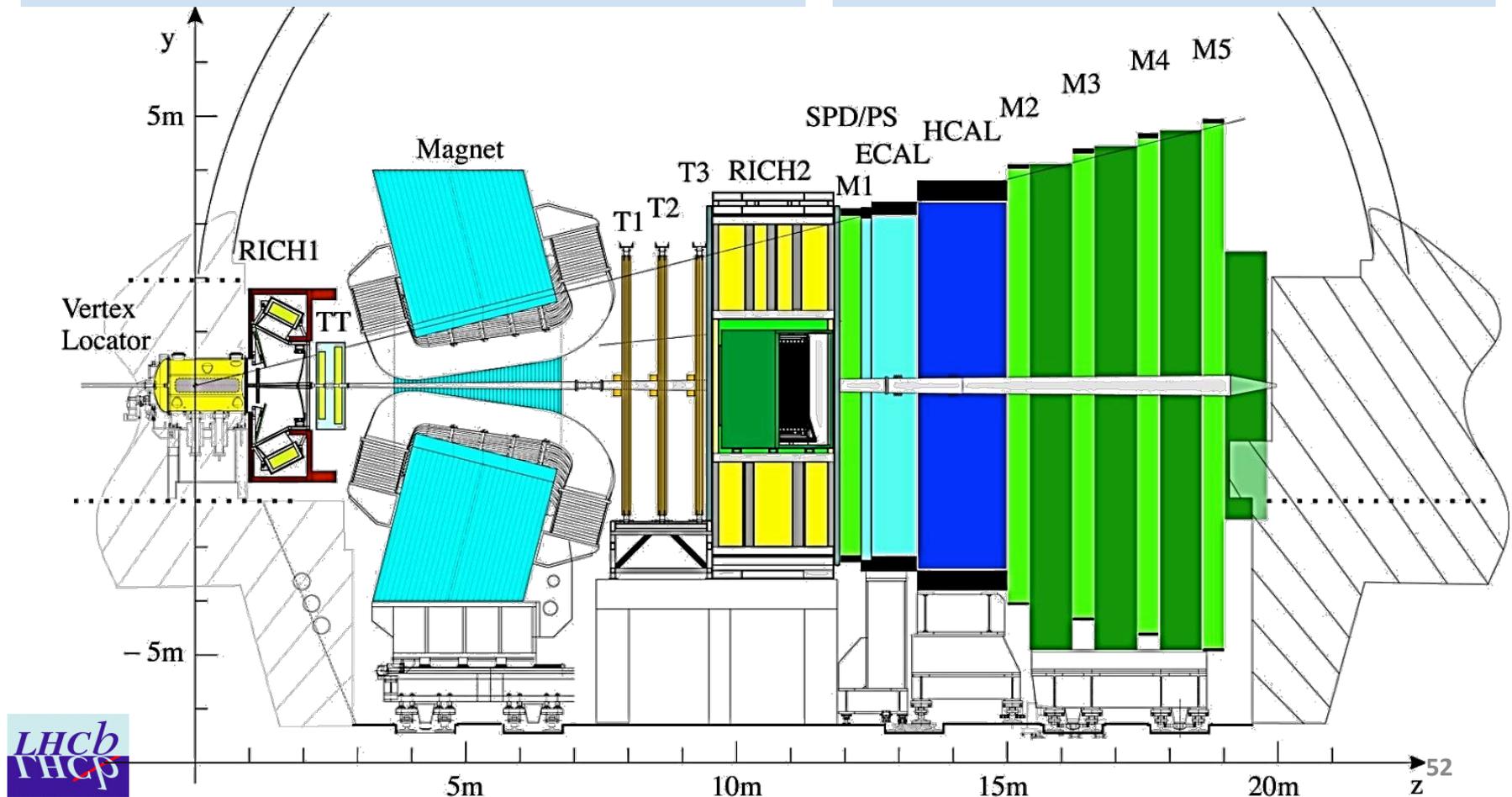
Flavor tagging plays a key role

$\sigma_{cc} \sim 6 \text{ mb}$ ($\sim 1.7 \text{ mb}$ in LHCb acceptance) :
LHC is a charm factory !



Pre-Upgrade LHCb detector

- **VELO**: 21 (R+ ϕ) Si station
- **RICH 1 & 2**: C₄F₁₀ + Aerogel / CF₄
 π/K separation $2 < p < 100$ GeV
- **TRACKING**: Si+Straw tubes + 4 Tm
 $\delta p/p = 0.4 - 0.6\%$
- **CALO**: SPD/PS, ECAL, HCAL
(Lead, Iron, Lead-Scintillator)
- **MUON**: MWPC + GEM
 π/μ separation



Imminent LHCb Upgrade

Excellent results from Run-I and (partial) Run-II physics data analysis. Latest results:

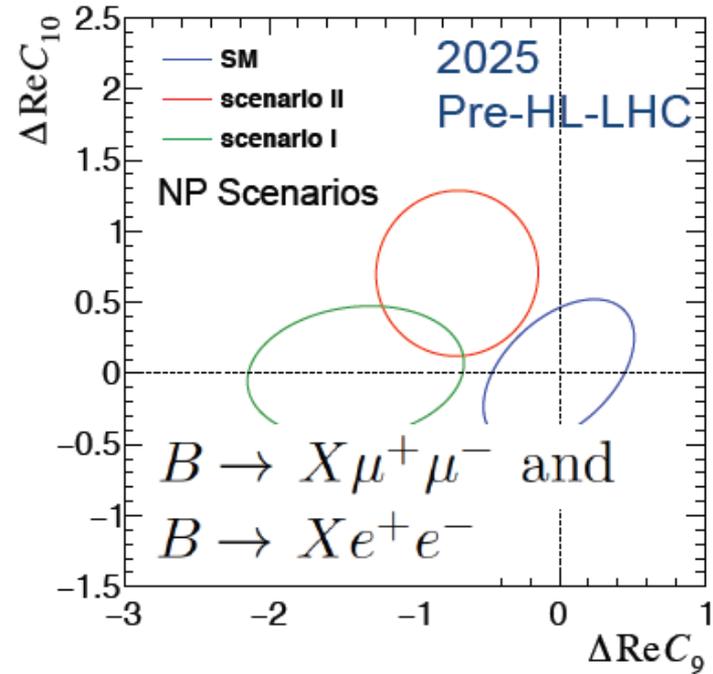
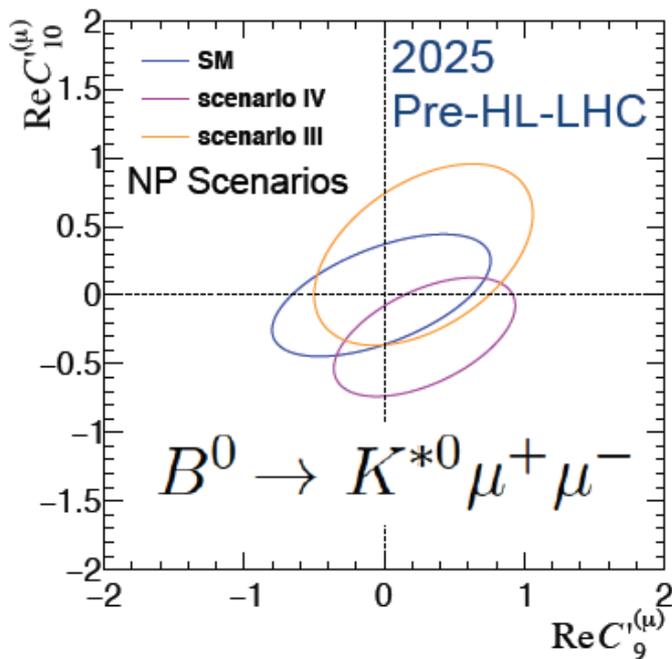
- Observation of $\Xi_{cc}^{++} \rightarrow \Xi_c^+ \pi^+$ (LHCb-PAPER-2018-026)
- Ω_c^0 lifetime (LHCb-PAPER-2018-028)
- Observation of 2 resonances in the $\Lambda_b^0 \pi^\pm$ systems (LHCb-PAPER-2018-032)
- Evidence of a $\eta_{c(1S)} \pi^-$ resonance in $B^0 \rightarrow \eta_{c(1S)} K^+ \pi^-$ decays (LHCb-PAPER-2018-034)
- Anti-proton production in pHe collisions at $\sqrt{s_{NN}} = 110$ GeV (LHCb-PAPER-2018-031)
- Angular momenta of the decay $\Lambda_b^0 \rightarrow \Lambda \mu^+ \mu^-$ (LHCb-PAPER-2018-029)
- Observation of $B_s^0 \rightarrow D^{*0} \phi$ and search for $B^0 \rightarrow D^0 \phi$ decays (LHCb-PAPER-2018-15)

Physics Case: Rare decays

- Wide range of $b \rightarrow s|l^+l^-/d|l^+l^-$ observables
- 10 % precision on

$$R \equiv \mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) / \mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$$

| | | | |
|------------------------|--------------------------|---|---------------------------------------|
| ± 10.0 | ± 2.6 | ± 90 | LHCb Current |
| ± 3.6 ± 2.2 | ± 0.50 ± 0.72 | ± 34 | Belle II ATLAS/CMS LHCb 2025 |
| ± 0.70 | ± 0.20 | ± 21 ± 10 | HL-LHC |
| R_K [%] | $R(D^*)$ [%] | $\frac{\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)}{\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)}$ [%] | |

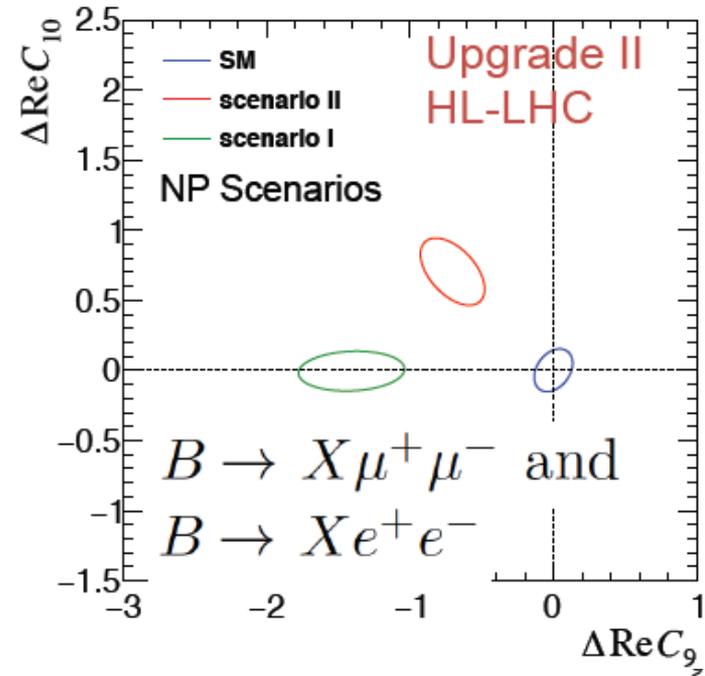
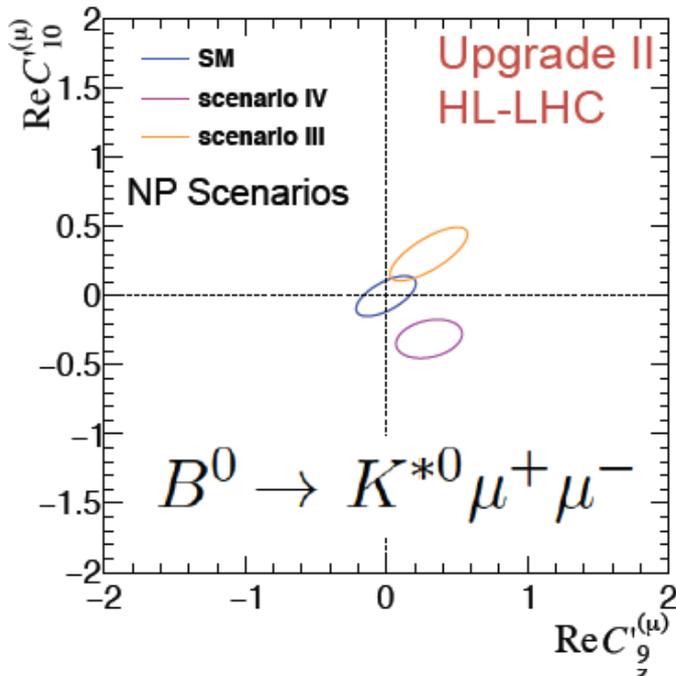


Physics Case: Rare decays

- Wide range of $b \rightarrow s|l^+l^-/d|l^+l^-$ observables
- 10 % precision on

$$R \equiv \mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) / \mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$$

| | | | |
|------------|--------------|---|---------------------------|
| ± 10.0 | ± 2.6 | ± 90 | LHCb Current |
| ± 3.6 | ± 0.50 | | Belle II |
| ± 2.2 | ± 0.72 | ± 34 | ATLAS/CMS LHCb 2025 |
| ± 0.70 | ± 0.20 | ± 21 | |
| R_K [%] | $R(D^*)$ [%] | $\frac{\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)}{\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)}$ [%] | HL-LHC |



$B^0 \rightarrow K^* \mu^+ \mu^-$

- Flavour changing neutral current \rightarrow loop
- Allows to test Lorentz-structure:

$$H_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i \left[\underbrace{C_i(\mu) O_i(\mu)}_{\text{left-handed part}} + \underbrace{C'_i(\mu) O'_i(\mu)}_{\text{right-handed part suppressed in SM}} \right]$$

| | |
|-----------|------------------------|
| $i=1,2$ | Tree |
| $i=3-6,8$ | Gluon penguin |
| $i=7$ | Photon penguin |
| $i=9,10$ | Electroweak penguin |
| $i=S$ | Higgs (scalar) penguin |
| $i=P$ | Pseudoscalar penguin |

- Angular analysis of $B^0 \rightarrow K^* \mu^+ \mu^-$
 - $K^* \rightarrow K\pi$ self tagging \rightarrow allows to probe helicity structure
 - Highly sensitive to $C_7^{(\prime)}$, $C_9^{(\prime)}$, $C_{10}^{(\prime)}$
- Can measure a variety of angular observables which have small hadronic uncertainties
 - A_{FB} , the forward-backward asymmetry and its zero crossing point
 - F_L , the fraction of K^{*0} longitudinal polarization
 - $S_3 \sim A_T^2 (1-F_L)$, the asymmetry in K^{*0} transverse polarization

$B^0/B_s \rightarrow \mu^+\mu^-$

- Selection**

- Soft selection to reduce size of dataset, similar to control channels unchanged to previous analyses

- Normalization**

- Convert number of observed events in branching fraction by normalizing to $B^\pm \rightarrow J/\psi K^\pm$ and $B \rightarrow K^+\pi^-$

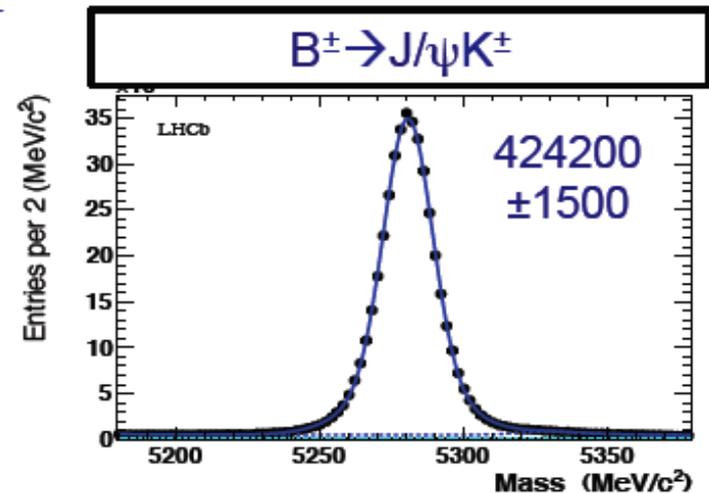
$$BR = BR_{cal} \cdot \frac{\epsilon_{cal}^{Rec} \cdot \epsilon_{cal}^{Sel}}{\epsilon_{B_s}^{Rec} \cdot \epsilon_{B_s}^{Sel}} \cdot \frac{\epsilon_{cal}^{Trig}}{\epsilon_{B_s}^{Trig}} \cdot \frac{f_{cal}}{f_{B_s}} \cdot \frac{N_{B \rightarrow \mu\mu}}{N_{cal}} = \alpha \cdot N_{B \rightarrow \mu\mu}$$

from MC data checked
 from data
 fraction $b \rightarrow B_s$ (updated, next slide)

Normalization factors

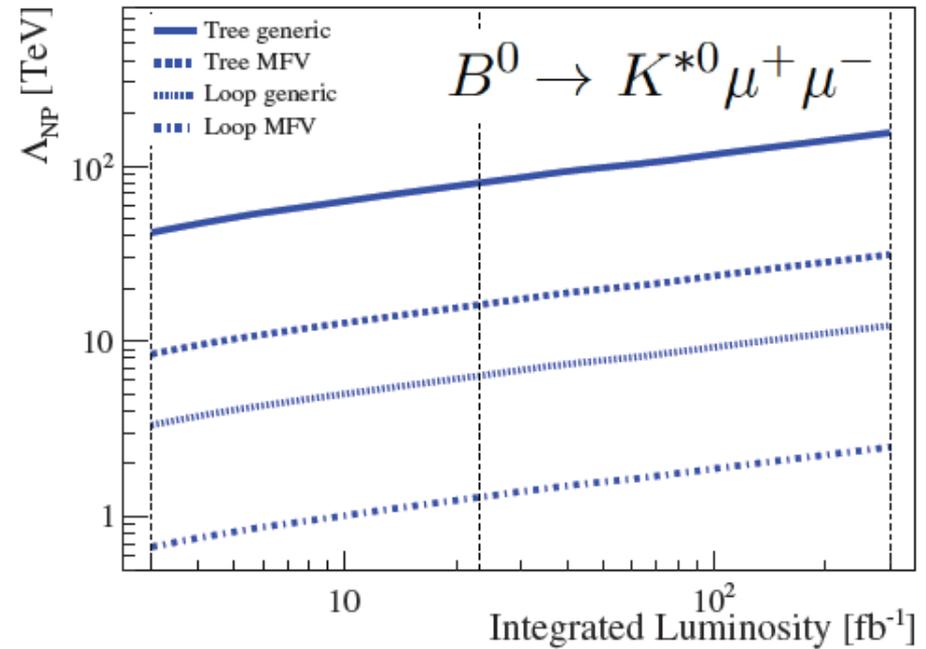
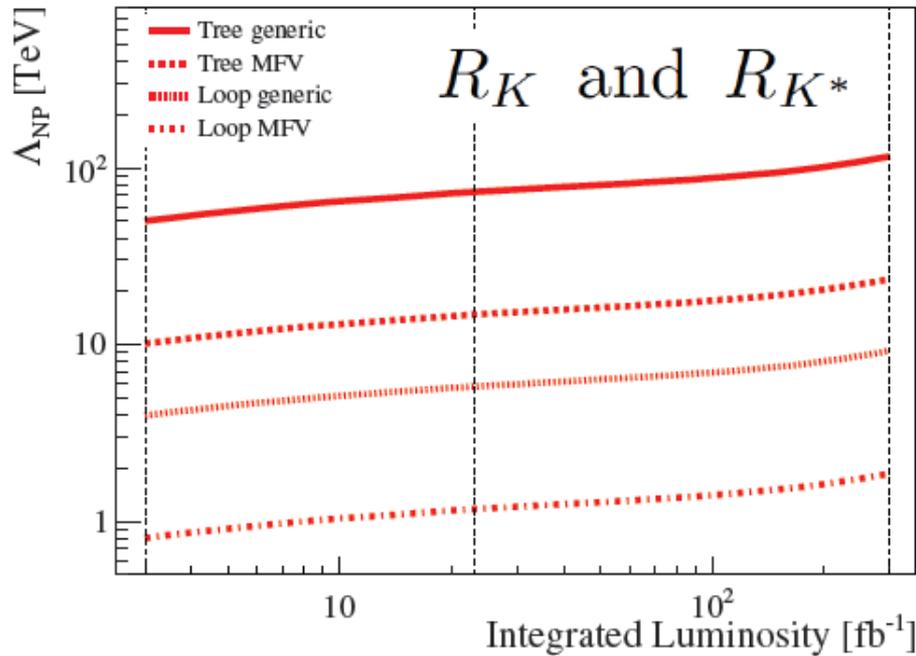
$$\alpha(B_s \rightarrow \mu^+\mu^-) = (2.52 \pm 0.23) \times 10^{-10}$$

$$\alpha(B^0 \rightarrow \mu^+\mu^-) = (6.45 \pm 0.30) \times 10^{-11}$$



Slightly lower than in 2011 measurement due to higher \mathcal{L} and x-section

Physics Case: Wilson Coeff. & NP scale



- Effective field theory approach
- Generic New Physics scale probed exceeds 100 TeV

Summary

$$R_K (1 < q^2 < 6 \text{ GeV}^2 c^4)$$

EW Penguins

Current

0.1

| | | | | |
|---|--------------------------------|-------|-------|-------|
| γ , all modes | $(^{+3.0}_{-5.8})^\circ$ [167] | 1.5° | 1.5° | 0.35° |
| $\sin 2\beta$, with $B^0 \rightarrow J/\psi K_s^0$ | 0.04 [606] | 0.011 | 0.005 | 0.003 |

LHCb 2025

0.025

| | | | | |
|---|-----------|-----|---|---------------|
| $B_s^0, B^0 \rightarrow \mu^+ \mu^-$ | | | | |
| $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) / \mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$ | 90% [264] | 34% | – | 10% 21% [609] |

Belle II

0.036

Charm

| | | | | |
|------------------------------|----------------------------|----------------------|----------------------|----------------------|
| $\Delta A_{CP}(KK - \pi\pi)$ | 8.5×10^{-4} [610] | 1.7×10^{-4} | 5.4×10^{-4} | 3.0×10^{-5} |
|------------------------------|----------------------------|----------------------|----------------------|----------------------|

LHCb Upgrade II

0.007

Summary

$$\phi_s, \text{ with } B_s^0 \rightarrow J/\psi\phi$$

EW Penguins

Current 49 mrad

| | | | | |
|---|-------------------------|-------------|-------------|--------------|
| γ , all modes | $(\pm 5.8)^\circ$ [167] | 1.5° | 1.5° | 0.35° |
| $\sin 2\beta$, with $B^0 \rightarrow J/\psi K_s^0$ | 0.04 [606] | 0.011 | 0.005 | 0.003 |

LHCb 2025 14 mrad

| | | | | |
|---|-----------|-----|---|---------------|
| $B_s^0, B^0 \rightarrow \mu^+\mu^-$ | | | | |
| $B(B^0 \rightarrow \mu^+\mu^-)/B(B_s^0 \rightarrow \mu^+\mu^-)$ | 90% [264] | 34% | - | 10% 21% [609] |

ATLAS, CMS HL-LHC 22 mrad

Charm

| | | | | |
|------------------------------|----------------------------|----------------------|----------------------|----------------------|
| $\Delta A_{CP}(KK - \pi\pi)$ | 8.5×10^{-4} [610] | 1.7×10^{-4} | 5.4×10^{-4} | 3.0×10^{-5} |
|------------------------------|----------------------------|----------------------|----------------------|----------------------|

LHCb Upgrade II 4 mrad

Summary

$$B_s^0, B^0 \rightarrow \mu^+ \mu^-$$

EW Penguins

Current

90%

γ , all modes
 $\sin 2\beta$, with $B^0 \rightarrow J/\psi K_s^0$

$(\pm 5.8)^\circ$ [167]
 0.04 [606]

1.5°
 0.011

1.5°
 0.005

0.35°
 0.003

LHCb 2025

34%

$B_s^0, B^0 \rightarrow \mu^+ \mu^-$

$B(B^0 \rightarrow \mu^+ \mu^-)/B(B_s^0 \rightarrow \mu^+ \mu^-)$

90% [264]

34%

–

10%

21% [609]

ATLAS, CMS HL-LHC

21%

Charm

$\Delta A_{CP}(KK - \pi\pi)$

8.5×10^{-4} [610]

1.7×10^{-4}

5.4×10^{-4}

3.0×10^{-5}

LHCb Upgrade II

10%

Summary

$R(D^*)$

EW Penguins

Current

0.026

γ , all modes $(^{+3.0}_{-5.8})^\circ$ [167] 1.5° 1.5° 0.35°
 $\sin 2\beta$, with $B^0 \rightarrow J/\psi K_S^0$ 0.04 [606] 0.011 0.005 0.003

LHCb 2025

0.007

$B_s^0, B^0 \rightarrow \mu^+ \mu^-$
 $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) / \mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$ 90% [264] 34% - 10% 21% [609]

Belle II

0.005

Charm

$\Delta A_{CP}(KK - \pi\pi)$ 8.5×10^{-4} [610] 1.7×10^{-4} 5.4×10^{-4} 3.0×10^{-5}

LHCb Upgrade II

0.002

Summary

Charm CPV $x \sin \phi$

EW Penguins

Current

$$2.8 \times 10^{-4}$$

γ , all modes
 $\sin 2\beta$, with $B^0 \rightarrow J/\psi K_S^0$

$(-5.8)^\circ$ [167]
 0.04 [606]

1.5°
 0.011

1.5°
 0.005

0.35°
 0.003

LHCb 2025

$$4.0 \times 10^{-5}$$

$B_s^0, B^0 \rightarrow \mu^+ \mu^-$

$B(B^0 \rightarrow \mu^+ \mu^-)/B(B_s^0 \rightarrow \mu^+ \mu^-)$

90% [264]

34%

–

10%

21% [609]

Belle II

$$1.2 \times 10^{-4}$$

Charm

LHCb Upgrade II

$$8.0 \times 10^{-6}$$