



Lepton Universality Violation at LHCb LHCbでのレプトン普遍性違反

"Les quarks beauté bousculent l'universalité leptonique" CERN Courier March 2018

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Workshop on Hints of New Physics in Heavy Flavours Nagoya University, November 15th 2018

Outline

- Introduction to Lepton Flavour Universality
- The LHCb detector
- Electroweak penguin decays
- The ratios R_K and R_{K^*}
- Anomalies in Branching Fractions and angular distributions
- The semileptonic ratios R_{D^*} and $R_{J/\psi}$
- Outlook for the future

Lepton Flavour Universality



Couplings of Z,W and γ to $\ \ell \in \{e, \mu, \tau\}$ do not depend on lepton flavour

Differences in decay rates are driven by the different masses $m_e = 0.511$ MeV, $m_\mu = 105$ MeV, $m_\tau = 1777$ MeV

Semileptonic b decays to e and μ almost identical Leptonic $B \rightarrow \ell \nu$, $B \rightarrow \ell \ell$ helicity-suppressed by m_{ℓ}^2





The LHCb detector is not lepton flavour universal!

Triggering at LHCb

Hardware (Level 0)

- LOM: Muons identified with $p_T > 1.5$ to 1.8 GeV/c
- L0E: Electrons identified with $E_T > 2.5$ to 3.0 GeV
- L0H: Any π/K from the signal decay with $E_T > 3.5$ GeV
- L0I: Other high p_T tracks independent of the signal decay

Software

 2,3 or 4-track vertices displaced from the primary vertex and consistent with the signal decay mode

Electron Reconstruction at LHCb

Bremsstrahlung recovery < 100%





Flavour changing neutral current transitions require loops/boxes in the SM

Can replace W, Z, t with charged Higgs, Z', SUSY partners, leptoquarks or other NP

Could in principle have tree level FCNC couplings, but these are strongly constrained by other measurements



Effective Theory

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{\alpha_e}{4\pi} \sum_i C_i(\mu) \mathcal{O}_i(\mu)$$

Integrate out scales above $\mu \sim m_b$

SM calculations of inclusive rates give (10% accuracy):

 $C_7 \sim -0.3$ from the photon

 $C_9 \sim +4$ from EW vector

 $C_{10} \sim -4$ from EW axial-vector

Wilson coefficients Fo

Four-fermion operators

$$egin{aligned} \mathcal{O}_{7\gamma}^{(\prime)} &= rac{m_b}{e}(ar{s}\sigma^{\mu
u}P_{R(L)}b)F_{\mu
u}\ \mathcal{O}_{9V}^{(\prime)} &= (ar{s}\gamma_\mu P_{L(R)}b)(ar{\ell}\gamma^\mu\ell)\ \mathcal{O}_{10A}^{(\prime)} &= (ar{s}\gamma_\mu P_{L(R)}b)(ar{\ell}\gamma^\mu\gamma_5\ell) \end{aligned}$$

(') indicate RH contributions (suppressed by m_s/m_b in SM)

Map of K(*) $\ell \ell$ Contributions



Measure Double Ratios

Reduces dependence on simulation for selection and reconstruction efficiency.



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Result for R_K

LHCb PRL 113, 151601 (2014): $R_{K} = 0.745^{+0.09}_{-0.07}$ (stat) ± 0.036 (syst) 3/fb at 7-8TeV Window 1 < q² < 6 GeV² 2.6 σ away from SM R_{K} =1(10⁻²) Bordone, Isidori & Pattori EPJC 76, 440 (2016)

Errors are almost entirely from Kee samples.

Dominant systematics from fit shapes and bremsstrahlung correction.

For comparison:

BaBar PRD86, 032012 (2012): $R_{K} = 0.74^{+0.31}_{-0.25}$ (stat) ± 0.07 (syst) Window 0.1 < q² < 8 GeV²

Belle PRL103, 171801 (2009): $R_K = 1.03 \pm 0.19$ (stat) ± 0.06 (syst) All q² apart from J/ ψ and ψ ' regions

K**ll* signals

3/fb at 7-8TeV



Results for R_{K*}

LHCb JHEP 08, 055 (2017) 3/fb at 7-8TeV $R_{K^*} = 0.66^{+0.11}_{-0.07}$ (stat) ± 0.03 (syst) $0.045 < q^2 < 1.1 \text{ GeV}^2$ for Altmannshofer et al 2.2 σ away from SM prediction of R_{K*}=0.926(4) EPJC 77, 377 (2017) $R_{\kappa^*} = 0.69^{+0.11}_{-0.07} (stat) \pm 0.05 (syst)$ $1.1 < q^2 < 6 \text{ GeV}^2$ for 2.5 σ away from SM prediction of R_{K*}=1(10⁻²) Bordone, Isidori & Pattori EPJC 76, 440 (2016) For comparison: BaBar PRD86, 032012 (2012): $R_{K^*} = 1.06^{+0.48}_{-0.33}$ (stat) ± 0.08 (syst) Window $0.1 < q^2 < 8 \text{ GeV}^2$ Belle PRL103, 171801 (2009): $R_{k^*} = 0.83 \pm 0.17$ (stat) ± 0.05 (syst) All q² apart from J/ ψ and ψ ' regions

K(*) μμ Branching Fractions

3/fb at 7-8TeV



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More Branching Fractions





Is an angular coefficient that is designed to be insensitive to form factors

DHMV = Descotes-Genon et al JHEP 12, 125 (2014) LHCb says disagreement with SM is at the level of 3.4σ

Supported by Belle and maybe ATLAS. Not confirmed by CMS.

$K^*\mu\mu~F_L~and~A_{FB}$

3/fb at 7-8TeV

JHEP 02,014 (2016)



Angular analysis of K*ee



Global Fits for Wilson coefficients



Consistent with $\Delta C_9 \sim -1$ due to NP. Could also be a small shift in ΔC_{10} .

LHCb Upgrade 2019-2020



Outlook for R_K and R_{K^*}

Physics case for LHCb Upgrade II arXiv:1808.08865 (2018)

- > All results based on **3/fb** at 7-8TeV (Run 1 2010-2012)
- We have another 6/fb at 13TeV (Run 2 2015-2018) x4 in B statistics due to increased production X-section
- LHCb upgrade during shutdown (2019-2020)
 40MHz readout and trigger entirely in software
 Better calorimeter granularity and timing for electrons
- Integrated luminosity 50/fb in Runs 3 & 4 Higher instantaneous luminosity 2x10³³/cm²/s
- Possible major upgrade in ~2030 Much higher luminosity 2x10³⁴, with target of 300/fb

More on upgrades in talk by Eugeni Grauges Pous

 $1 < q^2 < 6 \text{ GeV}^2$
 $\sigma(R_K)$ $\sigma(R_{K^*})$ 0.090.11 (stat)0.0360.050 (syst)0.0430.052

After upgrade can reduce syst errors

0.017 0.020

0.007 0.008

similar to $\sigma(SM)$



R(D*) with $\tau \rightarrow \mu \nu \nu$



- Same visible final state particles
- 3v in signal mode, 1v in normalisation mode
- Separated by fit to missing mass m_{miss}^2 , muon energy E_{μ}^* , leptonic q^2
- Backgrounds from D^{**} , $B \rightarrow D_{(s)}D^*$, combinatorics, muon mis-ID
- Mostly dealt with by control samples, e.g. wrong-sign combinations, additional charged track at B decay vertex

Result for R(D*) with $\tau \rightarrow \mu \nu \nu$

- $B \rightarrow D^* \mu \nu$ dominates at low q^2
- $B \rightarrow D^* \tau v$ is visible at high q², high m_{miss}², low E_µ^{*}
- Backgrounds from $D^{**}\mu\nu$, $B \rightarrow D_{(s)}D^*$ combinatorics, muon mis-ID

 $R(D^*) = 0.336 \pm 0.027 \text{ (stat)} \pm 0.030 \text{ (syst)}$

Systematic limited by size of MC sample!

 1.9σ above SM

LHCb PRL 115, 112991 (2015)



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R(D^{*}) with $\tau \rightarrow 3\pi(\pi^0)\nu$



- Same visible final state particles (we don't require the π^0)
- 2ν in signal mode, 0ν in normalisation mode
- Signal extracted by fit to q^2 , τ lifetime, and a BDT (to suppress D_sD^*)
- Backgrounds from D^{**}, $B \rightarrow D_{(s)}D^*$, $B \rightarrow D^*3\pi X$, combinatorics
- Mostly dealt with by control samples

Result for R(D*) with $\tau \rightarrow 3\pi v$



R(J/ ψ) with $\tau \rightarrow \mu \nu \nu$



- Same visible final state particles
- 3v in signal mode, 1v in normalisation mode
- Separated by fit to m_{miss}^2 , E_{μ}^* , q^2 and using $\tau(B_c)$ =0.5ps
- Backgrounds from other charmonium, combinatorics, muon mis-ID
- Mostly dealt with by control samples

Result for R(J/ ψ) with $\tau \rightarrow \mu \nu \nu$

- $B_c \rightarrow J/\psi \mu \nu$ is visible at zero m_{miss}^2 , and with small $\tau(B_c)$
- $B_c \rightarrow J/\psi \tau v$ is visible at high q², high m_{miss}^2 , and with small $\tau(B_c)$
- Main backgrounds from mis-ID, combinatorics

 $R(J/\psi) = 0.71 \pm 0.17 \text{ (stat)} \pm 0.18 \text{ (syst)}$

Systematic dominated by form factors

 2σ above SM

LHCb PRL 120, 121801 (2018)



Summary of R(D), R(D*) and R(J/ ψ)



Nine measurements all above SM Different techniques and final states R(D), R(D*), R(J/ ψ) are 2/3/2 σ above SM Combined significance 4σ SM uncertainties are small

Outlook for $R(D^*)$ and $R(J/\psi)$

Physics case for LHCb Upgrade II arXiv:1808.08865 (2018)	$\sigma(R_{D^*})$	$\sigma(R_{J/\psi})$
All results based on 3/fb at 7-8TeV (Run 1 2010-2012)	0.027 <mark>0.030</mark>	0.17 (stat) 0.18 (syst)
We have another 6/fb at 13TeV (Run 2 2015-2018) x4 in B statistics due to increased production X-section	0.014	0.10
 LHCb upgrade during shutdown (2019-2020) 40MHz readout and trigger entirely in software Better vertexing for reducing backgrounds to τ, D and B 	After upgrade can reduce syst errors	
Integrated luminosity 50/fb in Runs 3 & 4 Higher instantaneous luminosity 2x10 ³³ /cm ² /s	0.007	0.07
Possible major upgrade in ~2030 Much higher luminosity 2x10 ³⁴ , with target of 300/fb	0.002	0.02
More on upgrades in talk by Eugeni Grauges Pous	similar to σ(SM)	
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More analyses to come ...

- b \rightarrow s $\ell\ell$: R(B_s \rightarrow ϕ), R($\Lambda_b \rightarrow \Lambda$), R(K^{**}),
- Full angular analysis of K*ee
- b \rightarrow d $\ell\ell$: R(π), R(ρ), R(B_s \rightarrow K^{*})
- b \rightarrow c $\tau\nu$: R(D), R(B_s \rightarrow D_s^(*)), R($\Lambda_{b} \rightarrow \Lambda_{c}$)
- Angular analysis of $\tau \rightarrow 3\pi\nu$ to determine spin structure of NP in R(D*)
- $b \rightarrow u\tau v: \Lambda_b \rightarrow p\tau v, B \rightarrow p\overline{p}\tau v$

Summary and Conclusions

- There are a number of $2-3\sigma$ anomalies that have appeared in $b \rightarrow s\ell\ell$ and $b \rightarrow c\ell\nu$ since 2012
- R(K) and R(K*) both suggest a 30% deficit in muons compared to electrons in b \rightarrow s $\ell\ell$ (1<q²<6GeV²)
- R(D), R(D*) and R(J/ ψ) all suggest an enhancement in τ compared to μ in b \rightarrow c $\ell \nu$
- LHCb can push these lepton universality tests to the % level or better in the next 10-20 years

BACKUP

K**ll* Efficiency Ratios



K**ll* Systematics

	$\Delta R_{K^{*0}}/R_{K^{*0}}$ [%]					
	low- q^2			central- q^2		
Trigger category	L0E	L0H	L0I	L0E	L0H	LOI
Corrections to simulation	2.5	4.8	3.9	2.2	4.2	3.4
Trigger	0.1	1.2	0.1	0.2	0.8	0.2
PID	0.2	0.4	0.3	0.2	1.0	0.5
Kinematic selection	2.1	2.1	2.1	2.1	2.1	2.1
Residual background				5.0	5.0	5.0
Mass fits	1.4	2.1	2.5	2.0	0.9	1.0
Bin migration	1.0	1.0	1.0	1.6	1.6	1.6
$r_{J\!/\psi}$ ratio	1.6	1.4	1.7	0.7	2.1	0.7
Total	4.0	6.1	5.5	6.4	7.5	6.7

Checks of K(*) $\ell \ell$ Results

- $R_{K^*}(J/\psi) = 1.043 \pm 0.006 \text{ (stat)} \pm 0.045 \text{ (syst)}$
- $BF(J/\psi K) = 1.01x10^{-3}$ and $BF(J/\psi K^*) = 1.27x10^{-3}$
- BF(K*µµ) = 0.342 ± 0.006 (stat) ± 0.045 (syst) x10⁻⁷ 1.1<q²<6GeV²
- $BF(K^*\gamma) = 4.2x10^{-5}$ from photon contribution to low q² region
- Take double ratios with respect to ψ^\prime
- Compare kinematic distributions and other selection variables (sPlot method)

All checks are ok to better than 10%



q²

Μ(Kπ)

 θ_{ℓ}

K**ll* Angular Analysis



Lepton decay angle θ_{ℓ} and K* decay angle θ_{K} defined in B rest frame



Acoplanarity angle ϕ defined in B rest frame

Reverses sign for \overline{B}

K* *l l* Angular Coefficients

i	I_i	f_i
1s	$rac{3}{4}\left[\mathcal{A}^{\mathrm{L}}_{\parallel} ^2+ \mathcal{A}^{\mathrm{L}}_{\perp} ^2+ \mathcal{A}^{\mathrm{R}}_{\parallel} ^2+ \mathcal{A}^{\mathrm{R}}_{\perp} ^2 ight]$	$\sin^2 heta_K$
1c	$ \mathcal{A}_0^{ m L} ^2+ \mathcal{A}_0^{ m R} ^2$	$\cos^2 heta_K$
2s	$rac{1}{4}\left[\mathcal{A}^{\mathrm{L}}_{\parallel} ^2+ \mathcal{A}^{\mathrm{L}}_{\perp} ^2+ \mathcal{A}^{\mathrm{R}}_{\parallel} ^2+ \mathcal{A}^{\mathrm{R}}_{\perp} ^2 ight]$	$\sin^2\theta_K\cos 2\theta_l$
2c	$- \mathcal{A}_0^{ ext{L}} ^2- \mathcal{A}_0^{ ext{R}} ^2$	$\cos^2\theta_K\cos 2\theta_l$
3	$rac{1}{2}\left[\mathcal{A}_{\perp}^{\mathrm{L}} ^2- \mathcal{A}_{\parallel}^{\mathrm{L}} ^2+ \mathcal{A}_{\perp}^{\mathrm{R}} ^2- \mathcal{A}_{\parallel}^{\mathrm{R}} ^2 ight]$	$\sin^2\theta_K \sin^2\theta_l \cos 2\phi$
4	$\sqrt{rac{1}{2}}\mathrm{Re}(\mathcal{A}_{0}^{\mathrm{L}}\mathcal{A}_{\parallel}^{\mathrm{L}*}+\mathcal{A}_{0}^{\mathrm{R}}\mathcal{A}_{\parallel}^{\mathrm{R}*})$	$\sin 2\theta_K \sin 2\theta_l \cos \phi$
5	$\sqrt{2} \mathrm{Re}(\mathcal{A}_0^{\mathrm{L}} \mathcal{A}_{\perp}^{\mathrm{L}*} - \mathcal{A}_0^{\mathrm{R}} \mathcal{A}_{\perp}^{\mathrm{R}*})$	$\sin 2\theta_K \sin \theta_l \cos \phi$
6s	$2\mathrm{Re}(\mathcal{A}_{\parallel}^{\mathrm{L}}\mathcal{A}_{\perp}^{\mathrm{L}*}-\mathcal{A}_{\parallel}^{\mathrm{R}}\mathcal{A}_{\perp}^{\mathrm{R}*})$	$\sin^2\theta_K\cos\theta_l$
7	$\sqrt{2} \mathrm{Im}(\mathcal{A}_{0}^{\mathrm{L}}\mathcal{A}_{\parallel}^{\mathrm{L}*} - \mathcal{A}_{0}^{\mathrm{R}}\mathcal{A}_{\parallel}^{\mathrm{R}*})$	$\sin 2\theta_K \sin \theta_l \sin \phi$
8	$\sqrt{rac{1}{2}} \mathrm{Im}(\mathcal{A}_0^{\mathrm{L}}\mathcal{A}_{\perp}^{\mathrm{L}*} + \mathcal{A}_0^{\mathrm{R}}\mathcal{A}_{\perp}^{\mathrm{R}*})$	$\sin 2\theta_K \sin 2\theta_l \sin \phi$
9	$\mathrm{Im}(\mathcal{A}_{\parallel}^{\mathrm{L}*}\mathcal{A}_{\perp}^{\mathrm{L}}+\mathcal{A}_{\parallel}^{\mathrm{R}*}\mathcal{A}_{\perp}^{\mathrm{R}})$	$\sin^2\theta_K \sin^2\theta_l \sin 2\phi$
10	$rac{1}{3}\left[\mathcal{A}_{\mathrm{S}}^{\mathrm{L}} ^2+ \mathcal{A}_{\mathrm{S}}^{\mathrm{R}} ^2 ight]$	1
11	$\sqrt{rac{4}{3}}\mathrm{Re}(\mathcal{A}_\mathrm{S}^\mathrm{L}\mathcal{A}_0^\mathrm{L*}+\mathcal{A}_\mathrm{S}^\mathrm{R}\mathcal{A}_0^\mathrm{R*})$	$\cos heta_K$
12	$-rac{1}{3}\left[\mathcal{A}_{\mathrm{S}}^{\mathrm{L}} ^2+ \mathcal{A}_{\mathrm{S}}^{\mathrm{R}} ^2 ight]$	$\cos 2\theta_l$
13	$-\sqrt{rac{4}{3}}\mathrm{Re}(\mathcal{A}_\mathrm{S}^\mathrm{L}\mathcal{A}_0^\mathrm{L*}+\mathcal{A}_\mathrm{S}^\mathrm{R}\mathcal{A}_0^\mathrm{R*})$	$\cos\theta_K\cos2\theta_l$
14	$\sqrt{rac{2}{3}} ext{Re}(\mathcal{A}_ ext{S}^ ext{L}\mathcal{A}_\parallel^ ext{L*}+\mathcal{A}_ ext{S}^ ext{R}\mathcal{A}_\parallel^ ext{R*})$	$\sin\theta_K\sin2\theta_l\cos\phi$
15	$\sqrt{rac{8}{3}}\mathrm{Re}(\mathcal{A}_{\mathrm{S}}^{\mathrm{L}}\mathcal{A}_{\perp}^{\mathrm{L}*}-\mathcal{A}_{\mathrm{S}}^{\mathrm{R}}\mathcal{A}_{\perp}^{\mathrm{R}*})$	$\sin\theta_K\sin\theta_l\cos\phi$
16	$\sqrt{rac{8}{3}} \mathrm{Im}(\mathcal{A}_{\mathrm{S}}^{\mathrm{L}}\mathcal{A}_{\parallel}^{\mathrm{L}*}-\mathcal{A}_{\mathrm{S}}^{\mathrm{R}}\mathcal{A}_{\perp}^{\mathrm{R}*})$	$\sin\theta_K\sin\theta_l\sin\phi$
17	$\sqrt{rac{2}{3}} \mathrm{Im}(\mathcal{A}_{\mathrm{S}}^{\mathrm{L}}\mathcal{A}_{\perp}^{\mathrm{L}*}+\mathcal{A}_{\mathrm{S}}^{\mathrm{R}}\mathcal{A}_{\perp}^{\mathrm{R}*})$	$\sin\theta_K\sin2\theta_l\sin\phi$

$$S_{i} = \left(I_{i} + \bar{I}_{i}\right) \left/ \left(\frac{\mathrm{d}\Gamma}{\mathrm{d}q^{2}} + \frac{\mathrm{d}\bar{\Gamma}}{\mathrm{d}q^{2}}\right)\right.$$
$$A_{i} = \left(I_{i} - \bar{I}_{i}\right) \left/ \left(\frac{\mathrm{d}\Gamma}{\mathrm{d}q^{2}} + \frac{\mathrm{d}\bar{\Gamma}}{\mathrm{d}q^{2}}\right)\right.$$

$$F_{L} = S1c$$
 $A_{FB} = \frac{3}{4}S6s$

$$P_{1} = \frac{2 S_{3}}{(1 - F_{\rm L})} = A_{\rm T}^{(2)} ,$$

$$P_{2} = \frac{2}{3} \frac{A_{\rm FB}}{(1 - F_{\rm L})} ,$$

$$P_{3} = \frac{-S_{9}}{(1 - F_{\rm L})} ,$$

$$P_{4,5,8} = \frac{S_{4,5,8}}{\sqrt{F_{\rm L}(1 - F_{\rm L})}} ,$$

$$P_{6}' = \frac{S_{7}}{\sqrt{F_{\rm L}(1 - F_{\rm L})}} .$$

$D^*\tau v$ Control Samples

- D*-h⁺ for muon mis-identification using D⁰(Kπ), Λ(pπ) to calibrate particle identification
- $D^{*-}\mu^{-}$ for combinatorial background
- Additional charged track at B vertex for D** and partially reconstructed backgrounds in hadronic final states
- Additional neutral energy in ECAL about D* or τ direction
- $D^{*-}D_{s}^{+}(KK\pi)$, $D^{*-}D^{+}(K\pi\pi)$, $D^{*-}D^{0}(K\pi)$ for double charm
- $D^{*-}D_{s}^{+}(3\pi)$, $D^{*-}D^{+}(3\pi)$ for dominant backgrounds in $\tau \rightarrow 3\pi\nu$