

# Lattice QCD calculation of direct CP violation and long distance effects in kaon mixing and rare decays

FPCP 2015

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RBC and UKQCD Collaborations

# Outline

- Lattice QCD in 2015
- First order electroweak:  
 $K \rightarrow \pi \pi$  decay
- Second order electroweak
  - $K_L - K_S$  mass difference
  - Long distance parts of  $\varepsilon_K$ .
  - Rare kaon decays

# RBC Collaboration

- BNL
  - Chulwoo Jung
  - Taku Izubuchi
  - Christoph Lehner
  - Amarjit Soni
- RBRC
  - Chris Kelly
  - Tomomi Ishikawa
  - Shigemi Ohta (KEK)
  - Sergey Syrityn
- Connecticut
  - Tom Blum
- Columbia
  - Ziyuan Bai
  - Xu Feng
  - Norman Christ
  - Luchang Jin
  - Robert Mawhinney
  - Greg McGlynn
  - David Murphy
  - Daiqian Zhang

# UKQCD Collaboration

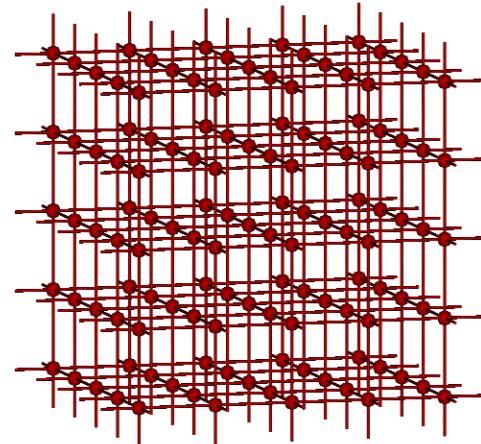
- Edinburgh
  - Peter Boyle
  - Julien Frison
  - Nicolas Garron (Plymouth)
  - Jamie Hudspith
  - Karthee Sivalingam
  - Oliver Witzel
- Southampton
  - Jonathan Flynn
  - Tadeusz Janowski
  - Andreas Juttner
  - Andrew Lawson
  - Edwin Lizarazo
  - Andrew Lytle (Mumbai)
  - Marina Marinkovic (CERN)
  - Antonin Portelli
  - Chris Sachrajda
  - Matthew Spraggs
  - Tobi Tsang

# Lattice QCD

## 2015

# Lattice QCD

- First-principles treatment of low-energy, non-perturbative QCD.
- All approximations understood and controlled:
  - Non-zero lattice spacing:  $a \rightarrow 0$ .
  - Finite volume:  $L \rightarrow \infty$
  - Typically neglect E&M and  $m_u \neq m_d$ ,  
 $\alpha_{\text{EM}} \ll 1$
- Supports not only rough phenomenology but also accurate theoretical physics (where it can be applied).
- Use chiral fermions (domain wall fermions) ensures chiral symmetry at finite lattice spacing



# Current state-of-the-art

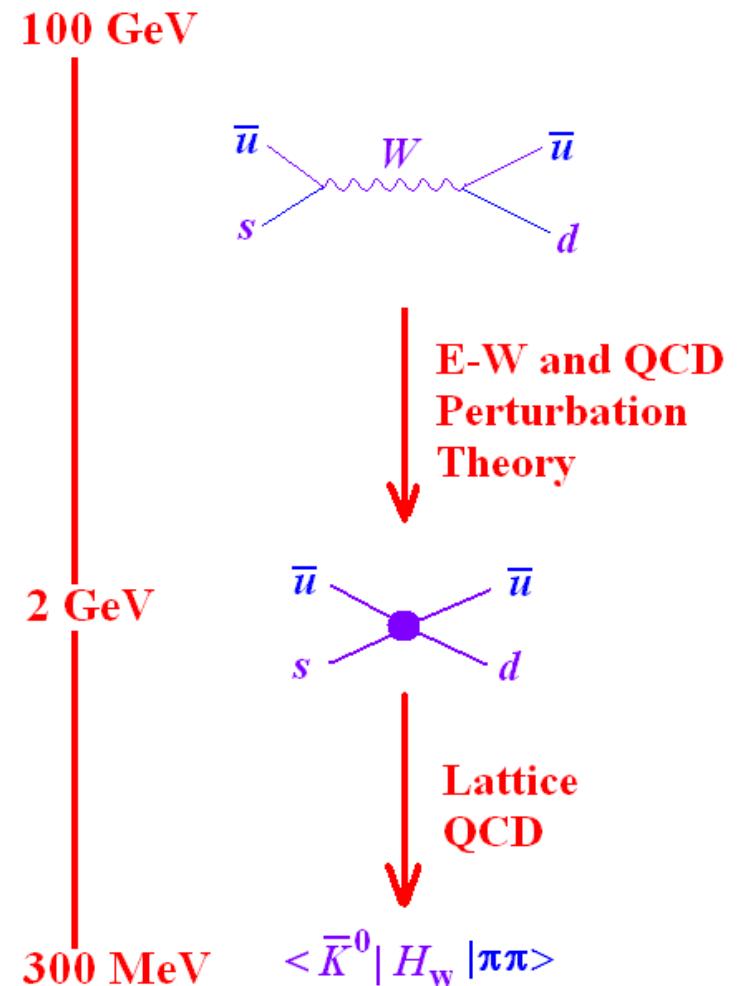
- Physical  $m_\pi = 135$  MeV and  $L = 4 - 6$  fm.
- Large volume  $48^3 \times 96$  and  $64^3 \times 128$  ensembles.
- Complete set of measurements takes 5.3 hours on a 32-rack BG/Q machine (**sustains 1 Pflops**)
- Large collaboration essential:
  - Highly optimized code (64 threads, SPI comms., wide, vector SIMD)
  - Sophisticated algorithms (deflation, FG  $(\Delta t)^3$  integrator)
  - Complex measurement strategies (NPR, G-parity BC, 4-pt functions, all-mode-averaging, all-to-all propagators)

# $\Delta S=1$ Weak Interactions

- Represent weak interactions by local four-quark Lagrangian

$$\mathcal{H}^{(\Delta S=1)} = \frac{G_F}{\sqrt{2}} V_{ud} V_{us}^* \left\{ \sum_{i=1}^{10} \left[ z_i(\mu) - \frac{V_{td}}{V_{ud}} \frac{V_{ts}^*}{V_{us}^*} y_i(\mu) \right] Q_i \right\}$$

- $V_{qq'}$  – CKM matrix elements
- $z_i$  and  $y_i$  – Wilson Coefficients
- $Q_i$  – four-quark operators



$K \rightarrow \pi \pi$  decay

# $K \rightarrow \pi\pi$ phenomenology

- Final  $\pi\pi$  states can have  $I = 0$  or  $2$ .

$$\begin{aligned}\langle \pi\pi(I=2) | H_w | K^0 \rangle &= A_2 e^{i\delta_2} & \Delta I = 3/2 \\ \langle \pi\pi(I=0) | H_w | K^0 \rangle &= A_0 e^{i\delta_0} & \Delta I = 1/2\end{aligned}$$

- Direct CP violation in this decay is characterized by:

$$\epsilon' = \frac{ie^{\delta_2 - \delta_0}}{\sqrt{2}} \left| \frac{A_2}{A_0} \right| \left( \frac{\text{Im} A_2}{\text{Re} A_2} - \frac{\text{Im} A_0}{\text{Re} A_0} \right)$$

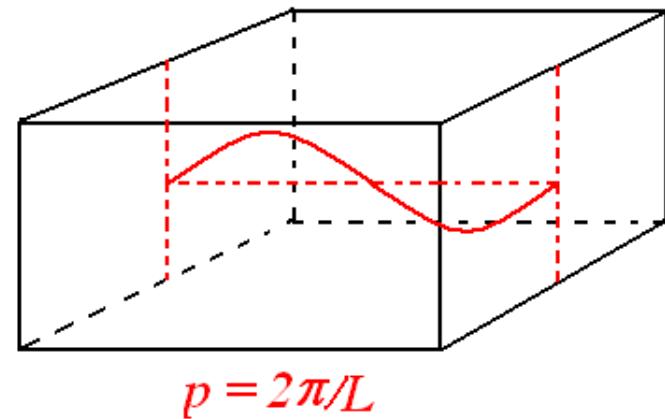
- $K^0 - K^0$  mixing gives indirect CP violation:

$$\epsilon_K = \frac{i}{2} \left\{ \frac{\text{Im} M_{0\bar{0}} - \frac{i}{2} \text{Im} \Gamma_{0\bar{0}}}{\text{Re} M_{0\bar{0}} - \frac{i}{2} \text{Re} \Gamma_{0\bar{0}}} \right\} + i \frac{\text{Im} A_0}{\text{Re} A_0}$$

# Lattice Aspects

# Physical $\pi\pi$ states – Lellouch-Luscher

- Euclidean  $e^{-H_{QCD}t}$  projects onto  $|\pi\pi(\vec{p}=0)\rangle$
- Exploit finite-volume quantization.
- Adjust volume so 1<sup>st</sup> or 2<sup>nd</sup> excited state has correct  $p$ .
- Impose boundary conditions so ground state has physical  $p$ 
  - $\Delta I = 3/2$  : impose anti-periodic BC on  $d$  quark
  - $\Delta I = 1/2$  : impose G-parity BC
- Correctly include  $\pi - \pi$  interactions, including normalization.

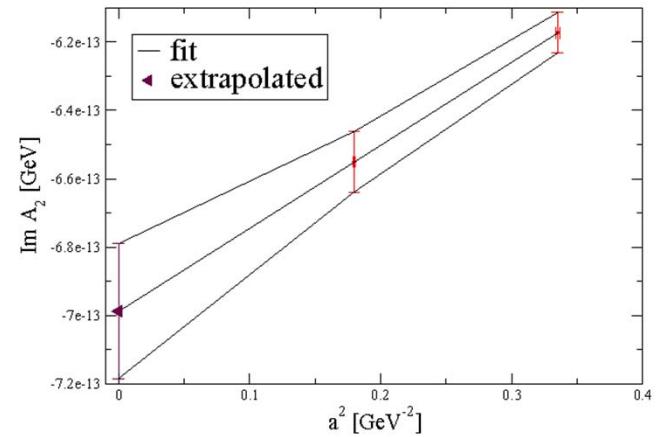
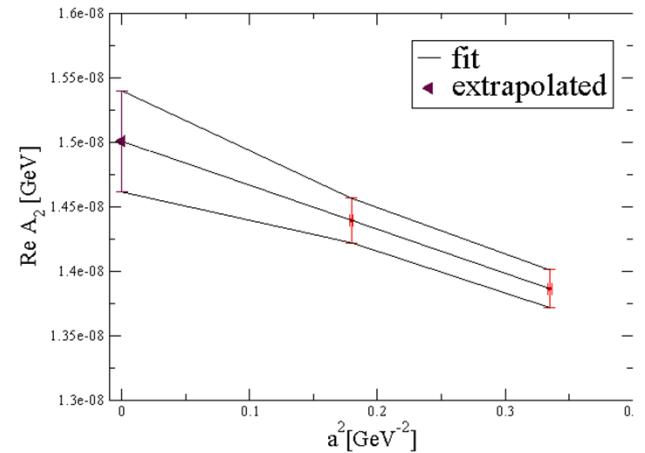


$\Delta I = 3/2$

# $\Delta I = 3/2$ : Continuum results

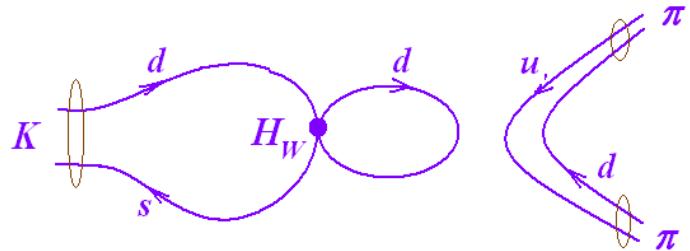
(Tadeusz Janowski)

- Use two new large ensembles to remove  $a^2$  error ( $m_\pi = 135$  MeV,  $L = 5.4$  fm)
  - $48^3 \times 96$ ,  $1/a = 1.73$  GeV
  - $64^3 \times 128$ ,  $1/a = 2.28$  GeV
- Now continuum limit results:  
[Phys.Rev. D91 (2015) 7, 074502]
  - $\text{Re}(A_2) = 1.50(4)_{\text{stat}}(14)_{\text{sys}} \times 10^{-8}$  GeV
  - $\text{Im}(A_2) = -6.99(20)_{\text{stat}}(84)_{\text{sys}} \times 10^{-13}$  GeV
- Experiment:  $\text{Re}(A_2) = 1.479(4) 10^{-8}$  GeV



$\Delta I = 1/2$

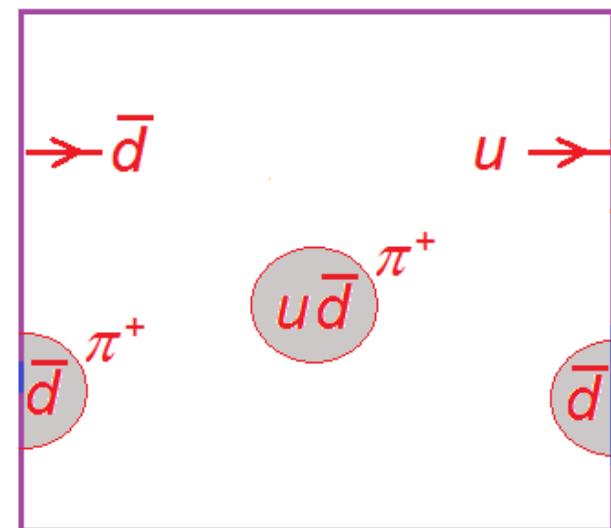
$$\Delta I = 1/2 \quad K \rightarrow \pi \pi$$



- Made much more difficult by disconnected diagrams:
- Many more diagrams (48) than  $\Delta I = 3/2$ .
- Initial threshold decay calculation successful (Qi Liu)
  - $\text{Re}(A_0)$ : 25% stat errors
  - $\text{Im}(A_0)$ : 50% stat errors
- Recent threshold calculation of Ishizuka, et al. with Wilson fermions arXiv:1505.05289

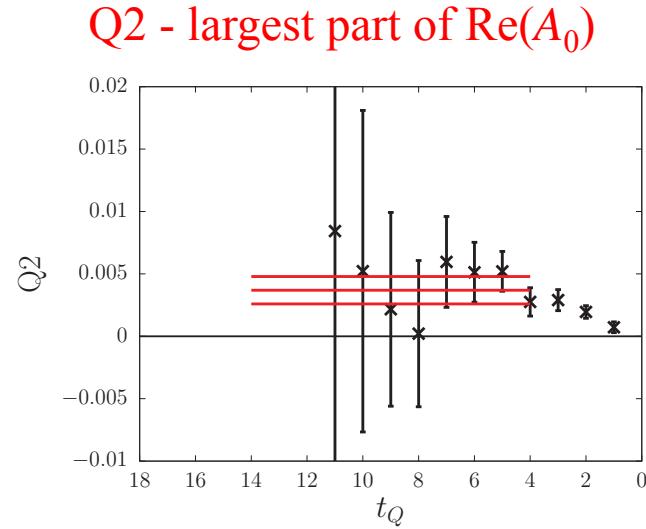
## $\Delta I = 1/2 \ K \rightarrow \pi \pi$ : Physical kinematics

- Goal is a 20% calculation of  $\varepsilon'/\varepsilon$  with all errors controlled
- Use  $32^3 \times 64$  volume with  $1/a = 1.379$  GeV
- Achieve  $p = 205$  MeV from **G-parity** boundary conditions in 3 directions
- Requires new **G-parity** ensembles

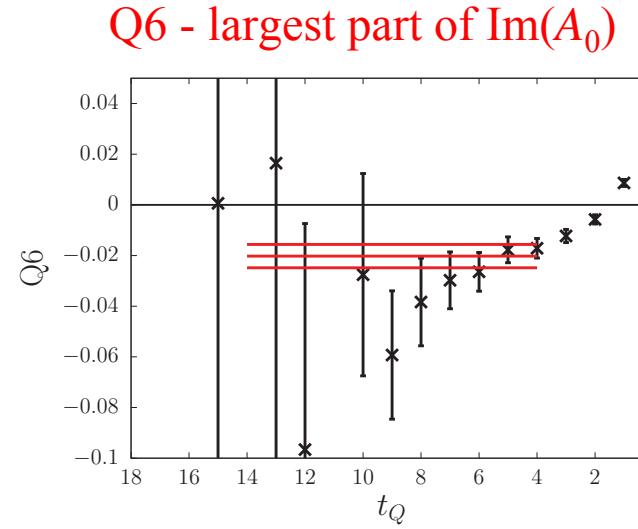


# $\Delta I = 1/2 \ K \rightarrow \pi \pi$ : Current status

## (Chris Kelly & Daiqian Zhang)



$$\langle \pi\pi_{I=0} | Q_2 | K \rangle = (4.23 \pm 1.14) \times 10^{-3}$$



$$\langle \pi\pi_{I=0} | Q_6 | K \rangle = (-1.89 \pm 0.46) \times 10^{-3}$$

- 216 configurations
- First calculation nearly complete
- $M_K = 490.6(2.4)$
- $E_{\pi\pi} = 498(11)$

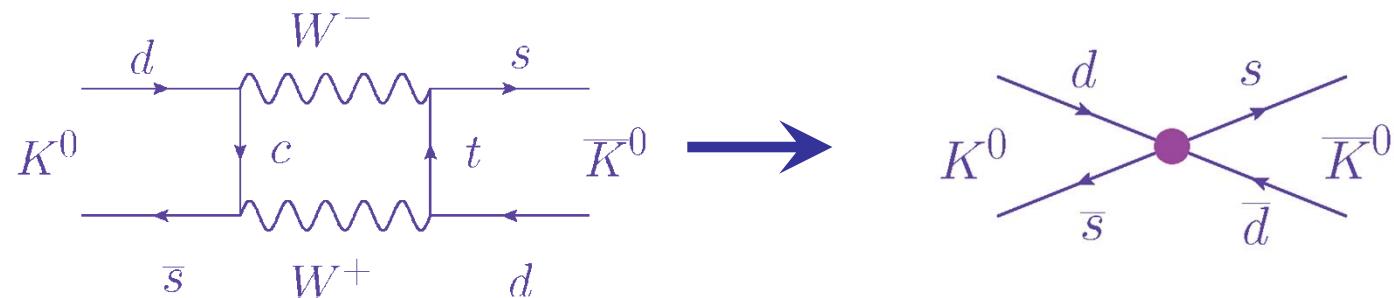
$K^0 - \bar{K}^0$

mixing

# $K^0 - \bar{K}^0$ Mixing

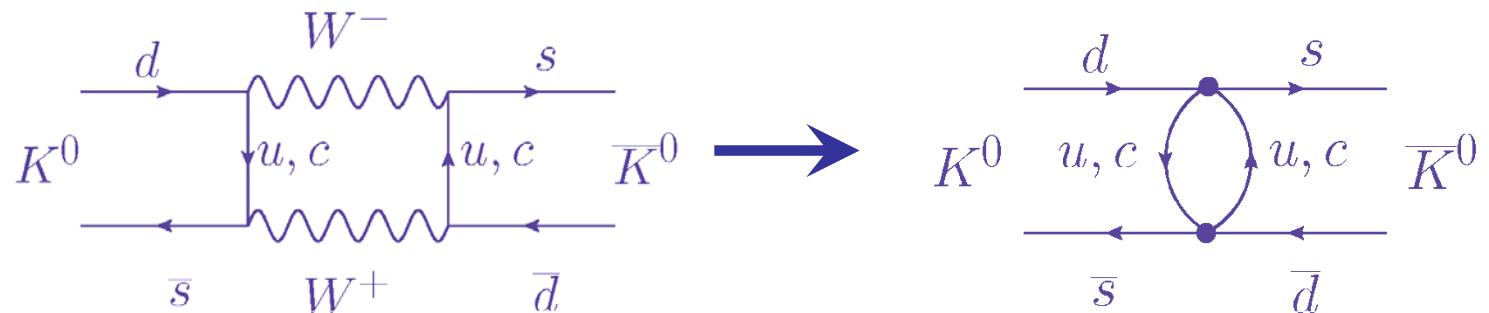
- CP violating:  $p \sim m_t$

$$\epsilon_K = \frac{i}{2} \left\{ \frac{\text{Im} M_{0\bar{0}} - \frac{i}{2} \text{Im} \Gamma_{0\bar{0}}}{\text{Re} M_{0\bar{0}} - \frac{i}{2} \text{Re} \Gamma_{0\bar{0}}} \right\} + i \frac{\text{Im} A_0}{\text{Re} A_0}$$



- CP conserving:  $p \leq m_c$

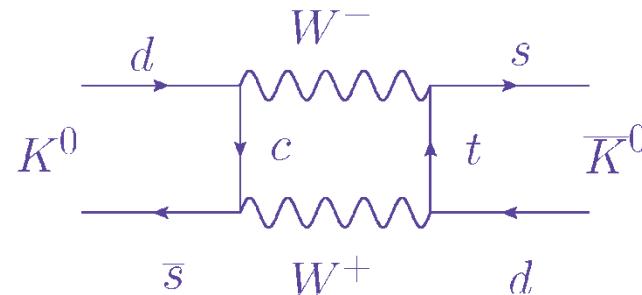
$$m_{K_S} - m_{K_L} = 2 \text{Re}\{M_{0\bar{0}}\}$$



# $K^0 - \bar{K}^0$ Mixing

- CP violating:  $p \sim m_t$

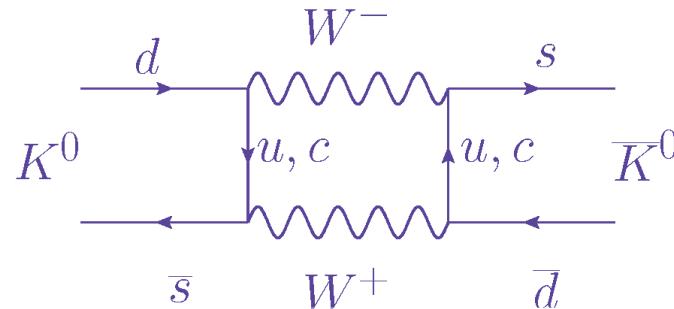
$$\epsilon_K = \frac{i}{2} \left\{ \frac{\text{Im} M_{0\bar{0}} - \frac{i}{2} \text{Im} \Gamma_{0\bar{0}}}{\text{Re} M_{0\bar{0}} - \frac{i}{2} \text{Re} \Gamma_{0\bar{0}}} \right\} + i \frac{\text{Im} A_0}{\text{Re} A_0}$$



Long distance part is a small but important contribution

- CP conserving:  $p \leq m_c$

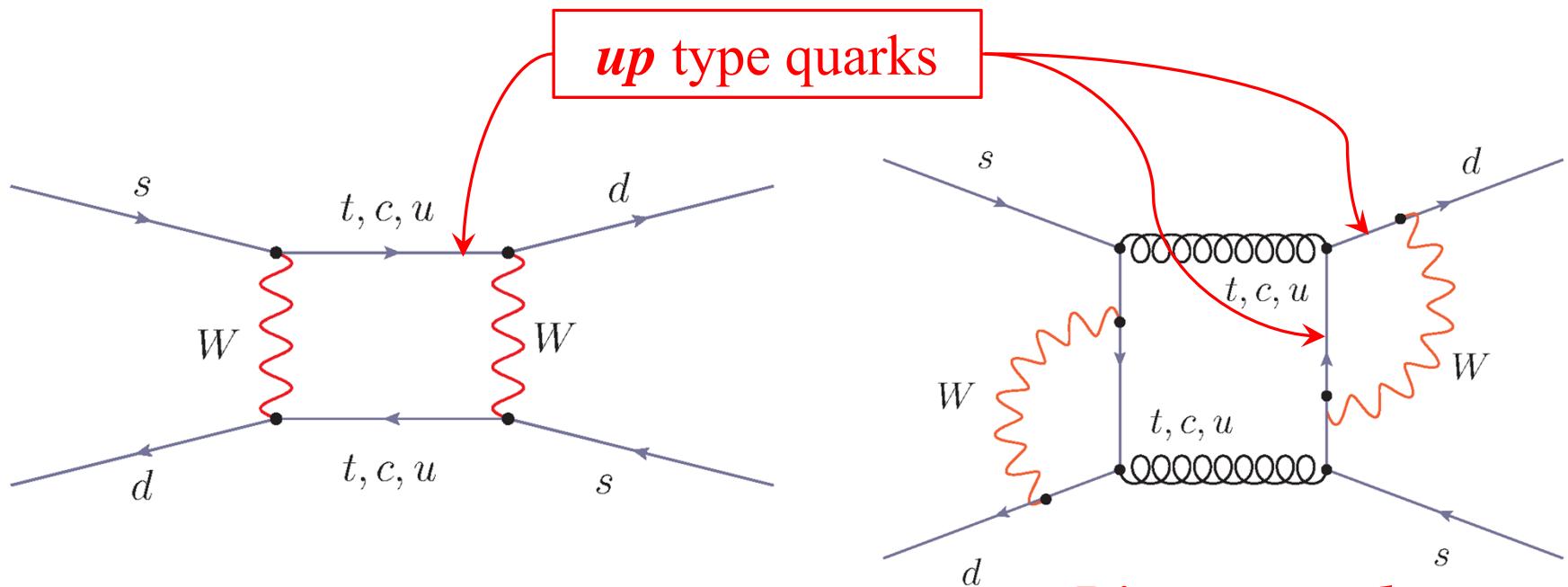
$$m_{K_S} - m_{K_L} = 2 \text{Re}\{M_{0\bar{0}}\}$$



Long distance part is large.  
QCD perturbation theory fails at the 30% level.

# Recall Standard Model Structure

- Two types of diagram (most gluons not shown):

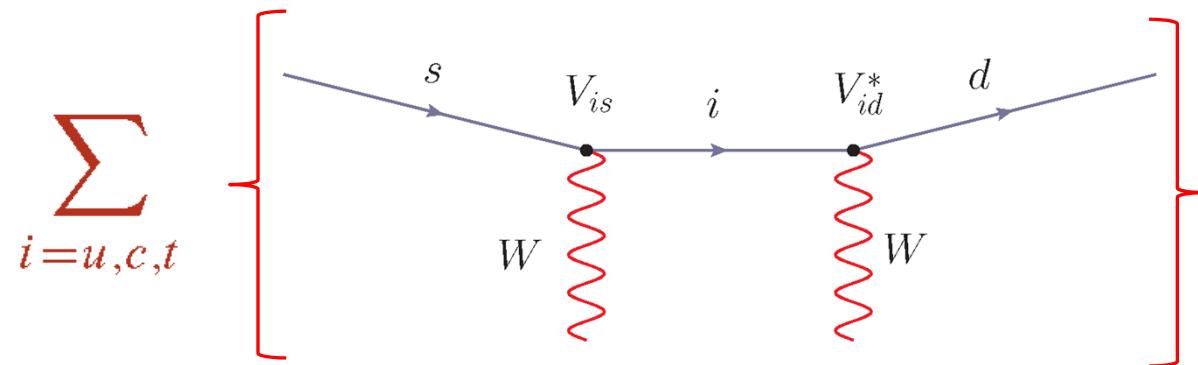


(two quark lines are connected by W's)

(each quark line is connected to itself by W's)

# Standard Model Review

- Three up-type propagators:



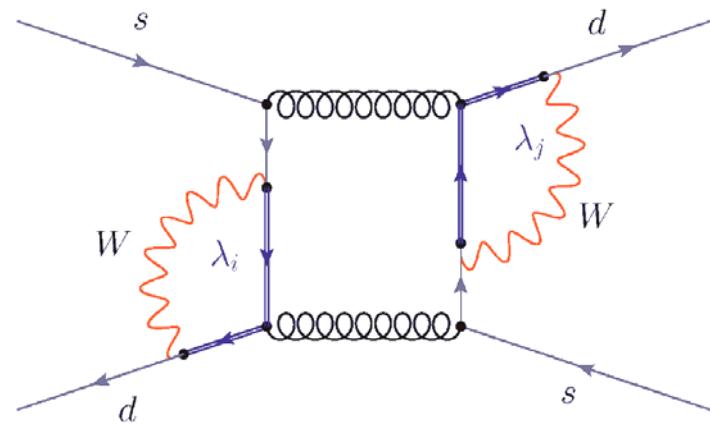
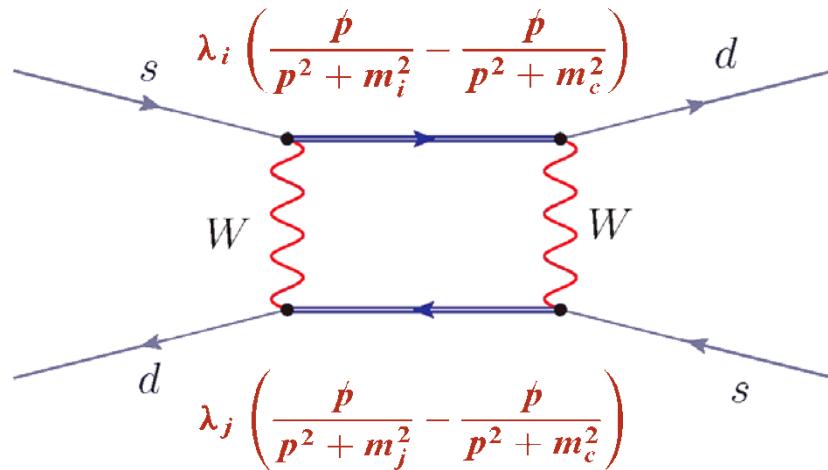
- GIM subtraction:

$$\begin{aligned} \sum_{i=u,c,t} & \left\{ V_{i,d}^* \frac{\not{p}}{p^2 + m_i^2} V_{i,s} - V_{i,d}^* \frac{\not{p}}{p^2 + m_c^2} V_{i,s} \right\} \\ &= \lambda_t \left\{ \frac{\not{p}}{p^2 + m_t^2} - \frac{\not{p}}{p^2 + m_c^2} \right\} + \lambda_u \left\{ \frac{\not{p}}{p^2 + m_u^2} - \frac{\not{p}}{p^2 + m_c^2} \right\} \end{aligned}$$

$$\lambda_i = V_{i,d}^* V_{i,s}$$

# Six contributions to $\Delta M_K$ and $\varepsilon_K$

- Six types of diagram:



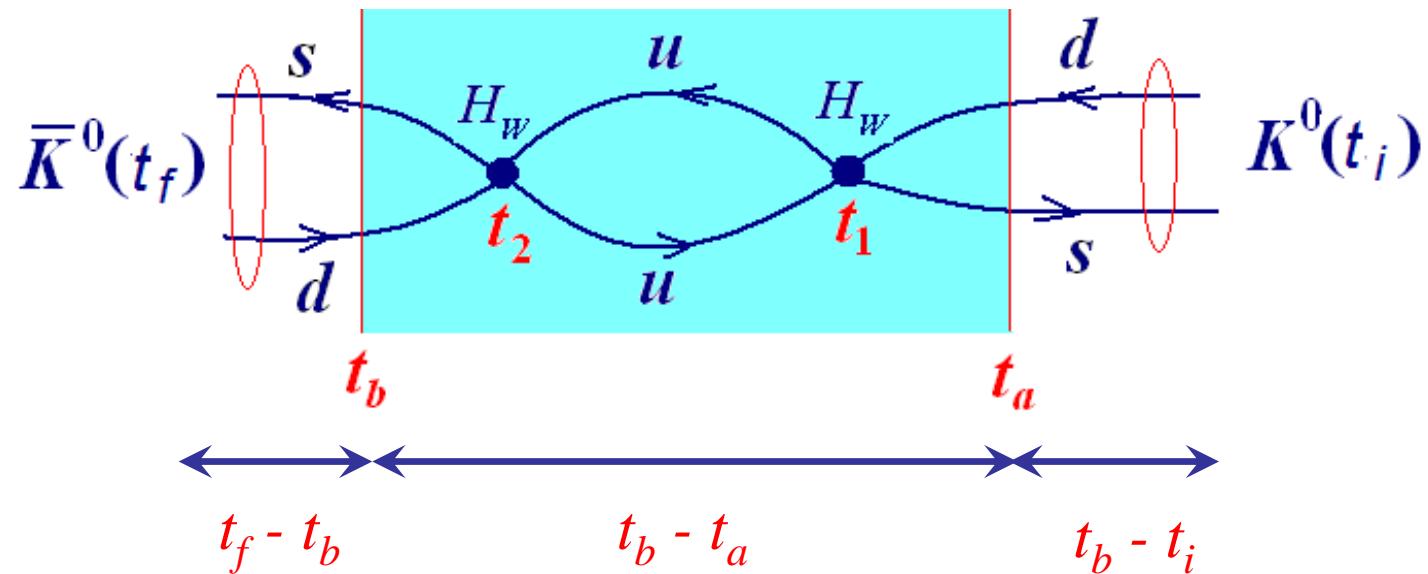
$$\lambda_i \lambda_j = \lambda_t \lambda_t, \lambda_u \lambda_u \text{ and } \lambda_t \lambda_u$$

- $\Delta M_K$ :  $\lambda_u \lambda_u$  term
- $\varepsilon_K$ :  $\lambda_t \lambda_t$  and  $\lambda_u \lambda_t$  term

# Lattice Version

- Evaluate standard, Euclidean, 2<sup>nd</sup> order  $K^0 - \bar{K}^0$  amplitude:

$$\mathcal{A} = \langle 0 | T \left( K^0(t_f) \frac{1}{2} \int_{t_a}^{t_b} dt_2 \int_{t_a}^{t_b} dt_1 H_W(t_2) H_W(t_1) K^0(t_i) \right) | 0 \rangle$$



# Interpret Lattice Result

$$\mathcal{A} = N_K^2 e^{-M_K(t_f-t_i)} \sum_n \frac{\langle \bar{K}^0 | H_W | n \rangle \langle n | H_W | K^0 \rangle}{M_K - E_n} \left( - (t_b - t_a) - \frac{1}{M_K - E_n} + \frac{e^{(M_K - E_n)(t_b - t_a)}}{M_K - E_n} \right)$$

1.     $\Delta m_K^{\text{FV}}$

2.    Uninteresting constant

3.

- 3. Growing or decreasing exponential:  
states with  $E_n < m_K$  must be removed!

- Finite volume correction:

$$M_{K_L} - M_{K_S} = 2 \sum_n \frac{\langle \bar{K}^0 | H_W | n \rangle \langle n | H_W | K^0 \rangle}{M_K - E_n} - 2 \frac{d(\phi + \delta_0)}{dk} \Big|_{m_K} |\langle n_0 | H_W | K^0 \rangle|^2 \cot(\phi + \delta_0) \Big|_{M_K}$$

(N.H. Christ, X. Feng, G. Martinelli, C.T. Sachrajda, arXiv:1504.01170)

# $K_L - K_S$ mass difference

# Compute $\lambda_u \lambda_u$ term

- Use four-Fermi operators in the four-flavor theory:

$$Q_1^{qq'} = (\bar{q}_i d_i)_{V-A} (\bar{q}'_j s_j)_{V-A} \quad Q_2^{qq'} = (\bar{q}_i d_j)_{V-A} (\bar{q}'_j s_i)_{V-A}$$

$$\mathcal{H}_W = \frac{G_F}{2} \sum_{q,q'=u,c} V_{qd} V_{q's}^* \left( C_1 Q_1^{qq'} + C_2 Q_2^{qq'} \right)$$

- Use Rome-Southampton NPR and 4-flavor RI/SMOM /  $\overline{\text{MS}}$ -NDR matching from Lehner and Sturm
- Assume Cabibbo unitarity:

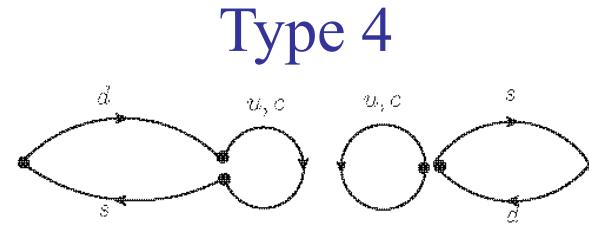
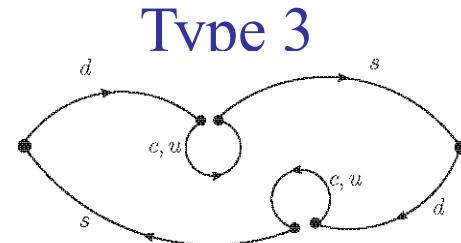
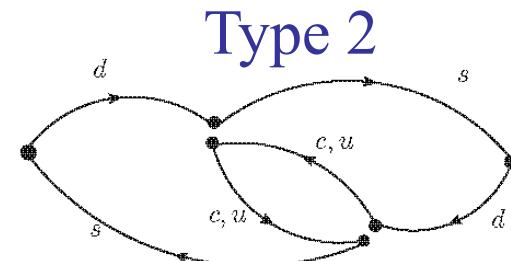
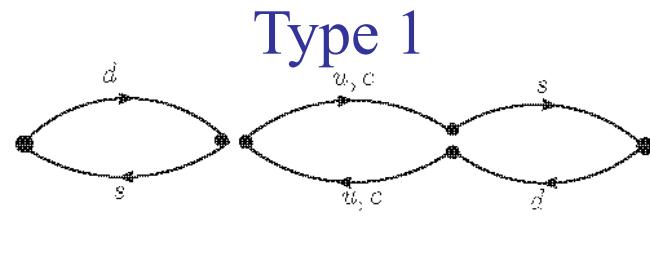
$$0 = \lambda_u + \lambda_c + \lambda_t \approx \lambda_u + \lambda_c \quad \text{where } \lambda_q = V_{qd} V_{qs}^*$$

# Lattice setup

- Must include charm quark (GIM  $u-c$  cancellation)
- Three calculations performed:

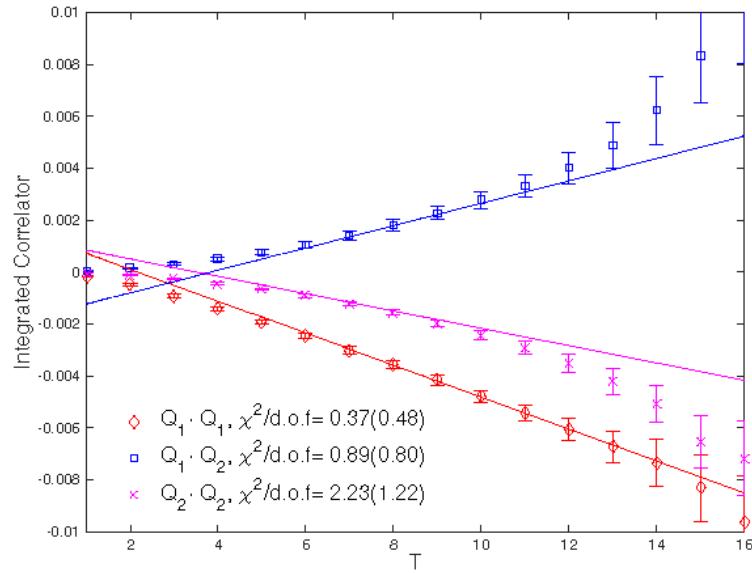
Jianglei Yu {  
–  $16^3 \times 32$ ,  $m_p = 420$  MeV, types 1 & 2 (arXiv:1212.5931)  
–  $24^3 \times 64$ ,  $m_p = 330$  MeV, all graphs (arXiv:1406.0916)

Ziyuan Bai {  
–  $32^3 \times 64$ ,  $m_p = 170$  MeV, all graphs



# $m_\pi = 170 \text{ MeV} - 32^3 \times 64$ results

## (Ziyuan Bai)



	$\Delta M_K \times 10^{12}$
Types 1-4	5.76(73)
Types 1-2	4.19(15)
$\eta$	0
$\pi$	0.27(14)
$\pi\pi, I=0$	-0.097(49)
$\pi\pi, I=2$	$-6.56(6) \times 10^{-4}$
$\Delta_{\text{FV}}$	0.029(19)

- Use  $m_c = 750 \text{ MeV}$ , fit for  $t \geq 8$
- Disconnected contribution small
- $\pi\pi$  contribution  $\sim 2\%$  and FV correction  $\sim 0.5\%$

# Long distance part of $\epsilon_K$

# $\Delta S = 1$ , Four flavor operators

(Ziyuan Bai)

- Choose appropriate  $N_f=4$  effective Hamiltonian:

$$H_W^{\Delta S=1; \Delta C=\pm 1,0} = \frac{G_F}{\sqrt{2}} \left\{ \sum_{q,q'=u,c} V_{q's}^* V_{qd} \sum_{i=1}^2 C_i Q_i^{q'q} + V_{ts}^* V_{td} \sum_{i=3}^6 C_i Q_i \right\}$$

$$\begin{aligned} Q_1^{q'q} &= (\bar{s}_i q'_j)_{V-A} (\bar{q}_j d_i)_{V-A} \\ Q_2^{q'q} &= (\bar{s}_i q'_i)_{V-A} (\bar{q}_j d_j)_{V-A} \\ Q_3 &= (\bar{s}_i d_i)_{V-A} \sum_{q=u,d,s,c} (\bar{q}_j q_j)_{V-A} \\ Q_4 &= (\bar{s}_i d_j)_{V-A} \sum_{q=u,d,s,c} (\bar{q}_j q_i)_{V-A} \\ Q_5 &= (\bar{s}_i d_i)_{V-A} \sum_{q=u,d,s,c} (\bar{q}_j q_j)_{V+A} \\ Q_6 &= (\bar{s}_i d_j)_{V-A} \sum_{q=u,d,s,c} (\bar{q}_j q_i)_{V+A} \end{aligned} \quad \left. \begin{array}{l} \text{current x current} \\ \text{QCD penguin} \end{array} \right\}$$

# Focus on $\lambda_t \lambda_u$ contribution to $\varepsilon_K$

(Ziyuan Bai)

- Construct  $H_W(x) \times H_W(y)$  and extract the  $\lambda_t \lambda_u$  term

$$H_W(x) H_W(y) = \frac{G_F^2}{2} \lambda_t \lambda_u \sum_{i=1}^2 \sum_{j=1}^6 C_i C_j Q_{ij}$$

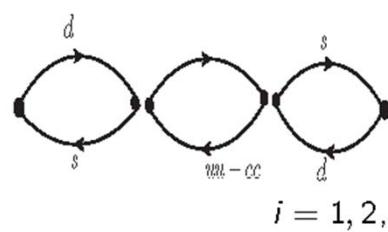
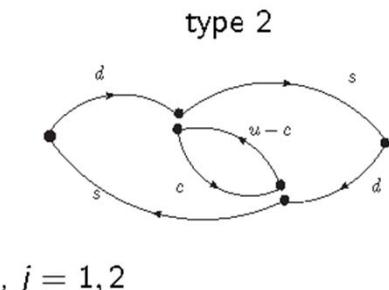
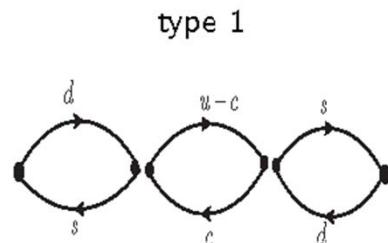
$$Q_{ij} = \begin{cases} 2Q_i^{cc}(x)Q_j^{cc}(y) - Q_i^{uu}(x)Q_j^{cc}(y) - Q_i^{cc}(x)Q_j^{uu}(y) & \text{if } j = 1, 2 \\ -Q_i^{uc}(x)Q_j^{cu}(y) - Q_i^{cu}(x)Q_j^{uc}(y) \\ \left( Q_i^{cc}(x) - Q_i^{uu}(x) \right) Q_j(y) & \text{if } j = 3, \dots, 6 \\ +Q_j(y) \left( Q_i^{cc}(y) - Q_i^{uu}(y) \right) \end{cases}$$

- Identify five types of diagrams

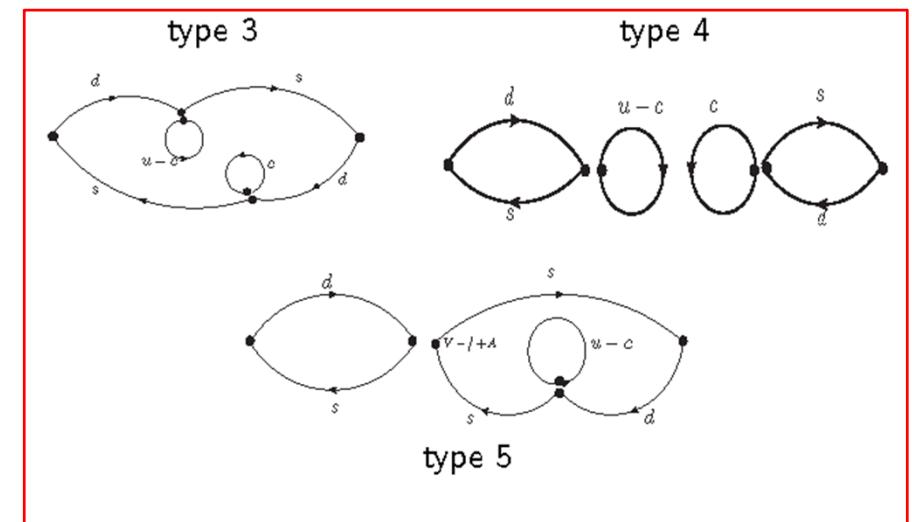
# Diagrams for $\lambda_t \lambda_u$ contribution to $\varepsilon_K$

(Ziyuan Bai)

- Identify five types of diagrams



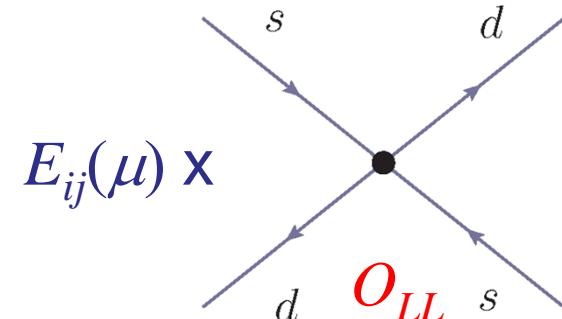
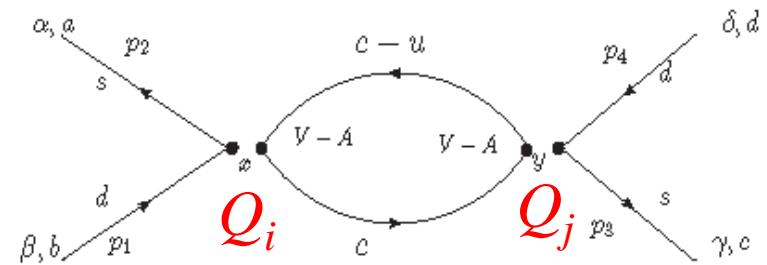
Omit from 1<sup>st</sup> study



# Removing lattice short distance part

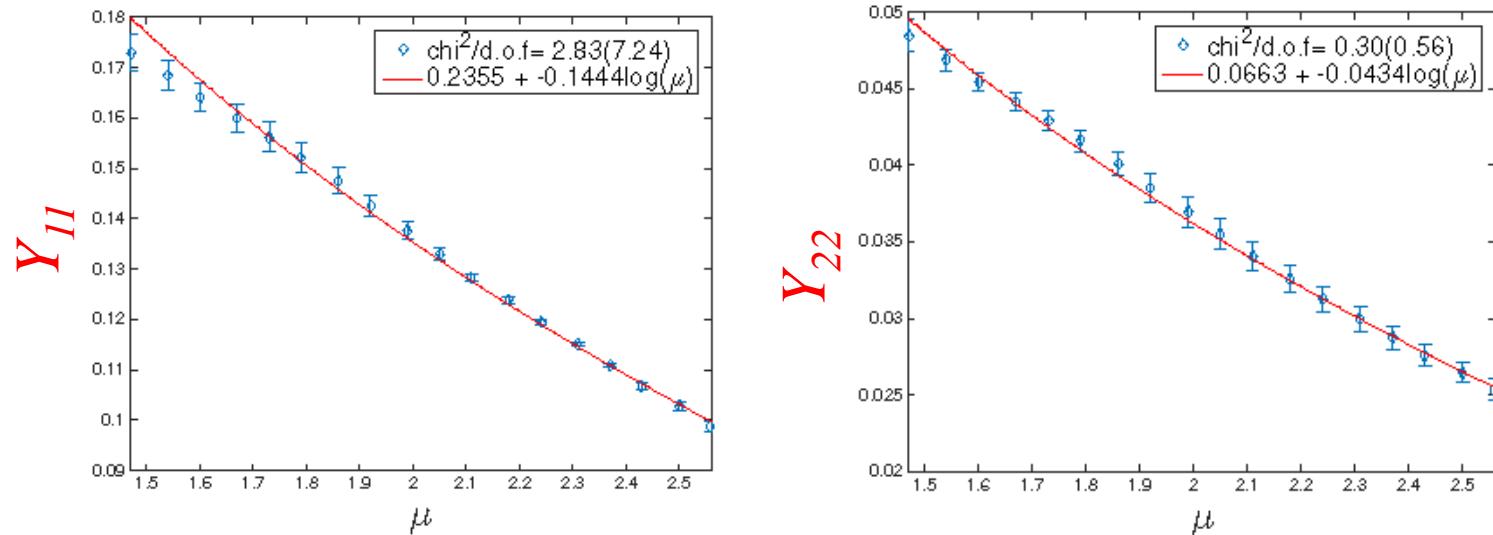
(Ziyuan Bai)

- Evaluate off-shell Green's function at  $p_i^2 = \mu^2$
- Forces internal momentum also to the scale  $\mu$  or greater
- This is a definition of the short-distance part of diagram.
- Add  $E_{ij}(\mu)$  ( $\bar{s}\gamma^\nu(1-\gamma^5)d$ ) ( $\bar{s}\gamma^\nu(1-\gamma^5)d$ ) with  $E_{ij}(\mu)$  chosen to make SD part agree with perturbation theory.
- $p_i^2 = 2p_i \cdot p_j = \mu^2$



# Short-distance lattice correction (Ziyuan Bai)

- Results for short-distance coefficient  $E_{11}$  and  $E_{22}$  of  $O_{LL}$  for the products  $Q_1 Q_1$  and  $Q_2 Q_2$ :



- Effect of a cutoff radius  $|x - y| < R$  at  $\mu = 1.93$  GeV

Cutoff	3	4	5	6	none
$E_{11}^{\text{lat}}$	0.1462	0.1501	0.1493	0.1489	0.1489
$E_{22}^{\text{lat}}$	0.0418	0.0427	0.0425	0.0425	0.0425

# Progress toward long-distance part of $\epsilon_K$

**(Ziyuan Bai)**

- Examine only type 1 and 2 diagrams
- Use C. Lehner's *PhySyHCal* to add back the correct perturbative short distance part at LO.

Preliminary

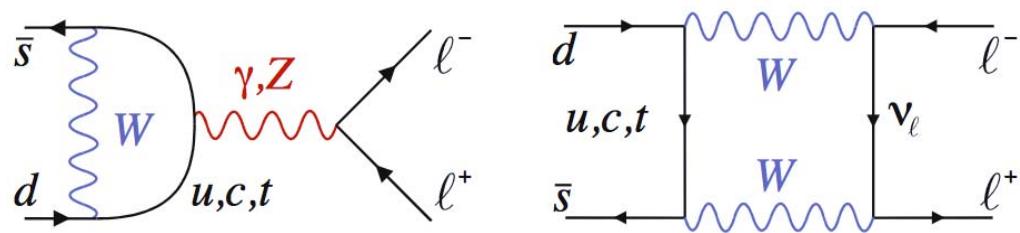
$\mu$ (GeV)	$\text{Im } M_{0\bar{0}}^{ut,ld}$ ( $10^{-15}$ MeV)	$\text{Im } M_{0\bar{0}}^{ut,cont}$ ( $10^{-15}$ MeV)	$\text{Im } M_{0\bar{0}}^{ut}$ ( $10^{-15}$ MeV)
1.54	-0.871(30)	-4.772(56)	-5.642(64)
1.92	-1.065(30)	-4.546(54)	-5.601(62)
2.11	-1.151(31)	-4.435(52)	-5.586(61)
2.31	-1.226(31)	-4.350(51)	-5.576(60)
2.56	-1.302(30)	-4.208(50)	-5.511(58)

- Result:  $\textcolor{red}{tt} \quad \textcolor{red}{ut}_{\text{sd}} \quad \textcolor{red}{ut}_{\text{ld}} \quad \textcolor{red}{\text{Im}(A_0)}$
- $$|\epsilon_K| = (1.806 + 0.892 + 0.209 + 0.111) \times 10^{-3} \leftarrow$$
- $$= 3.019 \times 10^{-3} \quad (\textcolor{red}{2.228(11) \times 10^{-3} \text{ expt. }})$$

# Rare Kaon Decays

# Rare Kaon Decays

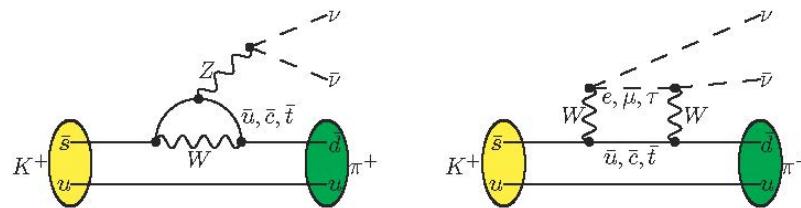
(Xu Feng, Antonin Portelli, Andrew Lawson)



- Can lattice methods be of use for rare  $K$  decays?
- $K_L \rightarrow \pi^0 + l + \bar{l}$  : determine the sign of the indirect CP violating amplitude.
- $K^+ \rightarrow \pi^+ + \nu + \bar{\nu}$  : calculate the long distance ( $l \geq 1/m_c$ ) part of charm contribution. Small ( $\approx 4\%$ ) but leading theoretical uncertainty.

# $K^+ \rightarrow \pi^+ + \nu \bar{\nu}$

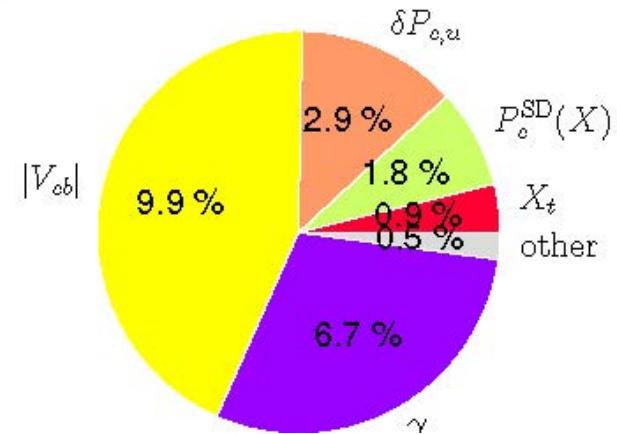
## (Xu Feng)



- Estimate 3 contributions: top : charm-*sd* : charm-*ld*  
[Cirigliano et.al. Rev. Mod. Phys.]

$$\lambda_t \frac{m_t^2}{M_W^2} : \lambda_c \frac{m_c^2}{M_W^2} \ln \frac{M_W}{m_c} : \lambda_u \frac{\Lambda_{\text{QCD}}^2}{M_W^2} = 68\% : 29\% : 3\%$$

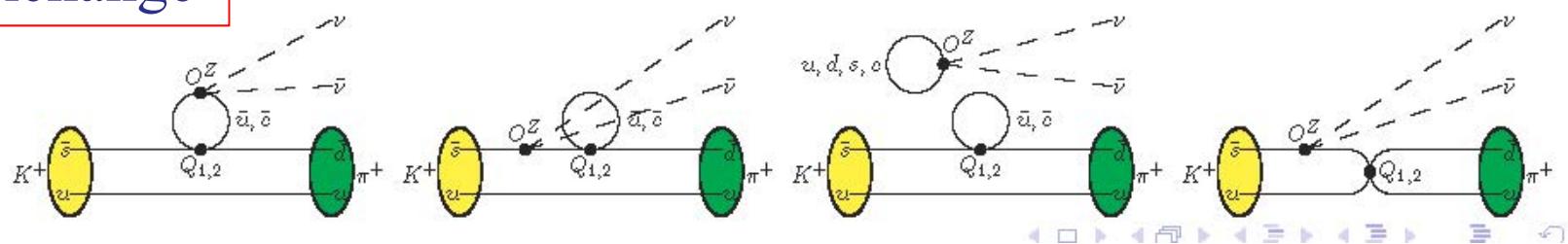
- Error budget  
[Buras, et.al. arXiv:1503.02693]



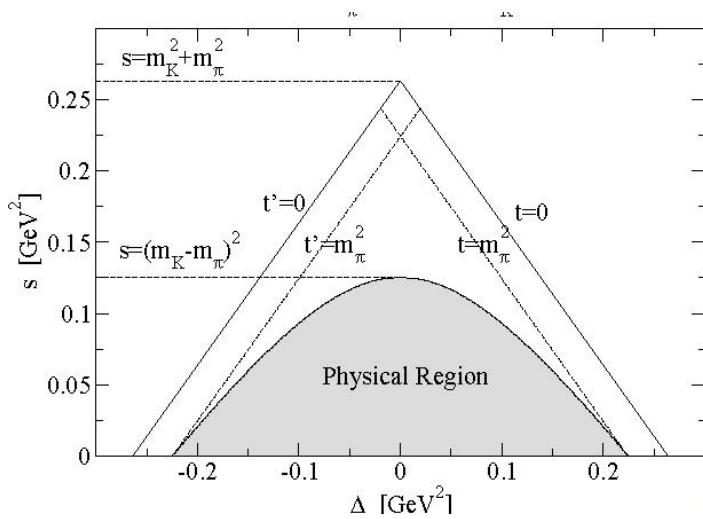
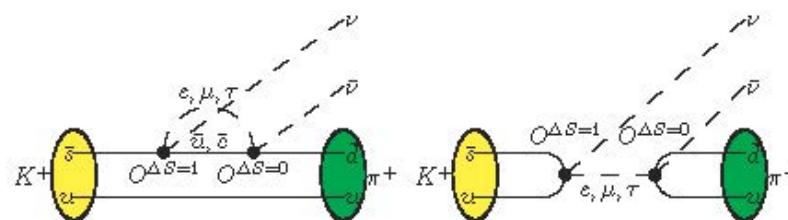
# $K^+ \rightarrow \pi^+ + \nu \bar{\nu}$ - lattice details

## (Xu Feng)

Z-exchange



W-exchange

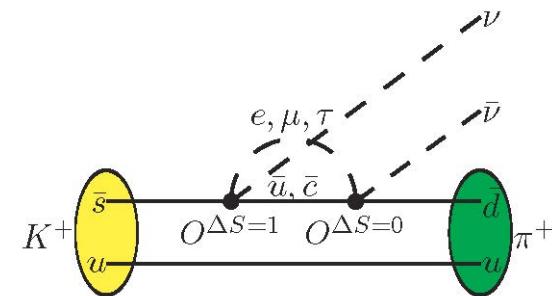
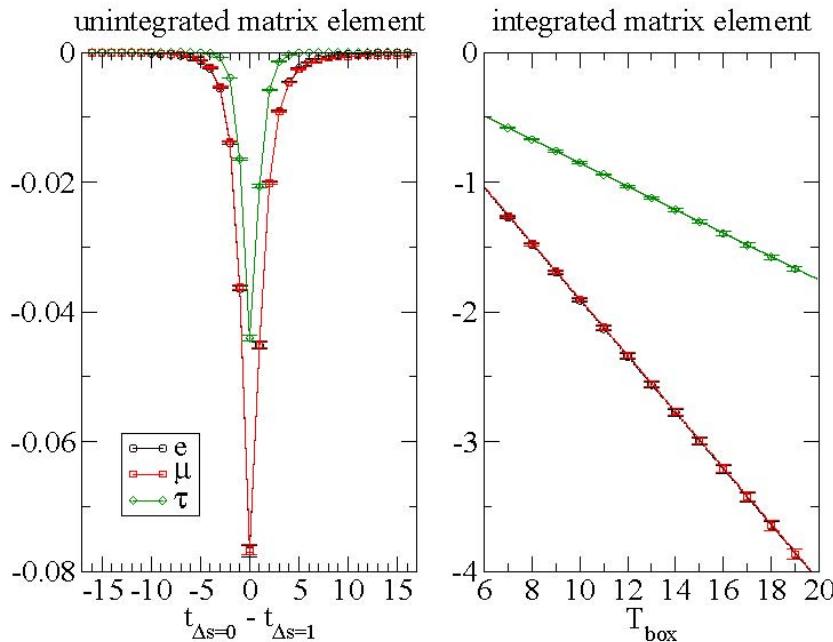


- Compute Dalitz plot distribution

# $K^+ \rightarrow \pi^+ + \nu \bar{\nu}$ - results

## (Xu Feng)

- Type 2 W-exchange



- type 2 diagram before SD subtraction

$F^\ell$	lattice
$e$	$-2.164(31) \times 10^{-1}$
$\mu$	$-2.164(31) \times 10^{-1}$
$\tau$	$-9.03(14) \times 10^{-2}$

- type 2 diagram after SD subtraction, using  $C^{lat}(\mu)$

$F^\ell$	$\mu = 2 \text{ GeV}$	$\mu = 3 \text{ GeV}$
$e$	$-1.400(31) \times 10^{-1}$	$-1.849(31) \times 10^{-1}$
$\mu$	$-1.402(31) \times 10^{-1}$	$-1.850(31) \times 10^{-1}$
$\tau$	$-4.13(14) \times 10^{-2}$	$-6.68(14) \times 10^{-2}$

- Next use QCD pert theory to restore correct short distance part.

# Outlook

- Physical pion masses, large volumes and accurate methods allow percent-level lattice calculations.
- Theoretical advances allow rescattering effects to be correctly computed in Euclidean space (so far only for low energy  $\pi\text{-}\pi$  states).
- $K \rightarrow \pi\pi$  decay and long-distance parts of 2<sup>nd</sup> order kaon decays and mixing is a practical target.
- Many critical quantities can now be computed:
  - $K \rightarrow \pi\pi$ ,  $\Delta I = 3/2$  and  $1/2$ ,  $\varepsilon'/\varepsilon$
  - $m_{K_L} - m_{K_S}$ , long dist. contribution to  $\varepsilon$
  - Long distance parts of  $K \rightarrow \pi l \bar{l}$ ,  $K \rightarrow \pi v \bar{v}$
  - QCD effects in  $g_\mu - 2$  from HVP and HLbL at  $O(\alpha^3)$